

Table of Contents

Table of Contents 1

MS4 Annual Report/Interim Progress Certification 2025 2

(Submission #: HQ9-ECRK-2RYJR, version 1) 2

Details 2

Form Input 2

MS4 Operator Information 2

Part IV 3

Part V 3

Part VI 4

Part VIII 9

Part IX 9

Interim Progress Status 9

Certification 10

Status History 10

Processing Steps 10

MS4 Annual Report/Interim Progress Certification

version 1.0

(Submission #: HQ9-ECRK-2RYJR, version 1)

Details

Originally Started By Stephanie Wurz

Alternate Identifier NYR20A361

Submission ID HQ9-ECRK-2RYJR

Status Draft

Active Steps Form Submitted

Form Input

MS4 Operator Information

Municipality Name or Legal Entity Name
City of Utica

Permit ID #:
NYR20A361

MS4 Operator Type
Traditional land use control

Traditional Land Use Control
City

Traditional Land Use Control

Traditional land use control MS4 Operator requirements are found in Part VI of the MS4 General Permit.

Legal Municipal/Entity Mailing address
1 Kennedy Plaza
Utica, NY 13502
Oneida

Ranking Official

Official Title	First and Last Name	Phone	Email
Mayor	Michael P. Galime	315-792-0212	mayor@cityofutica.com

Report Preparer

Report Preparer Title	First and Last Name	Phone	Email
Other: Senior Engineer- City of Utica	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Stormwater Program Coordinator

Coordinator Title	First and Last Name	Phone	Email
Stormwater Program Coordinator	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Part IV

Was the information in this section completed as part of a coalition/group?

No

MS4 General Permit Resources

Use the following webpages for more information on the permit and fact sheet:

[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

SWMP Plan

Annually: Have the alternative implementation agreements in the SWMP Plan been updated? (Part IV.A.1.e.)

NONE PROVIDED

Annually: Has the SWMP been updated? (Part IV.B.3.)

Yes

Mapping

Annually: Has the comprehensive system mapping been updated? (Part IV.D.)

NONE PROVIDED

What tools are used to satisfy the comprehensive system mapping requirements? (e.g. paper maps, GIS, web mappers, etc.)

paper maps, GIS

Within three (3) years of the EDC: Has Phase I of the comprehensive mapping been completed? (Part IV.D.2.a.)

No

Please clarify the reason for selecting "No" for this item.

item is not due this year

Within five (5) years of the EDC: Has Phase II of the comprehensive mapping been completed? (Part IV.D.2.b.)

No

Please clarify the reason for selecting "No" for this item.

item is not due this year

Legal Authority

Within three (3) years of the EDC: For newly designated MS4 Operators, has adequate legal authority been developed and implemented? (Part IV.E.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

City of Utica is not a newly designated MS4

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part V

In Year 5: Has the SWMP Plan been evaluated? (Part V.C.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Part VI

Which MCMs in this Part were completed as a coalition/group, if any?

NONE PROVIDED

Minimum Control Measure 1

Within three (3) years of the EDC: Have the focus areas been identified? (Part VI.A.1.a.)

NONE PROVIDED

Within three (3) years of the EDC: Have the target audience(s) and associated pollutant generating activities been identified? (Part VI.A.1.b.)

NONE PROVIDED

Within three (3) years of the EDC: Have the education and outreach topics been identified and how the education and outreach topics will reduce the potential for pollutants explained? (Part VI.A.1.c.)

NONE PROVIDED

In Year 5: Has the method(s) used for distribution of educational messages been identified? (Part VI.A.2.a.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has one educational message been delivered to each target audience(s) for each focus area based on the education and outreach topic(s)? (Part VI.A.2.b.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have target audiences, focus areas, and/or education and outreach topics been updated? (Part VI.A.2.c.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 2

Annually: Has an opportunity for public involvement/participation in the development and implementation of the SWMP been provided? (Part VI.B.1.a.)

Yes

What was the opportunity for public involvement/participation in the SWMP?

Public hearings or meetings

Citizen advisory group on stormwater management

Reporting concerns about activities or behaviors observed

Annually: Has the public been informed about the opportunity for their involvement in the development and implementation of the SWMP and how they can get involved? (Part VI.B.1.b.)

Yes

What is the method(s) used for distribution to inform the public of the opportunity for involvement?

Electronic materials (e.g., websites, email listservs)

Annually: Has an opportunity to review and comment on the publicly available SWMP Plan been provided? (Part VI.B.2.a.)

Yes

Annually: Has an opportunity to review and comment on the draft annual report been provided? (Part VI.B.2.b.i.)

NONE PROVIDED

Annually: Have the comments received on the SWMP Plan been summarized? (Part VI.B.2.c.i.)

NONE PROVIDED

Annually: Have the comments received on the draft annual report been summarized? (Part VI.B.2.c.i.)

NONE PROVIDED

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 3

Within three (3) years of the EDC: Has an inventory of monitoring locations been developed? (Part VI.C.1.c.i.)

NONE PROVIDED

In Year 4 and Year 5: Has the monitoring location inventory been updated? (Part VI.C.1.c.ii.)

NONE PROVIDED

Within three (3) years of the EDC: Have monitoring locations been prioritized? (Part VI.C.1.d.i.)

NONE PROVIDED

In Year 4 and Year 5: Has the monitoring location prioritization been updated? (Part VI.C.1.d.iii.)

NONE PROVIDED

Within two (2) years of the EDC: Has a monitoring locations inspection and sampling program been developed and implemented? (Part VI.C.1.e.)

NONE PROVIDED

In Year 5: Have all the monitoring locations been inspected? (Part VI.C.1.e.i.a))

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's monitoring locations inspection and sampling procedures been provided? (Part VI.C.1.e.ii.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received monitoring locations inspection and sampling training been updated? (Part VI.C.1.e.iii.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the monitoring locations inspection and sampling procedures been updated? (Part VI.C.1.e.iv.)

NONE PROVIDED

Within two (2) years of the EDC: Has an illicit discharge track down program been developed and implemented? (Part VI.C.2.)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's illicit discharge track down procedures prior to conducting illicit discharge track down been provided? (Part VI.C.2.b.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received illicit discharge track down procedures training been updated? (Part VI.C.2.c.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the illicit discharge track down procedures been reviewed and updated? (Part VI.C.2.d.)

NONE PROVIDED

Within two (2) years of the EDC: Has an illicit discharge elimination program been developed and implemented? (Part VI.C.3.)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's illicit discharge elimination procedures prior to conducting illicit discharge elimination been provided? (Part VI.C.3.b.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received illicit discharge elimination procedures training been updated? (Part VI.C.3.c.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Have the illicit discharge elimination procedures been reviewed and updated? (Part VI.C.3.d.)

NONE PROVIDED

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 4

Within one (1) year of the EDC: Has a construction oversight program been developed and implemented? (Part VI.D.3)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's construction oversight procedures prior to conducting construction oversight been provided? (Part VI.D.3.b.)

NONE PROVIDED

In Year 2, Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received construction oversight procedures training been updated? (Part VI.D.3.c.)

NONE PROVIDED

In Year 2, Year 3, Year 4, and Year 5: Have the construction oversight procedures been reviewed and updated? (Part VI.D.3.e.)

NONE PROVIDED

Annually: Has the inventory of construction sites been updated? (Part VI.D.4.b.)

NONE PROVIDED

How many construction sites are on the inventory?

NONE PROVIDED

Within one (1) year of the EDC: Have construction sites been prioritized? (Part VI.D.5.a.)

NONE PROVIDED

In Year 2, Year 3, Year 4, and Year 5: Has the construction site prioritization been updated? (Part VI.D.5.c.)

NONE PROVIDED

Within three (3) years of the EDC: Have the individuals responsible for reviewing SWPPPs for acceptance received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity prior to conducting SWPPP reviews and/or approvals? (Part VI.D.6.a.i.)

NONE PROVIDED

Annually: Have the names, titles, and contact information for the individuals who have received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity, for individuals responsible for reviewing SWPPPs been updated? (Part VI.D.6.d.)

NONE PROVIDED

Are pre-construction meetings conducted prior to the commencement of construction activity? (Part VI.D.7.)

NONE PROVIDED

Within three (3) years of the EDC: Have the individuals responsible for construction site inspections received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity prior to conducting construction site inspections? (Part VI.D.8.a.i.)

NONE PROVIDED

Annually: Have all sites with construction activity identified in the inventory been inspected during active construction after the pre-construction meeting, or sooner if deficiencies are noted that require attention? (Part VI.D.8.c.)

NONE PROVIDED

Annually: Have the names, titles, and contact information for the individuals who have received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity, for individuals responsible for construction site inspections been updated? (Part VI.D.8.d.)

Yes

Are final construction site inspections conducted? (Part VI.D.9.)

Yes

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 5

Annually: Has the inventory of post-construction SMPs been updated? (Part VI.E.2.c.)

NONE PROVIDED

How many post-construction SMPs are on the inventory?

NONE PROVIDED

Within five (5) years of the EDC: Have the required components been included in the post-construction SMP inventory? (Part VI.E.2.d.)

NONE PROVIDED

Within one (1) year of the EDC: Has a post-construction SMP inspection and maintenance program been developed and implemented? (Part VI.E.4.)

NONE PROVIDED

Has each post-construction SMP identified in the inventory been inspected at the required frequency? (Part VI.E.4.a.)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's post-construction SMP inspection and maintenance procedures prior to conducting post-construction SMP inspection and maintenance been provided? (Part VI.E.4.b.)

NONE PROVIDED

Annually: Have names, titles, and contact information for the individuals who have received post-construction SMP inspection and maintenance procedures training updated? (Part VI.E.4.c.)

NONE PROVIDED

In Year 2, Year 3, Year 4, and Year 5: Have the post-construction SMP inspection and maintenance procedures been reviewed and updated? (Part VI.E.4.d.)

NONE PROVIDED

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 6

Within three (3) years of the EDC: Have best management practices (BMPs) been incorporated into the municipal facility program and municipal operations program? (Part VI.F.1.)

NONE PROVIDED

Within three (3) years of the EDC: Has a municipal facility program been developed and implemented? (Part VI.F.2.a.)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's municipal facility procedures prior to conducting municipal facility procedures been provided? (Part VI.F.2.a.ii.)

NONE PROVIDED

In Year 4 and Year 5: Have the names, titles, and contact information for the individuals who have received municipal facility procedures training been updated? (Part VI.F.2.a.iii.)

NONE PROVIDED

In Year 4 and Year 5: Have the municipal facility procedures been updated? (Part VI.F.2.a.iv.)

NONE PROVIDED

Within two (2) years of the EDC: Has a municipal facility inventory been developed? (Part VI.F.2.b.i.)

NONE PROVIDED

In Year 3, Year 4, and Year 5: Has the municipal facility inventory been updated? (Part VI.F.2.b.ii.)

NONE PROVIDED

Within three (3) years of the EDC: Have the municipal facilities been prioritized? (Part VI.F.2.c.i.)

NONE PROVIDED

In Year 4 and Year 5: Has the municipal facility prioritization been updated? (Part VI.F.2.c.iii.)

NONE PROVIDED

Within five (5) years of the EDC: Has a municipal facility specific SWPPP for each high priority municipal facility been developed? (Part VI.F.2.d.i.)

NONE PROVIDED

In Year 5: Has all wet weather visual monitoring of the monitoring locations at all high priority municipal facilities been conducted? (Part VI.F.2.d.ii.a))

NONE PROVIDED

In Year 5: Has a comprehensive site assessment for each high priority municipal facility been completed? (Part VI.F.2.d.ii.c))

NONE PROVIDED

In Year 5: Has a comprehensive site assessment for each low priority municipal facility been completed? (Part VI.F.2.e.ii.c))

NONE PROVIDED

Within three (3) years of the EDC: Has a municipal operations program been developed? (Part VI.F.3.a.)

NONE PROVIDED

In Year 5: Has training on the MS4 Operator's municipal operations procedures prior to conducting municipal operations been provided? (Part VI.F.3.a.ii.)

NONE PROVIDED

In Year 4 and Year 5: Have the names, titles, and contact information for the individuals who have received municipal operations procedures training been updated? (Part VI.F.3.a.iii.)

NONE PROVIDED

In Year 4 and Year 5: Have the municipal operations procedures been reviewed and updated? (Part VI.F.3.a.iv.)

NONE PROVIDED

Within three (3) years of the EDC: Have catch basins in need of inspection been identified? (Part VI.F.3.c.i.)

NONE PROVIDED

Within three (3) years of the EDC: Has catch basin inspection information been inventoried? (Part VI.F.3.c.ii.)

NONE PROVIDED

In Year 5: Have all streets, bridges, parking lots, and right of ways been swept? (Part VI.F.3.d.i.a))

NONE PROVIDED

Annually: Have all streets in business districts and commercial areas been swept? (Part VI.F.3.d.i.b))

NONE PROVIDED

Within five (5) years of the EDC: Have roads, bridges, parking lots, and right of way maintenance specific BMPs been implemented? (Part VI.F.3.d.ii.)

NONE PROVIDED

Within five (5) years of the EDC: Have winter road maintenance specific BMPs been implemented? (Part VI.F.3.d.iii.)

NONE PROVIDED

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part VIII

Does the MS4 Operator discharge to an impaired water listed in Appendix C of GP-0-24-001?

Yes

For which pollutant(s) is the waterbody impaired? Select the pollutants for all the impaired waters listed in Appendix C of GP-0-24-001 to which the MS4 Operator discharges.

NONE PROVIDED

Which requirements in this Part were completed as a coalition/group, if any?

NONE PROVIDED

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part IX

Does the MS4 Operator discharge to a TMDL listed in Table 3 of GP-0-24-001?

No

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Interim Progress Status

Interim Progress Resources

Use the following webpages for more information on the permit and fact sheet:

[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

Have you reviewed compliance items due within two years of EDC?

Yes

Have you reviewed compliance items due within three years of EDC?

Yes

Have you reviewed compliance items due within four years of EDC?

Yes

Have you reviewed compliance items due within five years of EDC?

Yes

Have you reviewed compliance items which need to be completed routinely (annually, every five (5) years, etc.)?

Yes

Please enter any comments related to the questions in this section.

NONE PROVIDED

Certification

The ranking elected official or Principal Executive Officer for the MS4 Operator will be signing the form.

Yes

As the Ranking Elected Official or Principal Executive Officer, please download the certification form using the link below. Complete and sign the certification. Then, upload the certification form to this Interim Progress Certification and/or Annual Report.

[Certification Form](#)

Attach completed certification form.

NONE PROVIDED

Comment

NONE PROVIDED

Status History

	User	Processing Status
1/6/2025 10:24:04 AM	Stephanie Wurz	Draft

Processing Steps

Step Name	Assigned To/Completed By	Date Completed
Form Submitted		
Review		

Appendix A: Public Involvement

forms of transportation are oil and grease. This causes an odor and shine to the water, also making it difficult for aquatic animals to retrieve oxygen. Sediment from construction activities and litter interferes with the habitat of living things that depend on the water as well as diminish the natural beauty of the waterways. Bacteria from animal waste can make recreational uses unsafe and the fish inedible.

For inquiries or concerns regarding the MS4 program and compliance, please call the Engineering Department 315-792-0152.

The 2024 MS4 Annual Report is now available for viewing and will be available for public comment at the April 2nd 2025 Common Council meeting.

[2024 MS4 Annual Report Draft for review and comment.](#)

[Stormwater Management Six-Minimum Control Measures](#)

[MS4 Annual Report - 2022 \(PDF\)](#)

[MS4 Annual Report - 2021 \(PDF\)](#)

Appendix B: Staffing Plan

Appendix B: City of Utica MS4 Staffing Plan

Communication between departments is addressed during weekly department meetings and via email messaging through local staff as needed.			
	Name	Title	Email
Point of contact for public concerns regarding stormwater management and compliance with permit requirements:	Bridenbecker, Nicholas	Deputy City Engineer	nbridenbecker@cityofutica.com
	Wurz, Stephanie	Senior Engineer, Stormwater Management Coordinator (SMO)	swurz@cityofutica.com
Point of contact for illicit discharge reports:	Wurz, Stephanie	Senior Engineer, Stormwater Management Coordinator (SMO)	swurz@cityofutica.com
Point of contact for public complaints related to construction stormwater activity:	Wurz, Stephanie	Senior Engineer, Stormwater Management Coordinator (SMO)	swurz@cityofutica.com

Appendix B: City of Utica MS4 Staffing Plan

Department of Engineering				
Name	Title	Roles/Responsibilities	Assigned Trainee Stormwater Identification Number's for NYS DEC-Endorsed 4-Hour Erosion & Sediment Control Training	Inspector Email
Bridenbecker, Nicholas	Deputy City Engineer	MCM1-6		
Campuzano, Sebastian	Junior Engineer	MCM4, MCM5, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT #040T-010825-8 Expires 1/8/2028	scampuzano@cityofutica.com
Cornacchia, Austin	Senior Engineer	MCM4, MCM5, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT #040T-010825-11 Expires 1/8/2028; New York State Erosion and Sediment Control Certificate Program Committee; Certificate #091	acornacchia@cityofutica.com
Daye, Deb	Principal Engineer	MCM1, MCM3, MCM6		
Feik, Scott	Laborer	MCM3, MCM6, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT #040T-010825-14 Expires 1/8/2028	sfeik@cityofutica.com
Karas, Andrew	Assistant Engineer	MCM3, MCM6		
Mustard, Michael	Assistant Engineer	MCM1, MCM3, MCM6		
Santa-Croce, Gene Sr.	Principal Engineer	MCM1, MCM3, MCM6		
Tucker, Britney	Junior Engineer	MCM1-6, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT # 060T-9042023-808 Expires 9/4/2026; New York State Erosion and Sediment Control Certificate Program Committee; Certificate #092	btucker@cityofutica.com
Wurz, Stephanie	Senior Engineer	MCM1-6, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT #040T-010825-67 Expires 1/8/2028	swurz@cityofutica.com
Yacowenia, Jaxson	Junior Engineer	MCM4, MCM5, SWPPP review, construction site inspections, post-construction SMP inspection and maintenance procedures training	SWT #040T-010825-69 Expires 1/8/2028	jyacowenia@cityofutica.com

Appendix B: City of Utica MS4 Staffing Plan

Department of Public Works (DPW)		
Name	Title	Roles/Responsibilities
Mosca, Joseph	HEO	MCM3, MCM6
Ellis, Scott	HEO	MCM3, MCM6
Jadhon, Raymond	HEO	MCM3, MCM6
Kennedy, Jack M.	HEO	MCM3, MCM6
Stephon, Randy	HEO	MCM3, MCM6
Dorsagno, Harry	HEO	MCM3, MCM6
Hernandez, Jonathan	HEO	MCM3, MCM6
Nelson, Marvin	HEO	MCM3, MCM6
Sunderlin, David	HEO	MCM3, MCM6
Crouse, Ricky	HEO	MCM3, MCM6
Elefante, Davide	HEO	MCM3, MCM6
Dorsango, Rico	HEO	MCM3, MCM6
Dorsagno, Nico	HEO	MCM3, MCM6
Robinson, Lavar	HEO	MCM3, MCM6
Young, Justin	HEO	MCM3, MCM6
Evans, Nick	HEO	MCM3, MCM6
LoConti, Vincent	HEO	MCM3, MCM6
Grimaldi, Michael	HEO	MCM3, MCM6
Orsino, Fred	HEO	MCM3, MCM6
Tantillo, Raymond	HEO	MCM3, MCM6

DPW- Sewer		
Name	Title	Roles/Responsibilities
Eanniello, Nicholas	HEO	MCM3, MCM5, MCM6
Sabanagic, Refik	HEO	MCM3, MCM5, MCM6
Robinson, Wyatt	HEO	MCM3, MCM5, MCM6
Fitzgerald, Michael	MASON	MCM3, MCM5, MCM6
Perretta, Joseph	MASON	MCM3, MCM5, MCM6
Eaddy, Chinua	HEO	MCM3, MCM5, MCM6

DPW- Streets		
Name	Title	Roles/Responsibilities
Davis, Nelson	LABORER	MCM3
Sgaglione, John	LABORER	MCM3
Gaetano, Kenneth	LABORER	MCM3
King, Dynell	LABORER	MCM3
Guivas-Hernandez, Alween	LABORER	MCM3
Sarcone, Steven	LABORER	MCM3
Pierce, Ronald	LABORER	MCM3
Dung, Randy	LABORER	MCM3
Beach, Logan	LABORER	MCM3

DPW- Fleet Maintenance		
Name	Title	Roles/Responsibilities
Jones, Bradley	MECH	MCM3
DiFlo, Fredrick	MECH	MCM3
Stanek, Edward Jr.	MECH	MCM3
Kennedy, Sean	MECH	MCM3
Nichols, Bryan	MECH	MCM3
Winston, James	MECH	MCM3
Muzzy, Kenneth	MECH	MCM3

Appendix B: City of Utica MS4 Staffing Plan

Department of Parks and Recreation

Name	Title	Roles/Responsibilities
Aceto, Marc	LABORER	MCM3, MCM5
Anguzza, David	LABORER	MCM3, MCM5
Centeno, Chris	LABORER	MCM3, MCM5
Huxley, Johanna	LABORER	MCM3, MCM5
Martinez, Chris	LABORER	MCM3, MCM5
Oliver, Stan	LABORER	MCM3, MCM5
Osman, Mustaf	LABORER	MCM3, MCM5
Ruiz, Louis	LABORER	MCM3, MCM5
Sgaglione, Vincent	LABORER	MCM3, MCM5
Williams, Cornell	LABORER	MCM3, MCM5
Martin, Pat	LEO	MCM3, MCM5
Kuts, Vladimar	LEO	MCM3, MCM5

Department of Parks and Recreation- Fleet Maintenance

Name	Title	Roles/Responsibilities
Jablonski, Michael	MECH	MCM3

Police Department

Name	Title	Roles/Responsibilities
Price, Steve	Maintenance Mechanic-II	Facility Maintenance, Buildings and Grounds
Maddox, Derrick	Maintenance Mechanic-I	Facility Maintenance, Buildings and Grounds
Gonzalez, Gabe	Laborer	Facility Maintenance, Buildings and Grounds
Perrotta, Charles	Mechanic	Vehicle Maintenance and Repairs
Midura, David	Mechanic	Vehicle Maintenance and Repairs
Jennings, Justin	Mechanic	Vehicle Maintenance and Repairs

Department of Codes- Housing

Name	Title	Roles/Responsibilities
Phillips, Marques	Commissioner	MCM1, MCM3, MCM6
Angotti, Josh	Codes Coordinator	MCM1, MCM3, MCM6
Bo, Eh Taw	Codes Officer Trainee	MCM1, MCM3, MCM6
Bowens, Edwina	Chief Housing Inspector	MCM1, MCM3, MCM6
Cvrk, Hajrudin (Dino)	Housing Inspector	MCM1, MCM3, MCM6
Martinez, Chantel	Secretary to Commissioner	MCM1, MCM3, MCM6
Mitchell, Kareema	Housing Inspector	MCM1, MCM3, MCM6
Mujanic, Alma	Office Specialist 1	MCM1, MCM3, MCM6
Muheljcic, Armin	Housing Inspector	MCM1, MCM3, MCM6
Wah, Say K	Permits and Records Clerk	MCM1, MCM3, MCM6

Building Department

Name	Title	Roles/Responsibilities
Cozza, Daniel	Chief Building Inspector	MCM1, MCM3, MCM6
Dave Cardarelli	Zoning Officer	MCM1, MCM3, MCM6
Napoli, John	Building Inspector	MCM1, MCM3, MCM6
Rosic, Hase	Electrical Inspector	MCM1, MCM3, MCM6
Sprage, Michael	Building Inspector	MCM1, MCM3, MCM6
Zegarelli, Richard	Plumbing Inspector	MCM1, MCM3, MCM6

Appendix C: Ramboll Stormwater TO 42 Agreement



Nicholas Bridenbecker, Deputy Engineer
City of Utica, Engineering Department
1 Kennedy Plaza
Utica, NY 13502

RE: Task Order Number 42
City of Utica
Stormwater Consulting Engineering Services
FILE: 450/Opportunity 217311

Date February 28, 2025

Dear Mr. Bridenbecker:

Ramboll Americas Engineering Solutions, Inc. (Ramboll), is pleased to present this Task Order request to provide stormwater engineering and related technical services to the City of Utica (City) on an as-needed basis through March 31, 2026.

Ramboll
101 First Street
4th Floor
Utica, NY 13501
USA

T 315-956-6950
<https://ramboll.com>

To facilitate your review, this Task Order is organized as follows:

- Project Understanding
- Scope of Services
- Assumptions
- Schedule
- Fee and Terms

PROJECT UNDERSTANDING

The work associated with this Task Order will generally cover as-needed stormwater engineering and related technical support to various City departments on projects and assignments not covered under other forms of contractual agreements between the City and Ramboll.



SCOPE OF SERVICES

Ramboll's proposed Scope of Services is broad, and is anticipated to cover the following types of assignments:

- Assistance in preparing stormwater-related grant and/or low-interest funding applications for engineering or economic development projects, as requested by the Engineering Department.
- Participation in project meetings, including public outreach, as requested by the Engineering Department or Mayor's Office.
- Planning-level engineering services.
- Assistance with annual reporting required by the NYSDEC for programs and permits relative to separated storm sewers like MS4 reporting, and assistance with EPA Administrative Order on Consent coordination.
- Budget estimates.
- Consultation with the Engineering Department and the Mayor's Office regarding stormwater capital projects, programs, and environmental compliance.
- Coordination with federal, state, and local agencies.
- Quality review of stormwater-related reports prepared by other Consultants under contract with the City, as requested by the Engineering Department.

ASSUMPTIONS

Ramboll will track charges for each individual assignment under this Task Order using work effort descriptions on employee timesheets.

SCHEDULE

Services completed under this Task Order will continue through March 31, 2026.

FEE AND TERMS

Ramboll proposes to perform the above-described services with payment based on actual hours expended, plus applicable expenses, billed in accordance with the attached Compensation Terms. The not to exceed compensation for these services is \$40,000.

If over the life of this Task Order actual engineering fees begin to approach the budget limit, Ramboll will advise the city accordingly to discuss an additional budget appropriation. Ramboll is not required to provide services which are more than the established or amended budget.

Additional services which are beyond the proposed scope of services will be considered additional work and will require additional compensation.



The proposed scope of services will be performed in accordance with the Standard Terms and Conditions as provided in the Master Professional Services Agreement between the City of Utica and Ramboll, executed on May 15, 2013.

We are available to begin work immediately upon authorization and appreciate the opportunity to provide this Task Order to you. Should you have any questions regarding this Task Order or care to revise its contents, please do not hesitate to contact me at your convenience. Should you find this Task Order acceptable, please sign below in the space provided and return two copies to this office, which will be our authorization to proceed.

We look forward to continuing to work with the City of Utica.

Yours sincerely,

**RAMBOLL AMERICAS ENGINEERING
SOLUTIONS, INC.**

Brian Whittaker, PE
SR PROJECT MANAGER

D +1-315-956-6955
M +1-315-725-9448
brian.whittaker@ramboll.com

ACCEPTED AND APPROVED:

CITY OF UTICA

By: Nick Bridenbecker

Title: Deputy City Engineer

Signature: [Handwritten Signature]

Date: 3/3/2025

CC: Stephanie Wurz – City of Utica
Paul Romano – Ramboll

Attachments: Schedule A – Compensation Terms

**SCHEDULE A
RATE SCHEDULE**

1.0 RAMBOLL AMERICAS ENGINEERING SOLUTIONS, INC. (RAMBOLL)

1.1 Billing Rates

CLIENT shall pay Compensation for labor based on CONSULTANT's rate schedule below. The Rate Schedule provided below shall be in effect through completion of this Task Order:

Billing Rates	Title
\$260.00	PROJECT OFFICER
\$230.00	PROJECT MANAGER 2
\$220.00	PROJECT MANAGER 1
\$205.00	SR. ENGINEER/SCIENTIST/PLANNER/CM 3
\$175.00	ENGINEER/SCIENTIST/PLANNER/CM 3
\$155.00	ASSOCIATE ENGINEER/SCIENTIST/PLANNER/CM 2
\$145.00	ENGINEER/SCIENTIST/PLANNER/CM 2
\$130.00	ENGINEER/SCIENTIST/PLANNER/CM 1
\$120.00	JR. ENGINEER/SCIENTIST/PLANNER/CM 1
\$115.00	ENGINEERING TECHNICIAN 3
\$100.00	ENGINEERING TECHNICIAN 2
\$85.00	ENGINEERING TECHNICIAN 1
\$95.00	ADMINISTRATIVE ASSISTANT

1.2 Non-salary expenses and outside services attributable to the Project

CLIENT shall pay Compensation for expenses based on CONSULTANT's rate schedule below. The Rate Schedule provided below shall be in effect through completion of this Task Order:

- 1.2.1 Living and traveling expenses of employees when away from the home office on business connected with services at rates established by the U.S. General Services Administration;
- 1.2.2 The identifiable costs of reproduction, printing, and binding and postage and shipping applicable to the Project;
- 1.2.3 The actual cost of outside services and subcontractors;
- 1.2.4 Mileage calculated at the federal reimbursement rate established by the U.S. General Services Administration for privately owned vehicles in effect on the date of the occurrence;
- 1.2.5 Actual receipted cost of field equipment rental supplied by a vendor for use on the Project;
- 1.2.6 The actual cost of permits and fees required for the project and paid by CONSULTANT;
- 1.2.7 The actual cost for additional insurance required by the Owner in excess of CONSULTANT's normal coverage's or limits;
- 1.2.8 The actual cost of premiums paid on overtime worked.

Appendix D: Illicit Discharge Reports

Currently, all illicit discharge reports are preserved in the IPC database.

Appendix E: Enforcement Tracking Reports



COMPREHENSIVE MS4 MAP

City of Utica
1 Kennedy Plaza
Utica, New York, 13502

FIGURE 01

RAMBOLL AMERICAS
ENGINEERING SOLUTIONS, INC.
A RAMBOLL COMPANY



Appendix F: Comprehensive System Map

Appendix G: Stormwater Catch Basin Inspection Form and Cleaning Procedure

Process for Cleaning Catch Basin by Utica DPW

1. Preparation

- Ensure you are wearing the appropriate safety gear:
such as gloves, goggles, and a mask.
- Ensure you have the necessary tools: a crowbar or lifting hook (to remove the grate), a shovel, and a bucket or vacuum truck for debris removal.

2. Remove the Grate

- Use a crowbar or lifting tool to carefully remove the grate that covers the catch basin. Be cautious, as the grate might be heavy.

3. Clear all Debris

- Remove large debris, such as leaves, trash, and sediment, from the surface using your hands (with gloves) or a shovel.
- For thorough cleaning, use a vacuum truck or a pump to remove fine sediment and standing water from inside the catch basin.

4. Inspect the Catch Basin

- Check the interior for any damage, cracks, or blockages in the connecting pipes.
- Ensure that water flows freely from the basin to the drainage pipes.

5. Dispose Debris

- Properly dispose of the collected debris in accordance with local regulations. Avoid dumping it back into the environment.

6. Replace the Grate

- Once the catch basin is cleaned and inspected, securely place the grate back on top.

Process for Cleaning Catch Basin (cont.)

7. Maintenance

- Regularly inspect and clean the catch basin, especially before and after heavy rainfall, to ensure it remains effective.

Catch Basin Inspection and Cleaning Form

CB ID#: _____ Address: _____

Date of PRIOR/Most Recent Inspection/Clean out _____

Inspector Name _____

Date: _____

Weather Conditions: _____

☐ Dry more than 24 hours ☐ Wet

A.	Depth to Sediment		FT'. IN''
B.	Depth to Bottom of Basin		FT'. IN''
C.	Depth to Lowest Invert		FT'. IN''
D.	Depth of Sump (B-C)		FT'. IN''
E.	Depth of Sediment (B-A)		FT'. IN''

Is the Depth of Sediment (E) Greater than 50% of the Depth of Sump (D)? ☐ Yes ☐ No

IF YES, CB must be scheduled for cleaning within 6 months of Inspection.

IF NO, Within one (1) year after the *catch basin* inspection, *catch basins* that had trash, sediment, and debris at less than 50% of the depth of the *sump* as a result of a *catch basin* inspection must be cleaned out and

c) MS4 Operators are not required to clean out *catch basins* if the *catchbasins* are operating correctly and:

i. There is no trash, sediment, or debris in the *catch basin*, or The *sump* depth of the *catch basin* is less than or equal to two (2) feet.

Cleaning Required within ☐ 6-Months ☐ 12-Months Date of Cleaning _____

Cleaning Method Used: ☐ Clam-Shell ☐ Vacuum Truck ☐ Shovel ☐ Pressure Washer

Catch Basin Condition: ☐ Good ☐ Fair ☐ Poor ☐ Crumbling

Unusual Watercolor? ☐ Yes ☐ No If yes, describe in the comments below.

Unusual Odor? ☐ Yes ☐ No If yes, describe in the comments below.

Observations:				
<input type="radio"/> Foam	<input type="radio"/> Oily Sheen	<input type="radio"/> Bacterial Sheen	<input type="radio"/> Orange Staining	<input type="radio"/> Excessive Sediment
<input type="radio"/> Sanitary Waste	<input type="radio"/> Floatables	<input type="radio"/> Pet Waste	<input type="radio"/> Optical Enhancers	<input type="radio"/> Other:

Sample of Screenings Collected for Analysis? ☐ Yes ☐ No Date of Collection: _____

Sample of Water Collected for Analysis? ☐ Yes ☐ No Date of Collection: _____

Comments: _____

Inspector's Name: _____

Storm Water Catch Basin Inspection & Cleaning Procedure

Cleaning Procedure

Catch basin inspection cleaning procedures should address both the grate opening and the basin's sump. Document all observations about the condition of the catch basin structure and water quality on the Catch Basin Inspection Form (attached).

Catch basin inspection and cleaning procedures include the following:

1. Work upstream to downstream.
2. Clean sediment and trash off the grate.
3. Visually inspect the outside of the grate.
4. Inspect the inside of the catch basin to determine cleaning needs.
5. Inspect the catch basin for structural integrity.
6. Determine the most appropriate equipment and method for cleaning each catch basin.
 - a. Manually use a shovel to remove accumulated sediments or
 - b. Use a bucket loader to remove accumulated sediments or
 - c. A high-pressure washer removes any remaining material from the catch basin while capturing the slurry with a vacuum.
 - d. If necessary, after the catch basin is clean, use the vacuum truck's rodder to clean the downstream pipe and pull back sediment that might have entered it.
7. If contamination is suspected, chemical analysis will be required
8. Properly dispose of collected sediments. See the following section for guidance.
9. If fluids collected during catch basin cleaning are not being handled and disposed of by a third party, dispose of them in a sanitary sewer system with permission from the system operator.
10. If illicit discharges are observed or suspected, notify New York DEC.
11. At the end of each day, document the location and number of catch basins cleaned, the amount of waste collected, and the disposal method for all screenings.
12. Report additional maintenance or repair needs to the appropriate Department.

Disposal of Screenings

Catch basin cleanings from storm water-only drainage systems may be disposed of at the Oneida-Herkimer Solid Waste Authority. Call ahead 315-733-1224

Complete the Uniform Tracking Document, go over the scale, and submit documentation to the clerk. Catch basin material is dumped with regular waste.

All material should be dumped without water.

Appendix H: Parks Trash Maintenance Procedure

City of Utica

MS4 Program

Standard Operating Procedure

Trash Receptacles/Maintenance

OPERATIONAL OVERVIEW: All parks maintenance personnel will adhere to the procedures detailed in this document. Parks /city right-a-way are used by the public will have trash receptacles.

STANDARD OPERATING PROCEDURES:

1. Place trash receptacles in concentrated areas within each parks/ city right-a-way that experience the greatest use and in specific areas where trash is expected to be generated.
2. Specific areas where trash may be expected in parks include: near picnic tables, pavilions, bleachers, benches, athletic fields, and concession stands.
3. When placing trash receptacles in parks consideration will be given to the equipment used to empty the trash receptacles.
4. Recognizing that many factor, such as the weather, seasonal athletic events, and public and private events cause the amount of park use to fluctuate, the number of receptacles and placement location will be evaluated and adjusted to account for these fluctuations. Trash receptacles will be monitored for use and more will be added if the existing receptacles are determined to be insufficient. Receptacles may be removed or relocated if the existing receptacles are experiencing infrequent use.
5. When a pattern of litter is observed in certain areas within a park/city right-a-way a trash bin will be placed in that area.
6. Extra trash receptacles may be added for larger events. The number of receptacles added will depend on the expected activity. Activities in which food will be served or waste is expected to be generated will require more receptacles. The expected size of the crowd will also be a key in determining the number of receptacles needed.
7. Recognizing that trash receptacles are occasionally removed without permission, receptacles will be replaced as necessary.
8. Trash receptacles with lids are preferred as lids deter animals and help prevent wind from blowing the trash.

9. Trash bins will be emptied by a trash truck on a daily basis.
10. The schedule will be based on many factors including: seasonal athletic schedules and events and the general amount of usage of the particular park. The weekly event schedule will be consulted when determining the route.
11. Priority may be given to high use and high visibility parks.
12. The goal is to empty trash receptacles so that all of the trash is contained and there are no receptacles overflowing or full to the degree that loose trash falls or is blown out of the receptacle.
13. During the emptying of trash receptacles if trash is accidentally spilled onto the ground, employees will pick up the trash. Additionally, for trash receptacles that have liners, on occasion trash will fall between the liner and the receptacle. This trash will be emptied.
14. If cooking grease/oil is discovered in a large quantity at a park/city right-a-way the supervisor must be notified. The supervisor will coordinate with Oneida Herkimer Solid Waste Authority for pickup and proper disposal.
15. City parks and dog parks will have their own waste stations. These stations will have a bag dispenser, 10-gallon steel waste receptacle with lid, and instructional signage.
16. The bag dispensers and waste receptacles will be resupplied and emptied based on its weekly usage.

EMPLOYEE TRAINING

1. Ensure employees are trained in above procedures.
2. Ensure employees know how to determine where to place trash receptacles to best deter littering.
3. Ensure employees are aware of the city codes concerning litter and illegal trash disposal.

Appendix I: Street Sweeping Procedure

Note: DPW conducts street sweeping on the following day of green waste pick up. The green waste schedule is stated below.



CITY OF UTICA 2025 GREEN WASTE PICK-UP SCHEDULE

Place items loose at curb, NOT in the street.
(See reverse side for a list of allowable items.)

NORTH (*Broad St. and NYC & HR Railroad north to city line*)

Spring: STARTS April 13 – May 11 FINAL PICK-UP

Fall: STARTS Oct. 5 – Nov. 9 FINAL PICK-UP

UPPER EAST (*Eagle St./Welshbush Rd. south to the city line and east of Genesee St./Memorial Parkway/Oneida St.*)

Spring: STARTS April 14 – May 12 FINAL PICK-UP

Fall: STARTS Oct. 6 – Nov. 10 FINAL PICK-UP

SOUTH (*Burrstone Rd. south to the city line and west of Oneida St.*)

Spring: STARTS April 15 – May 13 FINAL PICK-UP

Fall: STARTS Oct. 7 – Nov. 11 FINAL PICK-UP

LOWER EAST (*Broad St. to Eagle St. and east of Genesee St.*)

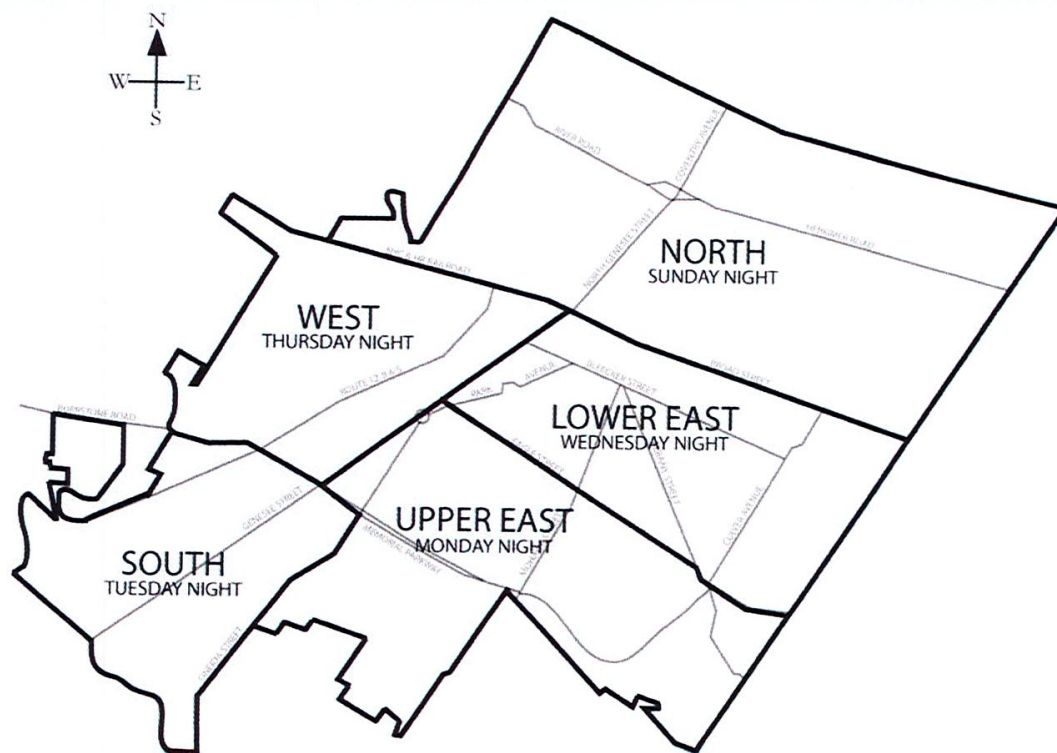
Spring: STARTS April 16 – May 14 FINAL PICK-UP

Fall: STARTS Oct. 8 – Nov. 12 FINAL PICK-UP

WEST (*Oriskany St to Burrstone Rd. and west of Genesee St.*)

Spring: STARTS April 17 – May 15 FINAL PICK-UP

Fall: STARTS Oct. 9 – Nov. 13 FINAL PICK-UP



CITY OF UTICA GREEN WASTE COLLECTION ROUTES

The City of Utica **DOES NOT** pick up trees, shrubs, logs and stumps. If you have large green waste items to be removed, call Oneida-Herkimer Solid Waste Authority at (315) 731-0238 to schedule a pick-up. A nominal fee is charged for this service.

Dates subject to change due to weather at the DPW Commissioner's discretion. Your FINAL PICK-UP is the last day to put out bulk green waste. Heavy volume of green waste in certain areas of the city may cause delays. Please be patient with crews.



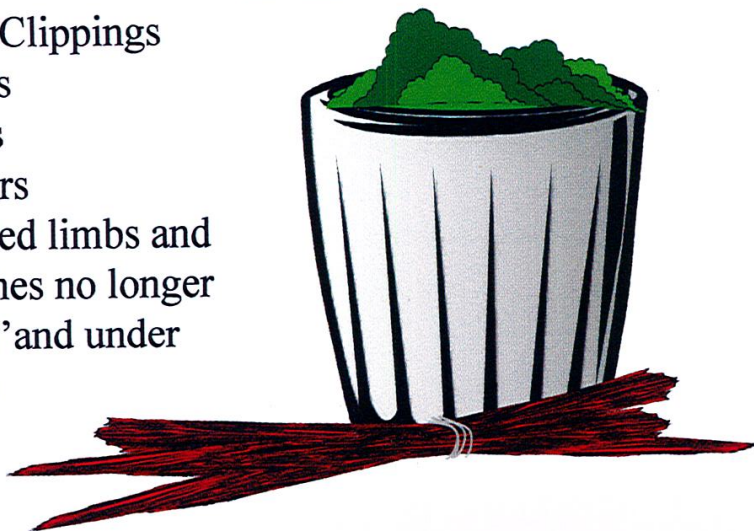
CITY OF UTICA

2025 GREEN WASTE PICK-UP SCHEDULE

ALLOWABLE GREEN WASTE ITEMS

ALL GREEN WASTE MUST BE IN BARRELLS

- ✓ Grass Clippings
- ✓ Leaves
- ✓ Weeds
- ✓ Flowers
- ✓ Bundled limbs and branches no longer than 4' and under 50 lbs



ITEMS NOT ALLOWED

NO Household garbage	NO Plastic
NO Plant Pots	NO Bricks
NO Soil	NO Cement
NO Shrubs	NO Lumber
NO Stumps	NO Construction Debris
NO Logs	NO Hazardous Waste
NO TREES	NO Chemicals

Between May 25 - September 28,
GREEN WASTE MUST BE IN CONTAINERS.

Each household is allowed (5) – 32 gallon barrels no more than 50 lbs each. Branches must be bundled, no longer than 4' and weigh no more than 50 lbs.

**BULK GREEN WASTE PILES PUT OUT DURING
THE REST OF THE YEAR WILL BE CITED.**

NOTE: Landscaping and construction contractors are mandated by the City of Utica Code Sec. 2-22-41 to remove all green waste from any city property at which they have provided services.

City of Utica
1 Kennedy Plaza
Utica, NY 13502

Appendix J: NYSDEC MS4 Submittals

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Water

625 Broadway, Albany, New York 12233-3500

P: (518) 402-8233 | F: (518) 402-9029

www.dec.ny.gov

MS4 Operator Certification Form for eReports

SPDES General Permit for Stormwater Discharges From Municipal Separate Storm Sewer Systems (GP-0-24-001)

Instructions

Please review Part X.J. of GP-0-24-001 before signing this form. A signature by an unauthorized person will delay permit coverage.

This form must be signed by one of the following:

1. For a corporation: by a responsible corporate officer
2. For a partnership: by a general partner
3. For a sole proprietorship: by the proprietor
4. For a municipality, state, federal or other public agency: by a principal executive officer or ranking elected official

MS4 Operator Name: City of Utica

eReport Submission Number: HQ1-QOF6-PN2CK

MS4 Operator Certification

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Michael Galime
Name (please print or type)

Mayor
Title

City of Utica
Organization

[Signature]
Signature

2/29/2024
Date



Department of
Environmental
Conservation

Table of Contents

Table of Contents	1
MS4 Interim Progress Certification 6 Month Requirements	2
(Submission #: HQ5-VPP1-JH8JP, version 2)	2
Details	2
Form Input	2
MS4 Operator Information	2
Part I-V	2
Part II	2
Part IV	3
Part VI & VII	4
Part VIII	4
Part IX	5
Compliance Schedule Review	5
Certification	6
Attachments	6
Status History	6
Processing Steps	6
Revisions	6

MS4 Interim Progress Certification6 Month Requirements

version 1.0

(Submission #: HQ5-VPP1-JH8JP, version 2)

Details

Submitted 10/29/2024 (152 days ago) by Stephanie Wurz

Alternate Identifier NYR20A361

Submission ID HQ5-VPP1-JH8JP

Status Deemed Complete

Active Steps Review

Form Input

MS4 Operator Information

Municipality Name or Legal Entity Name
City of Utica

Permit ID #:
NYR20A361

MS4 Operator Type
Traditional land use control

Traditional Land Use Control
City

Traditional Land Use Control

Traditional land use control MS4 Operator requirements are found in Part VI of the MS4 General Permit.

Legal Municipal/Entity Mailing address

1 Kennedy Plaza
Utica, NY 13502
Oneida

Ranking Official

Official Title	First and Last Name	Phone	Email
Mayor	Michael Galime	315-792-0212	mayor@cityofutica.com

Report Preparer

Report Preparer Title	First and Last Name	Phone	Email
Municipal Engineer	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Stormwater Program Coordinator

Coordinator Title	First and Last Name	Phone	Email
Stormwater Program Coordinator	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Part I-V

MS4 General Permit Resources

Use the following webpages for more information on the permit and fact sheet:

[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

Part II

Obtaining Permit Coverage

Has a complete Notice of Intent (NOI) been submitted? (Part II.A.)

Yes

Part IV

Administrative

Has a written staffing/organizational chart, which includes job titles and other entities as identified in Part IV.A.1, and the roles and responsibilities for each, corresponding to the required elements of the SWMP been developed? (Part IV.A.2.)

No

Please clarify the reason for selecting "No" for this item.

The City anticipates having a revised and comprehensive staffing chart to formally define roles and responsibilities which will be part of the revised SWMP.

SWMP Plan

Has the current SWMP Plan, and any documentation associated with the implementation of the SWMP Plan, been made available during normal business hours? (Part IV.B.2.a.)

No

Please clarify the reason for selecting "No" for this item.

The City intends to have revisions complete by 1/31/2025

Is a copy of the current SWMP Plan available for public inspection during normal business hours at a location that is accessible to the public, or on a public website? (Part IV.B.2.b.)

No

Please clarify the reason for selecting "No" for this item.

The original NOI will be put on the website along with the revised SWMP Plan upon completion or no later than 1/31/2025.

Mapping

Are the required components included in the comprehensive system mapping? (Part IV.D.1.)

No

Please clarify the reason for selecting "No" for this item.

It is anticipated that the City will complete the updated outfall mapping no later than 1/31/2025.

Legal Authority

Has adequate legal authority been maintained? (Part IV.E.)

Yes

Enforcement Measures & Tracking

Has an enforcement response plan (ERP) which clearly describes the action(s) to be taken for violations that the MS4 Operator has enacted for illicit discharge been developed? (Part IV.F.1.)

No

Please clarify the reason for selecting "No" for this item.

The City intends on updating city webpage to include point of contact and SWMP Plan which includes the staffing chart.

Has an enforcement response plan (ERP) which clearly describes the action(s) to be taken for violations that the MS4 Operator has enacted for construction been developed? (Part IV.F.1.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

The City intends to have SWMP Plan and policies established no later than 1/31/2025

Has an enforcement response plan (ERP) which clearly describes the action(s) to be taken for violations that the MS4 Operator has enacted for post-construction been developed? (Part IV.F.1.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

The City intends to have SWMP Plan and policies established no later than 1/31/2025

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part VI & VII

Minimum Control Measure 1

Has information related to the prevention of illicit discharges been made available? (Part VI/VII.A.1.d.)

Yes

Minimum Control Measure 2

Has a local point of contact to receive and respond to public concerns regarding stormwater management and compliance with permit requirements been identified? (Part VI/VII.B.1.c.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

The City intends on updating city webpage to include point of contact and SWMP Plan which includes the staffing chart.

Minimum Control Measure 3

Has an email or phone number to allow the public to report illicit discharges been established? (Part VI/VII.C.1.a.i.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

The City intends on updating city webpage to include point of contact and SWMP Plan which includes the staffing chart.

Minimum Control Measure 4

Has an email or phone number to allow the public to report complaints related to construction stormwater activity been established? (Part VI/VII.D.2.a.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

The City intends on updating city webpage to include point of contact and SWMP Plan which includes the staffing chart.

Has a construction site inventory been developed? (Part VI/VII.D.4.a.)

Yes

Minimum Control Measure 5

Has the inventory of post-construction stormwater management practices (SMPs) been maintained from previous iterations of this SPDES general permit? (Part VI/VII.E.2.a.i.)

Yes

Has the inventory of post-construction stormwater management practices (SMPs) been developed as they are approved/discovered or after the owner/operator of the construction activity has filed the Notice of Termination? (Part VI/VII.E.2.a.ii.)

Yes

Minimum Control Measure 6

Have procedures for sweeping and/or cleaning of municipal streets, bridges, parking lots, and right of ways been developed? (Part VI/VII.F.3.d.i.)

Yes

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part VIII

Does the MS4 Operator discharge to an impaired water listed in Appendix C of GP-0-24-001?

Yes

For which pollutant(s) is the waterbody impaired? Select the pollutants for all the impaired waters listed in Appendix C of GP-0-24-001 to which the MS4 Operator discharges.

Phosphorus

Pathogens

Phosphorus

Has information on how phosphorus is being addressed by implementation of the MS4 Operator's local law or legal mechanism with content equivalent to the model local law been made available? (Part VIII.A.2.a.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.
The City intends on updating city webpage to include revised SWMP Plan

Pathogens

Has information on how pathogens are being addressed by implementation of the MS4 Operator's local law or legal mechanism with content equivalent to the model local law been made available? (Part VIII.C.2.a.)
No

Please clarify the reason for selecting "No" or "N/A" for this item.
The City intends on updating city webpage to include information and revised SWMP Plan.

Have municipal facilities with nuisance bird populations that have the potential to contribute pathogens been identified? (Part VIII.C.7.b.i.)
No

Please clarify the reason for selecting "No" or "N/A" for this item.
The revised SWMP will address this.

Has signage at municipal facilities with nuisance bird populations that have the potential to contribute pathogens, instructing the public not to feed wildlife, been made available? (Part VIII.C.7.b.ii.)
No

Please clarify the reason for selecting "No" or "N/A" for this item.
Under review and will be established if deemed necessary upon completion of the revised SWMP.

Has trash and debris been removed from municipally owned facilities when necessary to eliminate potential food sources for wildlife? (Part VIII.C.7.b.iii.)
Yes

Please enter any comments related to the questions in this section below:
NONE PROVIDED

Part IX

Does the MS4 Operator discharge to a TMDL listed in Table 3 of GP-0-24-001?
No

Please enter any comments related to the questions in this section below:
NONE PROVIDED

Compliance Schedule Review

Compliance Schedule Resources

Use the following links for more information on the permit and compliance schedule:
[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

What is the status for compliance items due within one year of effective date of coverage (EDC), January 2, 2025?

Citation	Compliance Items	Compliance Progress
Part VI/VII.D.3.	Develop and implement a construction oversight program	In Progress
Part VI/VII.D.5.a.	Prioritize construction sites	In Progress
Part VI/VII.E.4.	Develop and implement a post-construction stormwater management practice inspection and maintenance program	In Progress
Part VIII.C.7.b.iv.	Evaluate the effectiveness of deterrents, population controls, and other measures that may reduce bird related pathogen contributions	In Progress
Part VIII.C.7.c.	Make dog waste receptacles available in areas where pets/domestic animals may frequent	In Progress
Part IX.A.6.f.i.a. and IX.B.6.f.i.a.	Submit to the Department a retrofit plan that identifies the required components	Not Started

Have you reviewed compliance items due within two years of EDC, January 2, 2026?
No

Have you reviewed compliance items due within three years of EDC, January 2, 2027?
No

Have you reviewed compliance items due within four years of EDC, January 2, 2028?
No

Have you reviewed compliance items due within five years of EDC, January 2, 2029?
No

Have you reviewed compliance items which need to be completed routinely (annually, every five (5) years, etc.)?
Yes

Please clarify the reason for selecting "No" for one or more of the future compliance items above.
The City is prioritizing the SWMP revisions, establishing updated staffing chart, outfall mapping, etc. and will be reviewing the future compliance items in detail through the revision of policies and procedures.

Please enter any comments related to the questions in this section.
NONE PROVIDED

Certification

CORRECTION REQUEST (APPROVED)

Certification Form

Please complete and submit the current certification form. The form submitted is no longer valid.
Created on 10/25/2024 1:36 PM by **Michelle Nowak**

I am the ranking elected official or Principal Executive Officer for the MS4 Operator and will be signing the form electronically.
No

[Duly Authorized Representative Form](#)

Attach completed certification form.
MS4 Operator Certificate Form rev- signed.pdf - 10/29/2024 01:27 PM
Comment
Updated as requested.

Attach completed Duly Authorized Representative Form or written authorization.
Utica- MS4 Duly Authorized Representative form signed.pdf - 09/25/2024 02:00 PM
Comment
NONE PROVIDED

Attachments

Date	Attachment Name	Context	User
10/29/2024 1:27 PM	MS4 Operator Certificate Form rev- signed.pdf	Attachment	Stephanie Wurz
9/25/2024 2:00 PM	Utica- MS4 Duly Authorized Representative form signed.pdf	Attachment	Stephanie Wurz

Status History

	User	Processing Status
10/29/2024 1:25:56 PM	Stephanie Wurz	Draft
10/29/2024 1:27:50 PM	Stephanie Wurz	Submitting
10/29/2024 1:28:02 PM	Stephanie Wurz	Submitted
11/13/2024 10:20:45 AM	Audra Beach	Deemed Complete

Processing Steps

Step Name	Assigned To/Completed By	Date Completed
Form Submitted	Stephanie Wurz	10/29/2024 1:28:02 PM
Review		

Revisions

Revision	Revision Date	Revision By
Revision 1	8/13/2024 12:32 PM	Stephanie Wurz
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Table of Contents

Table of Contents	1
MS4 Annual Report/Interim Progress Certification 2025	2
(Submission #: HQ9-ECRK-2RYJR, version 1)	2
Details	2
Form Input	2
MS4 Operator Information	2
Part IV	3
Part V	3
Part VI	4
Part VIII	12
Part IX	15
Interim Progress Status	15
Certification	15
Attachments	15
Status History	16
Processing Steps	16

MS4 Annual Report/Interim Progress Certification

version 1.0

(Submission #: HQ9-ECRK-2RYJR, version 1)

Details

Submitted 3/31/2025 (0 days ago) by Stephanie Wurz

Alternate Identifier NYR20A361

Submission ID HQ9-ECRK-2RYJR

Status Submitted

Active Steps Review

Form Input

MS4 Operator Information

Municipality Name or Legal Entity Name
City of Utica

Permit ID #:
NYR20A361

MS4 Operator Type
Traditional land use control

Traditional Land Use Control
City

Traditional Land Use Control

Traditional land use control MS4 Operator requirements are found in Part VI of the MS4 General Permit.

Legal Municipal/Entity Mailing address

1 Kennedy Plaza
Utica, NY 13502
Oneida

Ranking Official

Official Title	First and Last Name	Phone	Email
Mayor	Michael P. Galime	315-792-0212	mayor@cityofutica.com

Report Preparer

Report Preparer Title	First and Last Name	Phone	Email
Other: Senior Engineer- City of Utica	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Stormwater Program Coordinator

Coordinator Title	First and Last Name	Phone	Email
Stormwater Program Coordinator	Stephanie Wurz	315-792-0152	swurz@cityofutica.com

Part IV

Was the information in this section completed as part of a coalition/group?

No

MS4 General Permit Resources

Use the following webpages for more information on the permit and fact sheet:

[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

SWMP Plan

Annually: Have the alternative implementation agreements in the SWMP Plan been updated? (Part IV.A.1.e.)

Yes

Annually: Has the SWMP been updated? (Part IV.B.3.)

Yes

Mapping

Annually: Has the comprehensive system mapping been updated? (Part IV.D.)

Yes

What tools are used to satisfy the comprehensive system mapping requirements? (e.g. paper maps, GIS, web mappers, etc.)

paper maps, GIS

Within three (3) years of the EDC: Has Phase I of the comprehensive mapping been completed? (Part IV.D.2.a.)

No

Please clarify the reason for selecting "No" for this item.

item is not due this year

Within five (5) years of the EDC: Has Phase II of the comprehensive mapping been completed? (Part IV.D.2.b.)

No

Please clarify the reason for selecting "No" for this item.

item is not due this year

Legal Authority

Within three (3) years of the EDC: For newly designated MS4 Operators, has adequate legal authority been developed and implemented? (Part IV.E.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

City of Utica is not a newly designated MS4

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part V

In Year 5: Has the SWMP Plan been evaluated? (Part V.C.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Part VI

Which MCMs in this Part were completed as a coalition/group, if any?

NONE PROVIDED

Minimum Control Measure 1

Within three (3) years of the EDC: Have the focus areas been identified? (Part VI.A.1.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have the target audience(s) and associated pollutant generating activities been identified? (Part VI.A.1.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have the education and outreach topics been identified and how the education and outreach topics will reduce the potential for pollutants explained? (Part VI.A.1.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has the method(s) used for distribution of educational messages been identified? (Part VI.A.2.a.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has one educational message been delivered to each target audience(s) for each focus area based on the education and outreach topic(s)? (Part VI.A.2.b.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have target audiences, focus areas, and/or education and outreach topics been updated? (Part VI.A.2.c.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 2

Annually: Has an opportunity for public involvement/participation in the development and implementation of the SWMP been provided? (Part VI.B.1.a.)

Yes

What was the opportunity for public involvement/participation in the SWMP?

Public hearings or meetings

Reporting concerns about activities or behaviors observed

Coordination with other pre-existing public involvement/participation opportunities

Annually: Has the public been informed about the opportunity for their involvement in the development and implementation of the SWMP and how they can get involved? (Part VI.B.1.b.)

Yes

What is the method(s) used for distribution to inform the public of the opportunity for involvement?

Electronic materials (e.g., websites, email listservs)

Printed materials (e.g., mail inserts, brochures and newsletters)

Mass media (e.g., newspapers, public service announcements on radio or cable)

Annually: Has an opportunity to review and comment on the publicly available SWMP Plan been provided? (Part VI.B.2.a.)

Yes

Annually: Has an opportunity to review and comment on the draft annual report been provided? (Part VI.B.2.b.i.)

Yes

What opportunity for review and comment on the draft annual report has been provided?

Posting of draft Annual Report on a public website

Annually: Have the comments received on the SWMP Plan been summarized? (Part VI.B.2.c.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

Council meeting on April 2nd with the public

Annually: Have the comments received on the draft annual report been summarized? (Part VI.B.2.c.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

Council meeting on April 2nd with the public

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 3

Within three (3) years of the EDC: Has an inventory of monitoring locations been developed? (Part VI.C.1.c.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Has the monitoring location inventory been updated? (Part VI.C.1.c.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have monitoring locations been prioritized? (Part VI.C.1.d.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Has the monitoring location prioritization been updated? (Part VI.C.1.d.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within two (2) years of the EDC: Has a monitoring locations inspection and sampling program been developed and implemented? (Part VI.C.1.e.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Have all the monitoring locations been inspected? (Part VI.C.1.e.i.a))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has training on the MS4 Operator's monitoring locations inspection and sampling procedures been provided? (Part VI.C.1.e.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received monitoring locations inspection and sampling training been updated? (Part VI.C.1.e.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the monitoring locations inspection and sampling procedures been updated? (Part VI.C.1.e.iv.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within two (2) years of the EDC: Has an illicit discharge track down program been developed and implemented? (Part VI.C.2.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has training on the MS4 Operator's illicit discharge track down procedures prior to conducting illicit discharge track down been provided? (Part VI.C.2.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received illicit discharge track down procedures training been updated? (Part VI.C.2.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the illicit discharge track down procedures been reviewed and updated? (Part VI.C.2.d.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within two (2) years of the EDC: Has an illicit discharge elimination program been developed and implemented? (Part VI.C.3.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has training on the MS4 Operator's illicit discharge elimination procedures prior to conducting illicit discharge elimination been provided? (Part VI.C.3.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received illicit discharge elimination procedures training been updated? (Part VI.C.3.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Have the illicit discharge elimination procedures been reviewed and updated? (Part VI.C.3.d.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 4

Within one (1) year of the EDC: Has a construction oversight program been developed and implemented? (Part VI.D.3)

Yes

In Year 5: Has training on the MS4 Operator's construction oversight procedures prior to conducting construction oversight been provided? (Part VI.D.3.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 2, Year 3, Year 4, and Year 5: Have the names, titles, and contact information for the individuals who have received construction oversight procedures training been updated? (Part VI.D.3.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 2, Year 3, Year 4, and Year 5: Have the construction oversight procedures been reviewed and updated? (Part VI.D.3.e.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Annually: Has the inventory of construction sites been updated? (Part VI.D.4.b.)

Yes

How many construction sites are on the inventory?

83

Within one (1) year of the EDC: Have construction sites been prioritized? (Part VI.D.5.a.)

Yes

How many high priority construction sites are on the inventory?

1

In Year 2, Year 3, Year 4, and Year 5: Has the construction site prioritization been updated? (Part VI.D.5.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have the individuals responsible for reviewing SWPPPs for acceptance received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity prior to conducting SWPPP reviews and/or approvals? (Part VI.D.6.a.i.)

Yes

Annually: Have the names, titles, and contact information for the individuals who have received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity, for individuals responsible for reviewing SWPPPs been updated? (Part VI.D.6.d.)

Yes

Are pre-construction meetings conducted prior to the commencement of construction activity? (Part VI.D.7.)

Yes

Within three (3) years of the EDC: Have the individuals responsible for construction site inspections received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity prior to conducting construction site inspections? (Part VI.D.8.a.i.)

Yes

Annually: Have all sites with construction activity identified in the inventory been inspected during active construction after the pre-construction meeting, or sooner if deficiencies are noted that require attention? (Part VI.D.8.c.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

City projects were inspected. Moving forward we will inspect each construction site

How many construction sites have been inspected?

2

Annually: Have the names, titles, and contact information for the individuals who have received four (4) hours of Department endorsed training in proper erosion and sediment control principles from a Soil & Water Conservation District, or other Department endorsed entity, for individuals responsible for construction site inspections been updated? (Part VI.D.8.d.)

Yes

Are final construction site inspections conducted? (Part VI.D.9.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

City projects were inspected. Moving forward we will inspect each construction site

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 5

Annually: Has the inventory of post-construction SMPs been updated? (Part VI.E.2.c.)

Yes

How many post-construction SMPs are on the inventory?

59

Within five (5) years of the EDC: Have the required components been included in the post-construction SMP inventory? (Part VI.E.2.d.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within one (1) year of the EDC: Has a post-construction SMP inspection and maintenance program been developed and implemented? (Part VI.E.4.)

Yes

Has each post-construction SMP identified in the inventory been inspected at the required frequency? (Part VI.E.4.a.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

List was established this year and moving forward each post-construction site will be inspected. Valley View and the Utica Harbor projects were inspected.

How many post-construction SMPs have been inspected at the required frequency?

2

In Year 5: Has training on the MS4 Operator's post-construction SMP inspection and maintenance procedures prior to conducting post-construction SMP inspection and maintenance been provided? (Part VI.E.4.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Annually: Have names, titles, and contact information for the individuals who have received post-construction SMP inspection and maintenance procedures training updated? (Part VI.E.4.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 2, Year 3, Year 4, and Year 5: Have the post-construction SMP inspection and maintenance procedures been reviewed and updated? (Part VI.E.4.d.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Minimum Control Measure 6

Within three (3) years of the EDC: Have best management practices (BMPs) been incorporated into the municipal facility program and municipal operations program? (Part VI.F.1.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has a municipal facility program been developed and implemented? (Part VI.F.2.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has training on the MS4 Operator's municipal facility procedures prior to conducting municipal facility procedures been provided? (Part VI.F.2.a.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have the names, titles, and contact information for the individuals who have received municipal facility procedures training been updated? (Part VI.F.2.a.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have the municipal facility procedures been updated? (Part VI.F.2.a.iv.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within two (2) years of the EDC: Has a municipal facility inventory been developed? (Part VI.F.2.b.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 3, Year 4, and Year 5: Has the municipal facility inventory been updated? (Part VI.F.2.b.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have the municipal facilities been prioritized? (Part VI.F.2.c.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Has the municipal facility prioritization been updated? (Part VI.F.2.c.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within five (5) years of the EDC: Has a municipal facility specific SWPPP for each high priority municipal facility been developed? (Part VI.F.2.d.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has all wet weather visual monitoring of the monitoring locations at all high priority municipal facilities been conducted? (Part VI.F.2.d.ii.a))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has a comprehensive site assessment for each high priority municipal facility been completed? (Part VI.F.2.d.ii.c))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has a comprehensive site assessment for each low priority municipal facility been completed? (Part VI.F.2.e.ii.c))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has a municipal operations program been developed? (Part VI.F.3.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Has training on the MS4 Operator's municipal operations procedures prior to conducting municipal operations been provided? (Part VI.F.3.a.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have the names, titles, and contact information for the individuals who have received municipal operations procedures training been updated? (Part VI.F.3.a.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 4 and Year 5: Have the municipal operations procedures been reviewed and updated? (Part VI.F.3.a.iv.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Have catch basins in need of inspection been identified? (Part VI.F.3.c.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has catch basin inspection information been inventoried? (Part VI.F.3.c.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

In Year 5: Have all streets, bridges, parking lots, and right of ways been swept? (Part VI.F.3.d.i.a))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Annually: Have all streets in business districts and commercial areas been swept? (Part VI.F.3.d.i.b))

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within five (5) years of the EDC: Have roads, bridges, parking lots, and right of way maintenance specific BMPs been implemented? (Part VI.F.3.d.ii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within five (5) years of the EDC: Have winter road maintenance specific BMPs been implemented? (Part VI.F.3.d.iii.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part VIII

Does the MS4 Operator discharge to an impaired water listed in Appendix C of GP-0-24-001?

Yes

For which pollutant(s) is the waterbody impaired? Select the pollutants for all the impaired waters listed in Appendix C of GP-0-24-001 to which the MS4 Operator discharges.

Phosphorus
Pathogens
Floatables

Which requirements in this Part were completed as a coalition/group, if any?

NONE PROVIDED

Phosphorus

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include MS4 infrastructure and sewershed information for each MS4 outfall and ADA MS4 outfall discharging to a phosphorus impaired water listed in Appendix C? (Part VIII.A.1.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include the listed items for each MS4 outfall discharging to a phosphorus impaired water listed in Appendix C? (Part VIII.A.1.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include ADA MS4 outfalls discharging to a phosphorus impaired water listed in Appendix C? (Part VIII.A.1.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 1

Twice a year, in Year 4 and Year 5: Have educational messages with information specific to phosphorus been provided? (Part VIII.A.2.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 3

Within five (5) years of the EDC: Has the number of each item listed in Part VIII.A.1.b. been included on the MS4 outfall inventory for each associated MS4 outfall? (Part VIII.A.4.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 4

How many high priority construction sites discharge to the phosphorus impaired water(s)?

1

Have high priority construction sites that discharge to the phosphorus impaired water(s) been inspected by the MS4 Operator at the required frequency? (Part VIII.A.5.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

Construction site does not discharge to a phosphorus impaired water

Minimum Control Measure 6

In Year 4 and Year 5: Have all streets located in sewersheds discharging to phosphorus impaired segments been swept? (Part VIII.A.7.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Pathogens

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include MS4 infrastructure and sewershed information for each MS4 outfall and ADA MS4 outfall discharging to a pathogens impaired water listed in Appendix C? (Part VIII.C.1.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include the listed items for each MS4 outfall discharging to a pathogens impaired water listed in Appendix C? (Part VIII.C.1.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include ADA MS4 outfalls discharging to a pathogens impaired water listed in Appendix C? (Part VIII.C.1.c.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 1

In Year 4 and Year 5: Have educational messages with information specific to pathogens been provided? (Part VIII.C.2.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 3

Within five (5) years of the EDC: Has the number of each item listed in Part VIII.C.1.b. been included on the MS4 outfall inventory for each associated MS4 outfall? (Part VIII.C.4.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 6

In Year 4 and Year 5: Have all streets located in sewersheds discharging to pathogens impaired segments been swept? (Part VIII.C.7.a.i.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within one (1) year of the EDC: Has the effectiveness of deterrents, population controls, and other measures that may reduce bird related pathogen contributions been evaluated? (Part VIII.C.7.b.iv.)

Yes

Within one (1) year of the EDC: Have dog waste receptacles been made available in areas where pets/domestic animals may frequent? (Part VIII.C.7.c.)

No

Please clarify the reason for selecting "No" or "N/A" for this item.

Dog receptacles were ordered for installation Spring of 2025 for any remaining parks (2 dog parks already have the dog receptacles).

Floatables

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include MS4 infrastructure and sewershed information for each MS4 outfall and ADA MS4 outfall discharging to a floatables impaired water listed in Appendix C? (Part VIII.E.1.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Within three (3) years of the EDC: Has the comprehensive system mapping been updated, in a geographic information system (GIS), to include ADA MS4 outfalls discharging to a floatables impaired water listed in Appendix C? (Part VIII.E.1.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 1

Twice a year, in Year 4 and Year 5: Have educational messages with information specific to floatables been provided? (Part VIII.E.2.b.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Minimum Control Measure 6

In Year 4 and Year 5: Have all streets located in sewersheds discharging to floatables impaired segments been swept? (Part VIII.E.7.a.)

N/A

Please clarify the reason for selecting "No" or "N/A" for this item.

item is not due this year

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Part IX

Does the MS4 Operator discharge to a TMDL listed in Table 3 of GP-0-24-001?

No

Please enter any comments related to the questions in this section below:

NONE PROVIDED

Interim Progress Status

Interim Progress Resources

Use the following webpages for more information on the permit and fact sheet:

[MS4 Permit Webpage](#)

[MS4 Toolbox](#)

Have you reviewed compliance items due within two years of EDC?

Yes

Have you reviewed compliance items due within three years of EDC?

Yes

Have you reviewed compliance items due within four years of EDC?

Yes

Have you reviewed compliance items due within five years of EDC?

Yes

Have you reviewed compliance items which need to be completed routinely (annually, every five (5) years, etc.)?

Yes

Please enter any comments related to the questions in this section.

NONE PROVIDED

Certification

The ranking elected official or Principal Executive Officer for the MS4 Operator will be signing the form.

Yes

As the Ranking Elected Official or Principal Executive Officer, please download the certification form using the link below. Complete and sign the certification. Then, upload the certification form to this Interim Progress Certification and/or Annual Report.

[Certification Form](#)

Attach completed certification form.

[Utica_InterimProgCertForm_SIGNED.pdf - 03/31/2025 06:48 PM](#)

Comment

NONE PROVIDED

Attachments

Date	Attachment Name	Context	User
3/31/2025 6:48 PM	Utica_InterimProgCertForm_SIGNED.pdf	Attachment	Stephanie Wurz

Status History

	User	Processing Status
1/6/2025 10:24:04 AM	Stephanie Wurz	Draft

	User	Processing Status
3/31/2025 6:48:42 PM	Stephanie Wurz	Submitting
3/31/2025 6:48:48 PM	Stephanie Wurz	Submitted

Processing Steps

Step Name	Assigned To/Completed By	Date Completed
Form Submitted	Stephanie Wurz	3/31/2025 6:48:48 PM
Review		

**Appendix K: NYSDEC SPDES General Permit for Stormwater Discharges
from Construction Activities, GP-0-20-001**



Department of
Environmental
Conservation

NEW YORK STATE
DEPARTMENT OF ENVIRONMENTAL
CONSERVATION (NYSDEC)

SPDES GENERAL PERMIT
FOR STORMWATER DISCHARGES

From

CONSTRUCTION ACTIVITY

Permit No. GP-0-25-001

Construction General Permit (CGP)


Issued Pursuant to Article 17, Titles 7, 8 and Article 70
of the Environmental Conservation Law

Effective Date: January 29, 2025

Expiration Date: January 28, 2030

Scott E. Sheeley

Chief Permit Administrator

A handwritten signature in blue ink that reads "Scott E. Sheeley". The signature is written in a cursive style and is positioned above a horizontal line.

Authorized Signature

JAN. 29, 2025

Date

Address: NYSDEC
Division of Environmental Permits
625 Broadway, 4th Floor
Albany, N.Y. 12233-1750

PREFACE

Pursuant to Section 402 of the Clean Water Act (CWA), and 40 CFR 122.26(b)(14)(x), (15)(i), and (15)(ii), *stormwater discharges* from certain *construction activities* are unlawful unless they are authorized by a National Pollutant Discharge Elimination System (NPDES) permit or by a state permit program. New York State administers the approved State Pollutant Discharge Elimination System (SPDES) program with permits issued in accordance with the New York State Environmental Conservation Law (ECL) Article 17, Titles 7 and 8, and Article 70, as well as 6 NYCRR Parts 621 and 750.

Construction activities constitute construction of a *point source* and, therefore, pursuant to ECL sections 17-0505, 17-0701, and 17-0803, the *owner or operator* must have coverage under a SPDES permit prior to *commencement of construction activities*. The *owner or operator* cannot wait until there is an actual *discharge* from the *construction site* to obtain permit coverage.

***Note: The italicized words/phrases within this permit are defined in Appendix A.**

**NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION
SPDES CONSTRUCTION GENERAL PERMIT (CGP) GP-0-25-001
FOR STORMWATER DISCHARGES FROM CONSTRUCTION ACTIVITIES**

Table of Contents

Part I. How to Obtain Coverage and General Requirements	5
A. Eligibility Requirements	5
B. Types of <i>Discharges</i> Authorized	9
C. Prohibited <i>Discharges</i>	10
D. Electronic Notice of Intent (eNOI) Submittal.....	10
E. General Requirements for <i>Owners or Operators</i> with Permit Coverage	14
F. Permit Coverage for <i>Discharges</i> Authorized Under GP-0-20-001	18
G. Change of <i>Owner or Operator</i>	19
Part II. Water Quality-Based Effluent Limitations	20
A. Maintaining Water Quality	20
B. Effluent Limitations Applicable to <i>Discharges</i> from <i>Construction Activities</i>	20
C. Post-Construction Stormwater Management Practice (SMP) Requirements ...	23
Part III. Stormwater Pollution Prevention Plan (SWPPP)	28
A. General SWPPP Requirements	28
B. Required SWPPP Contents	32
C. Required SWPPP Components by Project Type.....	37
Part IV. Inspection and Maintenance Requirements	37
A. General Construction Site Inspection and Maintenance Requirements	37
B. Contractor Maintenance Inspection Requirements	37
C. <i>Qualified Inspector</i> Inspection Requirements.....	38
Part V. How to Terminate CGP Coverage.....	43
A. Electronic Notice of Termination (eNOT) Submittal.....	43
Part VI. Record Retention and Reporting.....	45
A. Record Retention	46
B. Reporting	46
Part VII. Standard Permit Requirements	46
A. Duty to Comply.....	46
B. Need to Halt or Reduce Activity Not a Defense.....	46
C. Penalties	46
D. False Statements	47
E. Re-Opener Clause	47
F. Duty to Mitigate	47
G. Requiring Another General Permit or Individual SPDES Permit.....	47
H. Duty to Provide Information.....	49
I. Extension	49
J. Signatories and Certification	50
K. Inspection and Entry	52
L. Confidentiality of Information.....	53
M. Other Permits May Be Required	53

N.	NYSDEC Orders or Civil Decrees/Judgments.....	53
O.	Property Rights	53
P.	Compliance with Interstate Standards.....	53
Q.	Oil and Hazardous Substance Liability.....	54
R.	Severability.....	54
S.	NYSDEC Approved Forms.....	54
APPENDIX A – Abbreviations and Definitions.....		55
	Abbreviations.....	55
	Definitions.....	56
APPENDIX B – Required SWPPP Components by Project Type.....		64
	Table 1.....	64
	Table 2.....	66
APPENDIX C – Watersheds Requiring Enhanced Phosphorus Removal.....		68
APPENDIX D – Impaired Waterbodies (by Construction Related Pollutants)		74
APPENDIX E – List of NYSDEC Regional Offices.....		80
APPENDIX F – SWPPP Preparer Certification Form		81
APPENDIX G – MS4 SWPPP Acceptance Form		83
APPENDIX H – NYCDEP SWPPP Acceptance/Approval Form		86
APPENDIX I – MS4 No Jurisdiction Form.....		89
APPENDIX J – Owner/Operator Certification Form		91

Part I. How to Obtain Coverage and General Requirements

To be covered under this permit, the *owner or operator* must meet all eligibility requirements in Part I.A. and follow the requirements for obtaining permit coverage in Part I.D., F., or G.

A. Eligibility Requirements

For a *common plan of development or sale*, the *phase(s)* that meet the eligibility requirements in Part I.A. may obtain coverage under this permit even if other *phase(s)* of the same *common plan of development or sale* do not meet the eligibility requirements and require an individual SPDES permit.

1. The *owner's or operator's construction activities* involve soil disturbances of:
 - a. one or more acres; or
 - b. less than one acre which are part of a *common plan of development or sale* that will ultimately disturb one or more acres; or
 - c. less than one acre where NYSDEC has determined that a SPDES permit is required for *stormwater discharges* based on the potential for contribution to a violation of a *water quality standard* or for significant contribution of pollutants to *surface waters of the State*.
 - i. 5,000 square feet or more, but less than one acre, and are in the New York City Watershed located east of the Hudson River, Appendix C Figure 1; or
 - ii. 20,000 square feet or more, but less than one acre, within the municipal boundaries of the City of New York (NYC); or
 - iii. less than 20,000 square feet which are part of a *common plan of development or sale* that will ultimately disturb 20,000 square feet or more, but less than one acre, within the municipal boundaries of NYC; or
 - iv. that creates 5,000 square feet or more of *impervious area* within the municipal boundaries of NYC.

2. *Discharges from the owner's or operator's construction activities* are/were not:

- a. already covered by a different SPDES permit; or
- b. covered under a different SPDES permit that was denied, terminated, or revoked; or
- c. identified in an expired individual SPDES permit that was not renewed; or
- d. required to obtain an individual SPDES permit or another general SPDES permit in accordance with Part VII.K.

3. If *construction activities* may adversely affect a species that is endangered or threatened, the *owner or operator* must obtain a:

- a. permit issued pursuant to 6 NYCRR Part 182 for the project; or
- b. letter issued by NYSDEC of non-jurisdiction pursuant to 6 NYCRR Part 182 for the project.

4. If *construction activities* have the potential to affect an *historic property*, the *owner or operator* must obtain one of the following:

- a. documentation that the *construction activity* is not within an archeological buffer area indicated on the sensitivity map, and that the *construction activity* is not located on or immediately adjacent to a property listed or determined to be eligible for listing on the National or State Registers of Historic Places, and that there is no new permanent building on the *construction site* within the following distances from a building, structure, or object that is more than 50 years old, or if there is such a new permanent building on the *construction site* within those parameters that NYS Office of Parks, Recreation and Historic Preservation (OPRHP), a Historic Preservation Commission of a Certified Local Government, or a qualified preservation professional has determined that the building, structure, or object more than 50 years old is not historically/archeologically significant:
 - i. 1-5 acres of disturbance - 20 feet; or
 - ii. 5-20 acres of disturbance - 50 feet; or

- iii. 20+ acres of disturbance - 100 feet.
- b. NYSDEC consultation form sent to OPRHP,¹ and copied to NYSDEC's Agency Historic Preservation Officer (APO), and
 - i. the State Environmental Quality Review Act (SEQR) Environmental Assessment Form (EAF) with a negative declaration or the Findings Statement, with documentation of OPRHP's agreement with the resolution; or
 - ii. documentation from OPRHP that the *construction activity* will result in No Impact; or
 - iii. documentation from OPRHP providing a determination of No Adverse Impact; or
 - iv. a Letter of Resolution signed by the *owner or operator*, OPRHP and the DEC APO which allows for this *construction activity* to be eligible for coverage under the general permit in terms of the State Historic Preservation Act (SHPA).
- c. documentation of satisfactory compliance with Section 106 of the National Historic Preservation Act for a coterminous project area:
 - i. No Affect; or
 - ii. No Adverse Affect; or
 - iii. Executed Memorandum of Agreement.
- d. documentation that SHPA Section 14.09 has been completed by NYSDEC or another state agency.
- 5. If *construction activities* are subject to SEQR, the *owner or operator* must obtain documentation that SEQR has been satisfied.
- 6. If *construction activities* are not subject to SEQR, but subject to the equivalent environmental review from another New York State or federal agency, the

¹ The consultation form can be submitted, along with other project information, through OPRHP's Cultural Resource Information System (CRIS) portal. If submitted through CRIS, paper copies of the consultation form need not be mailed.

Part I.A.6.

owner or operator must obtain documentation that project review, pursuant to a process equivalent to SEQR from another New York State or federal agency, has been satisfied.

7. If *construction activities* require Uniform Procedures Act (UPA) Permits (see 6 NYCRR Part 621) from NYSDEC, or the equivalent from another New York State or federal agency, the *owner or operator* must:
 - a. obtain all such necessary permits; or
 - b. receive notification from NYSDEC pursuant to 6 NYCRR 621.3(a)(4) excepting Part I.A.7.a.
8. *Construction activities* are not eligible if they meet the following criteria in Part I.A.8.a. or b.:
 - a. For linear transportation and linear utility project types, the *construction activities*:
 - i. are within the watershed of *surface waters of the State* classified as AA or AA-S identified utilizing the Stormwater Interactive Map on NYSDEC's website; and
 - ii. are undertaken on land with no existing *impervious cover*; and
 - iii. disturb two or more acres of *steep slope*.
 - b. For all other project types, the *construction activities*:
 - i. are within the watershed of *surface waters of the State* classified as AA or AA-S identified utilizing the Stormwater Interactive Map on NYSDEC's website; and
 - ii. are undertaken on land with no existing *impervious cover*; and
 - iii. disturb one or more acres of *steep slope*.

B. Types of *Discharges* Authorized

1. The following *stormwater discharges* are authorized under this permit:
 - a. *Stormwater discharges*, including *stormwater* runoff, snowmelt runoff, and surface runoff and drainage, associated with *construction activity*, are authorized under this permit provided that appropriate *stormwater* controls are designed, installed, and maintained in accordance with Part II. and Part III.
 - b. *Stormwater discharges* from construction support activities at the *construction site* (including concrete or asphalt batch plants, equipment staging yards, material storage areas, excavated material disposal areas, and borrow areas) if the following requirements are met:
 - i. The support activity is directly related to the *construction site* required to have permit coverage for *stormwater discharges*; and
 - ii. The support activity is not a commercial operation, nor does it serve multiple unrelated *construction sites*; and
 - iii. The support activity does not continue to operate beyond the completion of the *construction activity* at the site it supports; and
 - iv. *Stormwater* controls are implemented in accordance with Part II. and Part III. for *discharges* from the support activity areas.
2. The following non-*stormwater discharges* associated with *construction activity* are authorized under this permit:
 - a. Non-*stormwater discharges* listed in 6 NYCRR 750-1.2(a)(29)(vi), with the following exception: “*Discharges* from firefighting activities are authorized only when the firefighting activities are emergencies/unplanned”; and
 - b. Non-*stormwater discharges* of waters to which other components have not been added that are used in accordance with the *SWPPP* to control dust or irrigate vegetation in stabilized areas; and
 - c. Uncontaminated *discharges* from *dewatering* operations

3. Authorized *discharges of stormwater* or authorized *discharges* of non-*stormwater*, commingled with a *discharge* authorized by a different SPDES permit and/or a *discharge* that does not require SPDES permit authorization, are also authorized under this permit.

C. Prohibited *Discharges*

1. Non-*stormwater discharges* prohibited under this permit include but are not limited to:
 - a. Wastewater from washout of concrete; and
 - b. Wastewater from washout and cleanout of stucco, paint, form release oils, curing compounds, and other construction materials; and
 - c. Fuels, oils, or other *pollutants* used in vehicle and equipment operation and maintenance; and
 - d. Soaps, solvents, or detergents used in vehicle and equipment washing or external building washdown; and
 - e. Toxic or hazardous substances from a spill or other release.

D. Electronic Notice of Intent (eNOI) Submittal

To receive authorization in accordance with Part I.D.3.b., the *owner or operator* must submit a complete eNOI in accordance with the requirements in Part I.D. The eNOI contains questions to: ensure eligibility requirements in Part I.A. have been met; obtain *owner or operator* contact information; obtain the total area to be disturbed and the existing/future *impervious areas* (rounded to the nearest tenth of an acre); confirm *Traditional Land Use Control MS4 Operator* jurisdiction over construction projects; satisfy the EPA eRule requirements; confirm that the Water Quality-Based Effluent Limitations in Part II. have been met; demonstrate consideration of the future risks due to climate change in accordance with Part III.A.2.; and confirm that the other *Stormwater Pollution Prevention Plan (SWPPP)* requirements in Part III. have been met.

1. An eNOI may be submitted for:
 - a. *construction activities* that are not part of a *common plan of development or sale*; or

- b. an entire *common plan of development or sale*; or
 - c. separate *phase(s)* of a *common plan of development or sale* if the following requirements are met:
 - i. the *common plan of development or sale* meets the eligibility requirements of Part I.A.5. or 6.; and
 - ii. the *phase(s)* meet(s) all other eligibility requirements of Part I.A.; and
 - iii. Part III.C. Required *SWPPP* Components by Project Type is based on the *common plan of development or sale*, not the *phase(s)*; or
 - d. *tree clearing* that is associated with, or will support, a *renewable energy* generation, transmission, or storage project that meets Part I.A.5. and 6., if the *tree clearing*:
 - i. meets all other eligibility requirements of Part I.A.; and
 - ii. will occur in NYSDEC's Regions 3-9; and
 - iii. is not within ¼ mile of a bat hibernaculum protected pursuant to 6 NYCRR Part 182; and
 - iv. will occur between November 1st and March 31st.
2. As prerequisites for submitting an eNOI, the *owner or operator* must:
- a. prepare a *SWPPP* for Part I.D.1.a., b., c., or d. in accordance with Part III.; and
 - b. based on the following criteria, upload the following signature forms signed in accordance with Part VII.J. to the eNOI prior to submission:
 - i. for all eNOIs:
 - 1. the *SWPPP* Preparer Certification Form, Appendix F, signed by the *SWPPP* preparer; and

2. the Owner/Operator Certification Form, Appendix J, signed by the *owner or operator*; and
- ii. if an eNOI includes *construction activities* within the municipal boundary(ies) of *Traditional Land Use Control MS4 Operator(s)* that will *discharge* to the *MS4(s)*:
 1. determine if the *Traditional Land Use Control MS4 Operator(s)* have review authority. A *Traditional Land Use Control MS4 Operator* does not have review authority where:
 - a. the *owner or operator* of the *construction activities* in Part I.D.2.b.ii. is the same entity as the *Traditional Land Use Control MS4 Operator* identified in Part I.D.2.b.ii.; or
 - b. there is a statute exempting the *owner or operator* from zoning review by the *Traditional Land Use Control MS4 Operator*; or
 - c. there is no such statute per Part I.D.2.b.ii.1.b., the *Traditional Land Use Control MS4 Operator* concludes, after public hearing, that it does not have zoning review authority in accordance with Legal Memorandum LU14 Updated January 2020 “Governmental Immunity from Zoning and Other Legislation”; and
 2. if the *Traditional Land Use Control MS4 Operator(s)* have review authority, submit the *SWPPP* to the *Traditional Land Use Control MS4 Operator(s)* for review and have:
 - a. if outside the municipal boundaries of NYC: the *MS4 SWPPP Acceptance Form*, Appendix G, signed by the principal executive officer or ranking elected official from the *Traditional Land Use Control MS4 Operator*, or by a duly authorized representative of that person in accordance with Part VII.J.2.; or

- b. if within the municipal boundaries of NYC: The City of New York Department of Environmental Protection (NYCDEP) SWPPP Acceptance/Approval Form, Appendix H, signed by the principal executive officer or ranking elected official from the Traditional Land Use Control MS4 Operator, or by a duly authorized representative of that person in accordance with Part VII.J.2.; and
 - 3. if the *Traditional Land Use Control MS4 Operator* does not have review authority, have the MS4 No Jurisdiction Form, Appendix I, signed by the principal executive officer or ranking elected official from the *Traditional Land Use Control MS4 Operator*, or by a duly authorized representative of that person in accordance with Part VII.J.2.
3. Submitting an eNOI:
- a. The *owner or operator* must submit a complete Notice of Intent electronically using a NYSDEC approved form.²
 - b. The *owner or operator* is authorized to *commence construction activity* as of the authorization date indicated in the Letter of Authorization (LOA), which is sent by NYSDEC after a complete eNOI is submitted.
 - i. If an eNOI is received for a *SWPPP* that deviates from one of the technical standards but demonstrates *equivalence* in accordance with Part III.B.1.a.ii. or Part III.B.2.b.ii., if the *SWPPP* includes *construction activities* that are not within the municipal boundary(ies) of *Traditional Land Use Control MS4 Operator(s)*, and/or if the *SWPPP* includes *construction activities* within the municipal boundary(ies) of *Traditional Land Use Control MS4 Operator(s)* that do not have review authority in accordance with Part I.D.2.b.ii.1., the authorization date indicated in the LOA will be 60 business days after the eNOI submission date.

² Unless NYSDEC grants a waiver in accordance with 40 CFR 127.15(c) or (d). All waiver requests must be submitted to Stormwater_info@dec.ny.gov or NYSDEC, Bureau of Water Permits, 625 Broadway, 4th Floor, Albany, New York 12233-3505.

- c. If *Traditional Land Use Control MS4 Operator(s)* have review authority in accordance with Part I.D.2.b.ii.2., the *owner or operator* must, within five business days of receipt of the LOA, send an electronic copy of the LOA to the *Traditional Land Use Control MS4 Operator(s)* with review authority.

E. General Requirements for Owners or Operators with Permit Coverage

1. As of the date the LOA is received, the *owner or operator* must make the eNOI, *SWPPP*, and LOA available for review and copying in accordance with the requirements in Part VII.H. When applicable, as of the date an updated LOA is received, the *owner or operator* must make the updated LOA available for review and copying in accordance with the requirements in Part VII.H.
2. The *owner or operator* must ensure compliance with all requirements of this permit and that the provisions of the *SWPPP*, including any changes made to the *SWPPP* in accordance with Part III.A.5., are properly implemented and maintained from the *commencement of construction activity* until:
 - a. all areas of disturbance have achieved *final stabilization*; and
 - b. the owner's or operator's coverage under this permit is terminated in accordance with Part V.A.5.a.
3. As of the date of the *commencement of construction activities* until Part I.E.2.a. and b. have been met, the *owner or operator* must maintain at the *construction site*, a copy of:
 - a. all documentation necessary to demonstrate eligibility with this permit; and
 - b. this permit; and
 - c. the *SWPPP*; and
 - d. the signed *SWPPP Preparer Certification Form*; and
 - e. the signed *MS4 SWPPP Acceptance Form* or signed *NYCDEP SWPPP Acceptance/Approval Form* or signed *MS4 No Jurisdiction Form* (when applicable); and
 - f. the signed *Owner/Operator Certification Form*; and

- g. the eNOI; and
 - h. the LOA; and
 - i. the LOA transmittal to the Traditional Land Use Control MS4 Operator in accordance with Part I.D.3.c. (when applicable).
4. The *owner or operator* must maintain at the *construction site*, until Part I.E.2.a. and b. have been met, as of the date the documents become final or are received, a copy of the:
- a. responsible contractor's or subcontractor's certification statement(s) in accordance with Part III.A.7.; and
 - b. inspection reports in accordance with Part IV.C.4. and 6.; and
 - c. Request to Disturb Greater Than Five Acres and the Authorization Letter to Disturb Greater Than Five Acres in accordance with Part I.E.6. (when applicable); and
 - d. Request to Continue Coverage and the Letter of Continued Coverage (LOCC) in accordance with Part I.F.2. and 4. (when applicable); and
 - e. The updated LOA(s) in accordance with Part I.E.9. (when applicable).
5. The *owner or operator* must maintain the documents in Part I.E.3. and 4. in a secure location, such as a job trailer, on-site construction office, or mailbox with lock. The secure location must be accessible during normal business hours to an individual performing a compliance inspection. The documents must be paper documents unless electronic documents are accessible to the inspector during an inspection to the same extent as a paper copy stored at the site would be. If electronic documents are kept on site, the *owner or operator* must maintain functional equipment on site available to an inspector during normal hours of operation such that an inspector may view the electronic documents in a format that can be read in a similar manner as a paper record and in a legally dependable format with no less evidentiary value than their paper equivalent.
6. The *owner or operator* must meet the following requirements prior to disturbing greater than five acres of soil at any one time:
- a. The *owner or operator* must submit a written Request to Disturb Greater Than Five Acres to:

Part I.E.6.a.i.

- i. NYSDEC's Regional Office Division of Water staff based on the project location, Appendix E, if a *Traditional Land Use Control MS4 Operator* does not have review authority in accordance with Part I.D.2.b.ii.1.; or
 - ii. the *Traditional Land Use Control MS4 Operator*, if a *Traditional Land Use Control MS4 Operator* has review authority in accordance with Part I.D.2.b.ii.1.; or
 - iii. NYSDEC's Regional Office Division of Water staff based on the project location, Appendix E, and each involved *Traditional Land Use Control MS4 Operator*, if the project spans multiple municipalities with more than one *Traditional Land Use Control MS4 Operator* involved with review authority in accordance with Part I.D.2.b.ii.1.
- b. The written Request to Disturb Greater Than Five Acres must include:
- i. The SPDES permit identification number (Permit ID); and
 - ii. Full technical justification demonstrating why alternative methods of construction that would result in five acres of soil disturbance or less at any one time are not feasible; and
 - iii. The phasing plan for the project and sequencing plans for all *phases* from the *SWPPP* in accordance with Part III.B.1.d.; and
 - iv. Plans with locations and details of erosion and sediment control practices such that the heightened concern for erosion when disturbing greater than five acres at one time has been addressed; and
 - v. Acknowledgment that "the *owner or operator* will comply with the requirements in Part IV.C.2.b."; and
 - vi. Acknowledgment that "the *owner or operator* will comply with the requirements in Part II.B.1.b."
- c. The *owner or operator* must be in receipt of an Authorization Letter to Disturb Greater Than Five Acres, which will include when the

authorization begins and ends and indicate a maximum area (acres) of soil disturbance allowed at any one time, from:

- i. NYSDEC, if Part I.E.6.a.i. or iii. apply; or
 - ii. the *Traditional Land Use Control MS4 Operator*, if Part I.E.6.a.ii. applies.
7. Upon a finding of significant non-compliance with the practices described in the *SWPPP* or violation of this permit, NYSDEC may order an immediate stop to all *construction activity* at the site until the non-compliance is remedied. The stop work order must be in writing, describe the non-compliance in detail, and be sent to the *owner or operator*.
8. If any human remains or archaeological remains are encountered during excavation, the *owner or operator* must immediately cease, or cause to cease, all *construction activity* in the area of the remains and notify the appropriate Regional Water Engineer (RWE).³ *Construction activity* shall not resume until written permission to do so has been received from the RWE.
9. To be authorized to implement modifications to the information previously submitted in the eNOI, the *owner or operator* must:
 - a. notify NYSDEC via email at Stormwater_info@dec.ny.gov requesting access to update the eNOI; and
 - b. update the eNOI to reflect the modifications and resubmit the eNOI in accordance with Part I.D.; and
 - c. receive an updated LOA.
10. The eNOI, *SWPPP*, LOA, updated LOAs (when applicable), and inspection reports required by this permit are public documents that the *owner or operator* must make available for review and copying by any person within five business days of the *owner or operator* receiving a written request by any such person to review these documents. Copying of documents will be done at the requester's expense.

³ The Regional Water Manager where a DEC Region does not have a RWE.

F. Permit Coverage for *Discharges* Authorized Under GP-0-20-001

When applicable:

1. Upon the effective date of this permit, an *owner or operator* of a *construction activity*, with coverage under GP-0-20-001, will have interim coverage under GP-0-25-001 for 45 calendar days starting on the effective date of GP-0-25-001 so long as the *owner or operator* maintains compliance with all applicable requirements of this permit.
2. Within 30 calendar days of the effective date of this permit, the *owner or operator*, with coverage under GP-0-20-001, must submit a complete Request to Continue Coverage electronically using a NYSDEC approved form,⁴ which contains the information identified in Part I.F.3. below, if:
 - a. the *owner or operator* continues to implement the SMP component in conformance with the technical standards in place at the time of initial project authorization; and
 - b. the *owner or operator* will comply with all non-design requirements of GP-0-25-001.
3. The Request to Continue Coverage form contains questions to: ensure eligibility requirements in Part I.A. have been met; verify *owner or operator* contact information; verify the permit identification number; verify the original eNOI submission ID, if applicable; verify Part I.F.2.a. and b.; verify the version of the Design Manual that the technical/design components conform to; and receive an updated Owner/Operator Certification Form, Appendix I.
4. The *owner or operator* has obtained continued coverage under GP-0-25-001 as of the date indicated in the LOCC, which is sent by NYSDEC after a complete Request to Continue Coverage form is submitted.
5. If the owner or operator does not submit the Request to Continue Coverage form in accordance with Part I.F.2. and 3., coverage under this permit is automatically terminated after interim coverage expires.

⁴ Unless NYSDEC grants a waiver in accordance with 40 CFR 127.15(c) or (d). All waiver requests must be submitted to Stormwater_info@dec.ny.gov or NYSDEC, Bureau of Water Permits, 625 Broadway, 4th Floor, Albany, New York 12233-3505.

G. Change of *Owner or Operator*

When applicable:

1. When property ownership changes, or when there is a change in operational control over the construction plans and specifications, the following process applies:
 - a. The new *owner or operator* must meet the applicable prerequisites for submitting an eNOI in accordance with Part I.D.2.; and
 - b. The new *owner or operator* must submit an eNOI in accordance with Part I.D.3.; and
 - c. Permit coverage for the new *owner or operator* will be effective upon receipt of the LOA in accordance with Part I.D.3.b.; and
 - d. The new *owner or operator*, upon receipt of their LOA, must provide their Permit ID to the original *owner or operator*; and
 - e. If the original *owner or operator* will no longer be the *owner or operator* of the *construction activity* identified in the original *owner's or operator's* eNOI, the original *owner or operator*, upon receipt of the new *owner's or operator's* Permit ID in accordance with Part I.G.1.d., must submit to NYSDEC a completed eNOT in accordance with Part V. that includes the name and Permit ID of the new *owner or operator*; or
 - f. If the original *owner or operator* maintains ownership of a portion of the *construction activity*, the original *owner or operator* must maintain their coverage under the permit by modifying their eNOI; modifications to the eNOI must include:
 - i. the revised area of disturbance and/or *impervious area(s)*; and
 - ii. the revised SMP information, if applicable; and
 - iii. a narrative description of what has changed; and
 - iv. the new *owner's or operator's* Permit ID for the portion of the project removed from the eNOI.

Owners or operators must follow Part I.E.9. to modify the eNOI.

Part II. Water Quality-Based Effluent Limitations

A. Maintaining Water Quality

NYSDEC expects that compliance with the requirements of this permit will control *discharges* necessary to meet applicable *water quality standards*. It shall be a violation of the *ECL* for any *discharge* to either cause or contribute to a violation of the following *water quality standards* as contained in Parts 700 through 705 of Title 6 of the Official Compilation of Codes, Rules and Regulations of the State of New York:

1. There must be no increase in turbidity that will cause a substantial visible contrast to natural conditions; and
2. There must be no increase in suspended, colloidal or settleable solids that will cause deposition or impair the waters for their best usages; and
3. There must be no residue from oil and floating substances, nor visible oil film, nor globules of grease.

If there is evidence indicating that the *stormwater discharges* authorized by this permit are causing, have the reasonable potential to cause, or are contributing to a violation of the *water quality standard*, the *owner or operator* must take appropriate corrective action in accordance with Part IV.C.5. of this permit and document in accordance with Part IV.C.4. of this permit. To address the *water quality standard* violation the *owner or operator* must include and implement appropriate controls in the *SWPPP* to correct the problem or obtain an individual SPDES permit.

If, despite compliance with the requirements of this permit, it is demonstrated that the *stormwater discharges* authorized by this permit are causing or contributing to a violation of *water quality standards*, or if NYSDEC determines that a modification of this permit is necessary to prevent a violation of *water quality standards*, the authorized *discharges* will no longer be eligible for coverage under this permit, and the *owner or operator* must obtain an individual SPDES permit prior to further *discharges* from the *construction site*.

B. Effluent Limitations Applicable to *Discharges* from *Construction Activities*

Discharges authorized by this permit must achieve, at a minimum, the effluent limitations in Part II.B.1.a., b., c., d., and e. These limitations represent the

degree of effluent reduction attainable by the application of best practicable technology currently available.

1. Erosion and Sediment Control Requirements - The *owner or operator* must select, design, install, implement, and maintain control measures to *minimize* the *discharge of pollutants* and prevent a violation of the *water quality standards*. The selection, design, installation, implementation, and maintenance of these control measures must meet the non-numeric effluent limitations in Part II.B.1.a., b., c., d., and e. and be in accordance with the New York State Standards and Specifications for Erosion and Sediment Control (BB), dated November 2016, using sound engineering judgment. Where control measures are not designed in conformance with the design criteria included in the technical standard, the *owner or operator* must include in *SWPPP* the reason(s) for the deviation, or alternative design, and provide information in the *SWPPP* demonstrating that the deviation or alternative design is *equivalent* to the technical standard.

- a. **Erosion and Sediment Controls.** At a minimum, erosion and sediment controls must be selected, designed, installed, implemented, and maintained to:
 - i. *Minimize* soil erosion through application of runoff control and soil stabilization control measure to *minimize pollutant discharges*; and
 - ii. Control *stormwater discharges*, including both peak flow rates and total *stormwater* volume, to *minimize* channel and *streambank* erosion and scour in the immediate vicinity of the *discharge* points; and
 - iii. *Minimize* the amount of soil exposed during *construction activity*; and
 - iv. *Minimize* the disturbance of *steep slope*; and
 - v. *Minimize* sediment *discharges* from the site; and
 - vi. Provide and maintain *natural buffers* around surface waters, direct *stormwater* to vegetated areas and maximize *stormwater* infiltration to reduce *pollutant discharges*, unless *infeasible*; and
 - vii. *Minimize* soil compaction. *Minimizing* soil compaction is not required

where the intended function of a specific area of the site dictates that it be compacted; and

- viii. Unless *infeasible*, preserve a sufficient amount of topsoil to complete soil restoration and establish a uniform, dense vegetative cover; and
 - ix. *Minimize* dust. On areas of exposed soil, *minimize* dust through the appropriate application of water or other dust suppression techniques to control the generation of *pollutants* that could be discharged from the site.
- b. **Soil Stabilization.** In areas where soil disturbance activity has ceased, whether permanently or *temporarily ceased*, the application of soil stabilization measures must be initiated by the end of the next business day and completed within 14 calendar days from the date the current soil disturbance activity ceased. For *construction sites* that *directly discharge* to one of the 303(d) segments listed in Appendix D, or are located in one of the watersheds listed in Appendix C, or are authorized to disturb greater than five acres in accordance with Part I.E.5.a.viii., the application of soil stabilization measures must be initiated by the end of the next business day and completed within seven calendar days from the date the soil disturbance activity ceased.
- c. **Dewatering.** *Discharges* from *dewatering* activities, including *discharges* from *dewatering* of trenches and excavations, must be managed by appropriate control measures.
- d. **Pollution Prevention Measures.** Select, design, install, implement, and maintain effective pollution prevention measures to *minimize* the *discharge of pollutants* and prevent a violation of the *water quality standards*. At a minimum, such measures must be selected, designed, installed, implemented, and maintained to:
- i. *Minimize* the *discharge of pollutants* from equipment and vehicle washing, wheel wash water, and other wash waters. Soaps, detergents and solvents cannot be used; and
 - ii. *Minimize* the exposure of building materials, building products, construction wastes, trash, landscape materials, fertilizers, pesticides, herbicides, detergents, sanitary waste, hazardous and toxic waste, and other materials present on the site to precipitation

and to *stormwater*. *Minimization* of exposure is not required in cases where the exposure to precipitation and to *stormwater* will not result in a *discharge* of *pollutants*, or where exposure of a specific material or product poses little risk of *stormwater* contamination (such as final products and materials intended for outdoor use); and

- iii. Prevent the *discharge* of *pollutants* from spills and leaks and implement chemical spill and leak prevention and response procedures.
- e. **Surface Outlets.** When discharging from basins and impoundments, the surface outlets must be designed, constructed, and maintained in such a manner that sediment does not leave the basin or impoundment and that erosion at or below the outlet does not occur.

C. Post-Construction Stormwater Management Practice (SMP) Requirements

- 1. The *owner or operator* of a *construction activity* that requires post-construction SMPs, in accordance with Part III.C., must select, design, install, implement, and maintain the SMPs to meet the *performance criteria* in the New York State Stormwater Management Design Manual, dated July 31, 2024 (DM), using sound engineering judgment. Where SMPs are not designed in conformance with the *performance criteria* in the DM, the *owner or operator* must include in the *SWPPP* the reason(s) for the deviation or alternative design and provide information which demonstrates that the deviation or alternative design is *equivalent* to the technical standard.
- 2. The *owner or operator* of a *construction activity*, that requires SMPs in accordance with Part III.C., must design the practices to meet the applicable *sizing criteria* in Part II.C.2.a., b., c., or d.

a. Sizing Criteria for *New Development*

- i. Runoff Reduction Volume (RRv) and Water Quality Volume (WQv):
 - 1. Reduce the total WQv by application of RR techniques and standard SMPs with RRv capacity. The total WQv must be calculated in accordance with the criteria in Section 4.2 of the DM; or

2. Minimum RRV and Treatment of Remaining Total WQv: *Construction activities* that cannot meet the requirements in Part II.C.2.a.i.1. due to *site limitations* must direct runoff from all newly constructed *impervious areas* to a RR technique or standard SMP with RRV capacity unless *infeasible*. The specific *site limitations* that prevent the reduction of 100% of the WQv must be documented in the *SWPPP*. For each *impervious area* that is not directed to a RR technique or standard SMP with RRV capacity, the *SWPPP* must include documentation which demonstrates that all options were considered and for each option explains why it is considered *infeasible*.

In no case shall the runoff reduction achieved from the newly constructed *impervious areas* be less than the Minimum RRV as calculated using the criteria in Section 4.4 of the DM. The remaining portion of the total WQv that cannot be reduced must be treated by application of standard SMPs.

- ii. Channel Protection Volume (CPv): Provide 24 hour extended detention of the post-developed 1-year, 24-hour storm event, remaining after runoff reduction. Where a CPv control orifice is provided, the minimum orifice size must be 3 inches, with acceptable external trash rack or orifice protection. The CPv requirement does not apply when:
 1. Reduction of the entire CPv is achieved by application of runoff reduction techniques or infiltration systems; or
 2. The 1-year post-development peak *discharge* is less than or equal to 2.0 cfs without detention or velocity controls; or
 3. The site *directly discharges* into a fifth order or larger water body (stream, river, or lake), or tidal waters, where the increase in smaller flows will not impact the stream bank or channel integrity. However, the point of *discharge* must be adequately protected against scour and erosion by the increased peak *discharge*.

- iii. **Overbank Flood Control Criteria (Qp):** Requires storage to attenuate the post-development 10-year, 24-hour peak *discharge* rate (Qp) to predevelopment rates. The Qp requirement does not apply when:
 - 1. the site *directly discharges* to tidal waters or fifth order or larger streams, or
 - 2. A downstream analysis reveals that *overbank* control is not required.
- iv. **Extreme Flood Control Criteria (Qf):** Requires storage to attenuate the post-development 100-year, 24-hour peak *discharge* rate (Qf) to predevelopment rates. The Qf requirement does not apply when:
 - 1. the site *directly discharges* to tidal waters or fifth order or larger streams, or
 - 2. A downstream analysis reveals that *overbank* control is not required.

b. Sizing Criteria for New Development in Enhanced Phosphorus Removal Watersheds

- i. Runoff Reduction Volume (RRv) and Water Quality Volume (WQv):
 - 1. Reduce the WQv by application of RR techniques and standard SMPs with RRv capacity. The total WQv is the runoff volume from the 1-year, 24-hour design storm over the post-developed watershed and must be calculated in accordance with the criteria in Section 4.3 of the DM; or
 - 2. Minimum RRv and Treatment of Remaining Total WQv: *Construction activities* that cannot meet the criteria in Part II.C.2.b.i.1. due to *site limitations* must direct runoff from all newly constructed *impervious areas* to a RR technique or standard SMP with RRv capacity unless *infeasible*. The specific *site limitations* that prevent the reduction of 100% of the WQv must be documented in the *SWPPP*. For each *impervious area* that is not directed to a RR technique or standard SMP with RRv capacity, the *SWPPP* must include

documentation which demonstrates that all options were considered and for each option explains why it is considered *infeasible*.

In no case shall the runoff reduction achieved from the newly constructed *impervious areas* be less than the Minimum RRv as calculated using the criteria in Section 4.5 of the DM. The remaining portion of the total WQv that cannot be reduced must be treated by application of standard SMPs.

- ii. Channel Protection Volume (CPv): Provide 24 hour extended detention of the post-developed 1-year, 24-hour storm event, remaining after runoff reduction. Where a CPv control orifice is provided, the minimum orifice size must be 3 inches, with acceptable external trash rack or orifice protection. The CPv requirement does not apply when:
 - 1. Reduction of the entire CPv is achieved by application of runoff reduction techniques or infiltration systems; or
 - 2. The 1-year post-development peak *discharge* is less than or equal to 2.0 cfs; or
 - 3. The site *directly discharges* to tidal waters, or a fifth order or larger water body (stream, river, or lake) where the increase in smaller flows will not impact the stream bank or channel integrity. However, the point of *discharge* must be adequately protected against scour and erosion by the increased peak *discharge*.
- iii. *Overbank* Flood Control Criteria (Qp): Requires storage to attenuate the post-development 10-year, 24-hour peak *discharge* rate (Qp) to predevelopment rates. The Qp requirement does not apply when:
 - 1. the site *directly discharges* to tidal waters or fifth order or larger streams; or
 - 2. A downstream analysis reveals that *overbank* control is not required.

- iv. Extreme Flood Control Criteria (Qf): Requires storage to attenuate the post-development 100-year, 24-hour peak *discharge* rate (Qf) to predevelopment rates. The Qf requirement does not apply when:
 - 1. the site *directly discharges* to tidal waters or fifth order or larger streams; or
 - 2. A downstream analysis reveals that *overbank* control is not required.

c. Sizing Criteria for Redevelopment Activity

- i. Water Quality Volume (WQv): The WQv treatment objective for *redevelopment activity* must be addressed by one of the following options, as outlined in Section 9.2.1. *Redevelopment activities* located in an Enhanced Phosphorus Removal Watershed (see Part III.B.3. and Appendix C) must calculate the WQv in accordance with Section 4.3 of the DM. All other *redevelopment activities* must calculate the WQv in accordance with Section 4.2 of the DM.
 - 1. Reduce the existing *impervious cover* by a minimum of 25% of the total disturbed, *impervious area*. The Soil Restoration criteria in Section 5.1.6 of the DM must be applied to all newly created pervious areas; or
 - 2. Capture and treat 100% of the required WQv, for a minimum of 25% of the disturbed redevelopment *impervious area*, by implementation of standard SMPs or reduced by application of runoff reduction techniques; or
 - 3. Capture and treat 100% of the required WQv, for a minimum of 75% of the disturbed redevelopment *impervious area*, by implementation of a volume-based alternative SMP, as defined in Section 9.4 of the DM; or
 - 4. Capture and treat 100% of the required WQv, for a minimum of 75% of the disturbed redevelopment *impervious area*, by implementation of a flow-through alternative SMP sized to treat the peak rate of runoff from the WQv design storm; or

5. Application of a combination of 1 through 4 above that provide a weighted average of at least two of the above methods. Application of this method must be in accordance with the criteria in Section 9.2.1(A)(V) of the DM; or
 6. If there is an existing SMP located on the site that captures and treats runoff from the *impervious area* that is being disturbed, the WQv treatment option selected must, at a minimum, provide treatment equal to the treatment that was being provided by the existing practice(s) if that treatment is greater than the treatment required by options 1 through 5 above.
- ii. Channel Protection Volume (CPv) is not required if there is 0% change to hydrology that increases the *discharge* rate and volume from the project site.
 - iii. *Overbank* Flood Control (Qp) is not required if there is 0% change to hydrology that increases the *discharge* rate from the project site.
 - iv. Extreme Flood Control (Qf) is not required if there is 0% change to hydrology that increases the *discharge* rate from the project site.

d. *Sizing Criteria for Combination of Redevelopment Activity and New Development*

Construction projects, that include both *new development* and *redevelopment activity*, must use SMPs that meet the *sizing criteria* calculated as an aggregate of the *sizing criteria* in Part II.C.2.a. or b. for the *new development* portion of the project and Part II.C.2.c. for the *redevelopment activity* portion of the project.

Part III. Stormwater Pollution Prevention Plan (SWPPP)

A. General SWPPP Requirements

1. A SWPPP must be prepared and implemented by the *owner or operator* of all *construction activity* covered by this permit. All authorized *discharges* must be identified in the SWPPP. The SWPPP must document the selection, design, installation, implementation and maintenance of the control measures and

practices that will be used to meet the effluent limitations in Part II.B. and, where applicable, the SMP requirements in Part II.C.

2. The *SWPPP* must demonstrate consideration in narrative format of the future physical risks due to climate change pursuant to the Community Risk and Resiliency Act (CRRA), 6 NYCRR Part 490, and associated guidance.

- a. The owner or operator must consider:

- i. the following physical risks due to climate change:

- (i) increasing temperature; and
 - (ii) increasing precipitation; and
 - (iii) increasing variability in precipitation, including chance of drought; and
 - (iv) increasing frequency and severity of flooding; and
 - (v) rising sea level; and
 - (vi) increasing storm surge; and
 - (vii) shifting ecology.

- ii. for each of the following:

- (i) overall site planning; and
 - (ii) location, elevation, and sizing of:
 - a. control measures and practices; and
 - b. conveyance system(s); and
 - c. detention system(s).

3. The *SWPPP* must describe the erosion and sediment control practices and where required, SMPs that will be used and/or constructed to reduce the *pollutants* in *stormwater discharges* and to assure compliance with the

requirements of this permit. In addition, the *SWPPP* must identify potential sources of pollution which may reasonably be expected to affect the quality of *stormwater discharges*.

4. All *SWPPPs*, that require the SMP component in accordance with Part III.B.2., must be prepared by a *qualified professional*.
5. The *owner or operator* must keep the *SWPPP* current so that, at all times, it accurately documents the erosion and sediment control practices that are being used or will be used during construction, and all SMPs that will be constructed on the site. At a minimum, the *owner or operator* must modify the *SWPPP*, including construction drawings:
 - a. whenever the current provisions prove to be ineffective in *minimizing pollutants* in *stormwater discharges* from the site; and
 - b. whenever there is a change in design, construction, or operation at the *construction site* that has or could have an effect on the *discharge of pollutants*; and
 - c. to address issues or deficiencies identified during an inspection by the *qualified inspector*, NYSDEC, or other regulatory authority; and
 - d. to document the final construction conditions in an as-built drawing.
6. NYSDEC may notify the *owner or operator* at any time that the *SWPPP* does not meet one or more of the minimum requirements of this permit. The notification must be in writing and identify the provisions of the *SWPPP* that require modification. Within fourteen (14) calendar days of such notification, or as otherwise indicated by NYSDEC, the *owner or operator* must make the required changes to the *SWPPP* and submit written notification to NYSDEC that the changes have been made. If the *owner or operator* does not respond to NYSDEC's comments in the specified time frame, NYSDEC may suspend the *owner's or operator's* coverage under this permit or require the *owner or operator* to obtain coverage under an individual SPDES permit in accordance with Part II.D.4.
7. Prior to the *commencement of construction activity*, the *owner or operator* must identify the contractor(s) and subcontractor(s) that will be responsible for installing, constructing, repairing, replacing, inspecting, and maintaining the erosion and sediment control practices included in the *SWPPP* and the

contractor(s) and subcontractor(s) that will be responsible for constructing the SMPs included in the *SWPPP*. The *owner or operator* must have each of the contractors and subcontractors identify at least one person from their company to be *trained contractor* that will be responsible for implementation of the *SWPPP*. The *owner or operator* must ensure that at least one *trained contractor* is on site daily when soil disturbance activities are being performed.

The *owner or operator* must have each of the contractors and subcontractors identified above sign a copy of the following certification statement below before the *commencement of construction activities*:

"I hereby certify under penalty of law that I understand and agree to comply with the requirements of the *SWPPP* and agree to implement any corrective actions identified by the *qualified inspector* during a site inspection. I also understand that the *owner or operator* must comply with the requirements of the most current version of the New York State Pollutant Discharge Elimination System (SPDES) Construction General Permit (CGP) for Stormwater Discharges from Construction Activities and that it is unlawful for any person to cause or contribute to a violation of *water quality standards*. Furthermore, I am aware that there are significant penalties for submitting false information, that I do not believe to be true, including the possibility of fine and imprisonment for knowing violations"

In addition to providing the certification statement above, the certification page must also identify the specific elements of the *SWPPP* that each contractor and subcontractor will be responsible for and include the name and title of the person providing the signature; the name and title of the *trained contractor* responsible for *SWPPP* implementation; the name, address and telephone number of the contracting firm; the address (or other identifying description) of the site; and the date the certification statement is signed. The *owner or operator* must attach the certification statement(s) to the copy of the *SWPPP* that is maintained at the *construction site*. If new or additional contractors are hired to implement measures identified in the *SWPPP* after the *commencement of construction activities*, they must also sign the certification statement and provide the information listed above prior to performing *construction activities*.

B. Required *SWPPP* Contents

1. Erosion and sediment control component - The *owner or operator* must prepare a *SWPPP* that includes erosion and sediment control practices.
 - a. Erosion and sediment control practices must be designed:
 - i. in conformance with the BB; or
 - ii. *equivalent* to the BB if deviating from Part III.B.1.a.i.
 - b. If the erosion and sediment control practices are designed in conformance with Part III.B.1.a.ii., the *SWPPP* must include a demonstration of *equivalence* to the BB.
 - c. At a minimum, the erosion and sediment control component of the *SWPPP* must include the following:
 - i. Background information about the scope of the project, including the location, type and size of project; and
 - ii. A site map/construction drawing(s) with north arrows for the project, including a general location map. At a minimum, the site map must show the total site area; all improvements; areas of disturbance; areas that will not be disturbed; existing vegetation; on-site and adjacent off-site surface water(s); floodplain/floodway boundaries; wetlands and drainage patterns that could be affected by the *construction activity*; existing and final contours; locations of different soil types with boundaries; material, waste, borrow or equipment storage areas located on adjacent properties; and location(s) of the *stormwater discharge(s)* and receiving surface water(s); and
 - iii. A description of the soil(s) present at the site, including an identification of the Hydrologic Soil Group (HSG); and
 - iv. A phasing plan for the project and sequencing plans for all *phases*, both of which must address clearing and grubbing, excavation and grading, utility and infrastructure installation, *final stabilization*,

and any other *construction activity* at the site that will result in soil disturbance.

1. The phasing plan must include:
 - a. a map delineating and labeling the limits of soil disturbance for all *phases* of a project; and
 - b. a table identifying the order and intended schedule of when each *phase* will begin and end its sequencing plan. The table must identify the total disturbed area for each *phase* at any one time and the total disturbed area for the overall project at any one time all on one timeline showing all overlapping quantities of disturbed area at any one time; and
2. A sequencing plan for a specific *phase* must include:
 - a. a table indicating the order and intended schedule of *construction activities* within a *phase*, and corresponding construction drawings with a description of the work to be performed; and
 - b. all permanent and *temporary stabilization* measures; and
- v. A description of the minimum erosion and sediment control practices to be installed or implemented for each *construction activity* that will result in soil disturbance. Include a schedule that identifies the timing of initial placement or implementation of each erosion and sediment control practice and the minimum time frames that each practice should remain in place or be implemented; and
- vi. A site map/construction drawing(s) showing the specific location(s), size(s), and length(s) of each erosion and sediment control practice; and
- vii. The dimensions, material specifications, installation details, and operation and maintenance requirements for all erosion and sediment control practices. Include the location and sizing of any

temporary sediment basins and structural practices that will be used to divert flows from exposed soils; and

- viii. A maintenance inspection schedule for the contractor(s) and subcontractor(s) identified in Part III.A.7. to ensure continuous and effective operation of the erosion and sediment control practices. The maintenance inspection schedule must be in accordance with the requirements in the BB technical standard; and
 - ix. A description of the pollution prevention measures that will be used to control litter, construction chemicals and construction debris from becoming a *pollutant* source in the *stormwater discharges*; and
 - x. A description and location of any *stormwater discharges* associated with industrial activity other than construction at the site, including, but not limited to, *stormwater discharges* from asphalt plants and concrete plants located on the *construction site*; and
 - xi. Identification of any elements of the design that are not in conformance with the design criteria in the BB technical standard. Include the reason for the deviation or alternative design and provide information which demonstrates that the deviation or alternative design is *equivalent* to the technical standard.
2. SMP component – The *owner or operator of construction activity* identified in Table 2 of Appendix B must prepare a *SWPPP* that includes SMPs.
- a. SMPs must be designed in conformance with the applicable *sizing criteria* in Part II.C.2.a., c., or d.; and
 - b. SMPs must be designed in conformance with the *performance criteria*:
 - i. in the DM; or
 - ii. *equivalent* to the DM if deviating from Part III.B.2.b.i.; or
 - iii. in the New York State Stormwater Management Design Manual, dated January 2015 (2015 Design Manual), or *equivalent* to it, if the following criteria are met:

1. The eNOI is submitted in accordance with Part I.D. before January 29, 2027 for *construction activities* that are either:
 - a. subject to governmental review and approval:
 - i. where the *owner or operator* made any application to that governmental entity prior to the effective date of this permit; and
 - ii. such application included a *SWPPP* developed using the 2015 Design Manual or *equivalent* to it; or
 - b. not subject to governmental review and approval:
 - i. where a fiscal allocation for the *construction activities* has been developed and approved by a governmental entity; and
 - ii. the *SWPPP* was developed using the 2015 Design Manual or *equivalent* to it; and
 - c. If SMPs are designed in conformance with Part III.B.2.b.ii., the *SWPPP* must include the reason(s) for the deviation or alternative design and a demonstration of *equivalence* to the DM; and
 - d. If SMPs are designed in conformance with Part III.B.2.b.iii., the *SWPPP* must include supporting information or documentation demonstrating that Part III.B.2.b.iii.1.a. or b. apply; and
 - e. The SMP component of the *SWPPP* must include the following:
 - i. Identification of all SMPs to be constructed as part of the project, including which option the SMP designs conform to, either Part III.B.2.b.i., ii., or iii. Include the dimensions, material specifications and installation details for each SMP; and
 - ii. A site map/construction drawing(s) showing the specific location and size of each SMP; and

- iii. A Stormwater Modeling and Analysis Report that includes:
 - (i) Map(s) showing pre-development conditions, including watershed/subcatchments boundaries, flow paths/routing, and design points; and
 - (ii) Map(s) showing post-development conditions, including watershed/subcatchments boundaries, flow paths/routing, design points and SMPs; and
 - (iii) Results of *stormwater* modeling (i.e. hydrology and hydraulic analysis) for the required storm events. Include supporting calculations (model runs), methodology, and a summary table that compares pre- and post-development runoff rates and volumes for the different storm events; and
 - (iv) Summary table, with supporting calculations, which demonstrates that each SMP has been designed in conformance with the *sizing criteria* included in the DM; and
 - (v) Identification of any *sizing criteria* that is not required based on the requirements included in Part II.C.; and
 - (vi) Identification of any elements of the design that are not in conformance with the *performance criteria* in the DM. Include the reason(s) for the deviation or alternative design and provide information which demonstrates that the deviation or alternative design is *equivalent* to the DM.
- iv. Soil testing results and locations (test pits, borings); and
- v. Infiltration test results, when required in accordance with Part III.B.2.a.; and
- vi. An operations and maintenance plan that includes inspection and maintenance schedules and actions to ensure continuous and effective operation of each SMP. The plan must identify the entity

that will be responsible for the long-term operation and maintenance of each practice; and

3. Enhanced Phosphorus Removal Standards - The *owner or operator* of *construction activity* identified in Table 2 of Appendix B that is located in a watershed identified in Appendix C must prepare a *SWPPP* that includes SMPs designed in conformance with the applicable *sizing criteria* in Part II.C.2.b., c., or d. and the *performance criteria* Enhanced Phosphorus Removal Standards included in the DM. At a minimum, the SMP component of the *SWPPP* must meet the requirements of Part III.B.2.

C. Required *SWPPP* Components by Project Type

Owners or operators of *construction activities*, identified in Table 1 of Appendix B, are required to prepare a *SWPPP* that only includes erosion and sediment control practices designed in accordance with Part III.B.1. *Owners or operators* of the *construction activities*, identified in Table 2 of Appendix B, must prepare a *SWPPP* that also includes SMPs designed in accordance with Part III.B.2 or 3.

For the entire area of disturbance, including the entire *common plan of development or sale* if applicable, the owner or operator must evaluate every bullet from Appendix B Table 1 and Table 2 separately. If bullets from both Table 1 and Table 2 apply, the *SWPPP* must include erosion and sediment control practices for all *construction activities* but SMPs for only those portions of the *construction activities* that fall under Table 2 bullet(s).

Part IV. Inspection and Maintenance Requirements

A. General Construction Site Inspection and Maintenance Requirements

1. The *owner or operator* must ensure that all erosion and sediment control practices (including pollution prevention measures), and all SMPs identified in the *SWPPP*, are inspected and maintained in accordance with Part IV.B. and C.

B. Contractor Maintenance Inspection Requirements

1. The *owner or operator* of each *construction activity*, identified in Tables 1 and 2 of Appendix B, must have a *trained contractor* inspect the erosion and sediment control practices and pollution prevention measures being

implemented within the active work area daily to ensure that they are being maintained in effective operating condition at all times. If deficiencies are identified, the contractor must:

- a. if the corrective action does not require engineering design:
 - i. begin implementing corrective actions within one business day; and
 - ii. complete the corrective actions within five business days; or
 - b. if the corrective action requires engineering design:
 - i. begin the engineering design process within five business days; and
 - ii. complete the corrective action in a reasonable time frame but no later than within 60 calendar days.
2. For *construction sites* where soil disturbance activities have been temporarily suspended (e.g. winter shutdown) and *temporary stabilization* measures have been applied to all disturbed areas, the *trained contractor* can stop conducting the maintenance inspections in accordance with Part IV.B.1. The *trained contractor* must begin conducting the maintenance inspections in accordance with Part IV.B.1. as soon as soil disturbance activities resume.
 3. For *construction sites* where soil disturbance activities have been shut down with partial project completion, the *trained contractor* can stop conducting the maintenance inspections in accordance with Part IV.B.1. if all areas disturbed as of the project shutdown date have achieved *final stabilization* and all SMPs required for the completed portion of the project have been constructed in conformance with the *SWPPP* and are operational.

C. Qualified Inspector Inspection Requirements

1. With the exception of the following *construction activities* identified in Tables 1 and 2 of Appendix B, a *qualified inspector* must conduct site inspections for all other *construction activities* identified in Tables 1 and 2 of Appendix B:
 - a. the construction of a single-family residential subdivision with 25% or less *impervious cover* at total site build-out that involves a soil disturbance of one (1) or more acres of land but less than or equal to five (5) acres and is

not located in one of the watersheds listed in Appendix C and not directly discharging to one of the 303(d) segments listed in Appendix D; and

- b. the construction of a single-family home that involves soil disturbances of one (1) or more acres but less than or equal to five (5) acres and is not located in one of the watersheds listed in Appendix C and not directly discharging to one of the 303(d) segments listed in Appendix D; and
 - c. construction on *agricultural property* that involves soil disturbances of one (1) or more acres but less than five (5) acres; and
 - d. *construction activities* located in the New York City Watershed located east of the Hudson River, see Appendix C Figure 1, that involve soil disturbances of 5,000 square feet or more, but less than one acre.
2. The *qualified inspector* must conduct site inspections in accordance with the following timetable:
- a. For *construction sites* where soil disturbance activities are on-going, the *qualified inspector* must conduct a site inspection at least once every seven (7) calendar days; or
 - b. For *construction sites* where soil disturbance activities are on-going and the *owner or operator* has received authorization in accordance with Part I.E.6. to disturb greater than five (5) acres of soil at any one time, the *qualified inspector* must conduct at least two (2) site inspections every seven (7) calendar days. The two (2) inspections must be separated by a minimum of two (2) full calendar days; or
 - c. For *construction sites* where soil disturbance activities have been temporarily suspended (e.g. winter shutdown) and *temporary stabilization* measures have been applied to all disturbed areas, the *qualified inspector* must conduct a site inspection at least once every thirty (30) calendar days. The *owner or operator* must notify the DOW Water (SPDES) Program contact at the Regional Office (see contact information in Appendix E) or, in areas under the jurisdiction of a *Traditional Land Use Control MS4 Operator*, the *Traditional Land Use Control MS4 Operator* (provided the *Traditional Land Use Control MS4 Operator* is not the *owner or operator* of the *construction activity*) by hard copy or email prior to reducing the inspections to this frequency and again by hard copy or email prior to re-commencing construction; or

- d. For *construction sites* where soil disturbance activities have been shut down with partial project completion, the requirement to have the *qualified inspector* conduct inspections ceases if all areas disturbed as of the project shutdown date have achieved *final stabilization* and all SMPs required for the completed portion of the project have been constructed in conformance with the *SWPPP* and are operational. The *owner or operator* must notify the DOW Water (SPDES) Program contact at the Regional Office (see contact information in Appendix E) or, in areas subject to the review authority of *Traditional Land Use Control MS4 Operator(s)* in accordance with Part I.D.2.b.ii.1., the *Traditional Land Use Control MS4 Operator(s)* (provided the *Traditional Land Use Control MS4 Operator(s)* are not the *owners or operators* of the *construction activity*) in writing prior to the shutdown and again in writing prior to resuming *construction activity*. If soil disturbance activities are not resumed within 2 years from the date of shutdown, the *owner or operator* must terminate coverage by meeting the requirements of Part V; or
 - e. For *construction sites* involving soil disturbance of one (1) or more acres that *directly discharge* to one of the 303(d) segments listed in Appendix D or is located in one of the watersheds listed in Appendix C, the *qualified inspector* must conduct at least two (2) site inspections every seven (7) calendar days. The two (2) inspections must be separated by a minimum of two (2) full calendar days.
3. At a minimum, the *qualified inspector* must inspect:
- a. all erosion and sediment control practices and pollution prevention measures to ensure integrity and effectiveness; and
 - b. all SMPs under construction to ensure that they are constructed in conformance with the *SWPPP*; and
 - c. all areas of disturbance that have not achieved *final stabilization*; and
 - d. all points of *discharge to surface waters of the State* located within, or immediately adjacent to, the property boundaries of the *construction site*; and
 - e. all points of *discharge* from the *construction site*.

4. The *qualified inspector* must prepare an inspection report subsequent to each and every inspection. At a minimum, the inspection report must include and/or address all of the following, for all *construction activities* except those listed in Part IV.C.1.:
 - a. Permit identification number; and
 - b. Date and time of inspection; and
 - c. Name and title of person(s) performing inspection; and
 - d. A description of the weather and soil conditions (e.g. dry, wet, saturated) at the time of the inspection, including the temperature at the time of the inspection; and
 - e. A description of the condition of the runoff at all points of *discharge* from the *construction site*. This must include identification of any *discharges* of sediment from the *construction site*. Include *discharges* from conveyance systems (i.e. pipes, culverts, ditches, etc.) and overland flow; and
 - f. A description of the condition of all *surface waters of the State* located within, or immediately adjacent to, the property boundaries of the *construction site* which receive runoff from disturbed areas. This must include identification of any *discharges* of sediment to the *surface waters of the State*; and
 - g. Identification of all erosion and sediment control practices and pollution prevention measures that need repair or maintenance; and
 - h. Identification of all erosion and sediment control practices and pollution prevention measures that were not installed properly or are not functioning as designed and need to be reinstalled or replaced; and
 - i. Description and sketch (map) of areas with active soil disturbance activity, areas that have been disturbed but are inactive at the time of the inspection, and areas that have been stabilized (temporary and/or final) since the last inspection; and
 - j. Estimates, in square feet or acres, of the following areas:

- i. Total area with active soil disturbance (not requiring either *temporary stabilization* or *final stabilization*); and
 - ii. Total area with inactive soil disturbance (requiring either *temporary stabilization* or *final stabilization*); and
 - iii. Total area that has achieved *temporary stabilization*; and
 - iv. Total area that has achieved *final stabilization*; and
- k. Current stage of construction of all SMPs and identification of all *construction activity* on site that is not in conformance with the *SWPPP* and technical standards; and
- l. Corrective action(s) that must be taken to install, repair, replace or maintain erosion and sediment control practices and pollution prevention measures; and to correct deficiencies identified with the construction of the SMP(s); and
- m. Identification and status of all corrective actions that were required by previous inspection; and
- n. Digital photographs, with date stamp, that clearly show the condition of all practices that have been identified as needing corrective actions. The *qualified inspector* must attach color copies of the digital photographs to the inspection report being maintained onsite within seven (7) calendar days of the date of the inspection. The *qualified inspector* must also take digital photographs, with date stamp, that clearly show the condition of the practice(s) after the corrective action has been completed. The *qualified inspector* must attach paper color copies of the digital photographs to the inspection report that documents the completion of the corrective action work within seven (7) calendar days of that inspection.
5. Within one business day of the completion of an inspection, the *qualified inspector* must notify the *owner or operator*, and appropriate contractor or subcontractor identified in Part III.A.7., of any corrective actions that need to be taken. The contractor or subcontractor must:
- a. if the corrective action does not require engineering design:

- i. begin implementing corrective actions within one business day; and
 - ii. complete the corrective actions within five business days; or
 - b. if the corrective action requires engineering design:
 - i. begin the engineering design process within five business days; and
 - ii. complete the corrective action in a reasonable time frame but no later than within 60 calendar days.
6. All inspection reports must be signed by the *qualified inspector*. In accordance with Part I.E.3., the inspection reports must be maintained on site with the *SWPPP*.

Part V. How to Terminate CGP Coverage

A. Electronic Notice of Termination (eNOT) Submittal

The eNOT contains questions to ensure requirements in Part V.A. have been met.

1. An *owner or operator* must terminate coverage when one or more of the following requirements have been met:
 - a. Total project completion:
 - i. all *construction activity* identified in the *SWPPP* has been completed; and
 - ii. all areas of disturbance have achieved *final stabilization*; and
 - iii. all temporary, structural erosion and sediment control measures have been removed; and
 - iv. all SMPs have been constructed in conformance with the *SWPPP* and are operational; and
 - v. an as-built drawing has been prepared; or

- b. Planned shutdown with partial project completion:
 - i. all soil disturbance activities have ceased; and
 - ii. all areas disturbed as of the project shutdown date have achieved *final stabilization*; and
 - iii. all temporary, structural erosion and sediment control measures have been removed; and
 - iv. all SMPs required for the completed portion of the project have been constructed in conformance with the *SWPPP* and are operational; and
 - v. an as-built drawing has been prepared; or
 - c. In accordance with Part I.G. Change of Owner or Operator; or
 - d. The *owner or operator* has obtained coverage under an alternative general SPDES permit or an individual SPDES permit.
2. For *construction activities* that require *qualified inspector* inspections in accordance with Part IV.C.1. and have met Part V.A.1.a. or b., the *owner or operator* must have the *qualified inspector* perform a final site inspection prior to submitting the eNOT. The *qualified inspector* must, by signing the “Final Stabilization” and “Post-Construction Stormwater Management Practice(s)” certification statements on the eNOT, certify that all the requirements in Part V.A.1.a. or b. have been achieved.
3. For *construction activities* that are subject to the review authority of *Traditional Land Use Control MS4 Operator(s)* in accordance with Part I.D.2.b.ii.1. and meet Part V.A.1.a. or b., the *owner or operator* must have the *Traditional Land Use Control MS4 Operator(s)* sign the “MS4 Acceptance” statement on the eNOT in accordance with the requirements in Part VII.J. A *Traditional Land Use Control MS4 Operator* official, by signing this statement, determined that it is acceptable for the *owner or operator* to submit the eNOT in accordance with the requirements of this Part. A *Traditional Land Use Control MS4 Operator* can make this determination by performing a final site inspection themselves or by accepting the *qualified inspector’s* final site inspection certification(s) when required in Part V.A.2.

Part V.A.4.

4. For *construction activities* that require SMPs and meet Part V.A.1.a. or b., the *owner or operator* must, prior to submitting the eNOT, ensure one of the following:
 - a. for SMP(s) that were constructed by a private entity, but will be owned, operated, and maintained by a public entity, the SMP(s) and any right-of-way(s) needed to operate and maintain such practice(s) have been deeded to the municipality in which the practice(s) is located; or
 - b. for SMP(s) that are privately owned, but will be operated and maintained by a public entity, an executed operation and maintenance agreement is in place with the municipality that will operate and maintain the SMP(s); or
 - c. for SMP(s) that are privately owned, the *owner or operator* has a mechanism in place that requires operation and maintenance of the practice(s) in accordance with the operation and maintenance plan, such as a deed covenant in the *owner or operator's* deed of record; or
 - d. for SMP(s) that are owned by a public or private institution (e.g. school, university, hospital), government agency or authority, or public utility, the *owner or operator* has policies and procedures in place that ensure operation and maintenance of the practices in accordance with the operation and maintenance plan.
5. An *owner or operator* that has met the requirements of Part V.A.1., 2., 3., and 4. must request termination of coverage under this permit by submitting a complete Notice of Termination form electronically using a NYSDEC approved form.⁵
 - a. The owner's or operator's coverage is terminated as of the termination date indicated in the Letter of Termination (LOT), which is sent by NYSDEC after a complete eNOT is submitted.

⁵ Unless NYSDEC grants a waiver in accordance with 40 CFR 127.15(c) or (d). All waiver requests must be submitted to Stormwater_info@dec.ny.gov or NYSDEC, Bureau of Water Permits, 625 Broadway, 4th Floor, Albany, New York 12233-3505.

Part VI. Record Retention and Reporting

A. Record Retention

The *owner or operator* must retain a copy of the documents listed in Part I.E.3. and a copy of the LOT for a period of at least five years from the date that NYSDEC accepts a complete NOT submitted in accordance with Part V.

B. Reporting

Except for the eNOI, the signature forms associated with the eNOI, and the eNOT, all other written correspondence requested by NYSDEC, including individual permit applications, must be sent to the address of the appropriate DOW (SPDES) Program contact at the Regional Office listed in Appendix E.

Part VII. Standard Permit Requirements

For the purposes of this permit, examples of contractors and subcontractors include: third-party maintenance and construction contractors.

A. Duty to Comply

The *owner or operator*, and all contractors or subcontractors, must comply with all requirements of this permit. Any non-compliance with the requirements of this permit constitutes a violation of the New York State Environmental Conservation Law (ECL), and its implementing regulations, and is grounds for enforcement action. Filing of a request for termination of coverage under this permit, or a notification of planned changes or anticipated non-compliance, does not limit, diminish or stay compliance with any requirements of this permit.

B. Need to Halt or Reduce Activity Not a Defense

The necessity to halt or reduce the *construction activity* regulated by this permit, in order to maintain compliance with the requirements of this permit, must not be a defense in an enforcement action.

C. Penalties

There are substantial criminal, civil, and administrative penalties associated with violating the requirements of this permit. Fines of up to \$37,500 per day for each

violation and imprisonment for up to 15 years may be assessed depending upon the nature and degree of the offense.

D. False Statements

Any person who knowingly makes any false material statement, representation, or certification in any application, record, report, or other document filed or required to be maintained under this permit, including monitoring reports or reports of compliance or noncompliance must, upon conviction, be punished in accordance with ECL §71-1933 and or New York State Penal Law Articles 175 and 210.

E. Re-Opener Clause

Upon issuance of this permit, a determination has been made on the basis of a submitted Notice of Intent, plans, or other available information, that compliance with the specified permit requirements will reasonably protect classified water use and assure compliance with applicable *water quality standards*. Satisfaction of the requirements of this permit notwithstanding, if operation pursuant to this permit causes or contributes to a condition in contravention of State *water quality standards* or guidance values, or if NYSDEC determines that a modification is necessary to prevent impairment of the best use of the waters or to assure maintenance of *water quality standards* or compliance with other provisions of ECL Article 17 or the Clean Water Act (CWA), or any regulations adopted pursuant thereto, NYSDEC may require such modification and the Commissioner may require abatement action to be taken by the *owner or operator* and may also prohibit such operation until the modification has been implemented.

F. Duty to Mitigate

The *owner or operator*, and its contractors and subcontractors, must take all reasonable steps to *minimize* or prevent any *discharge* in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.

G. Requiring Another General Permit or Individual SPDES Permit

NYSDEC may require any *owner or operator* authorized to *discharge* in accordance with this permit to apply for and obtain an individual SPDES permit or apply for authorization to *discharge* in accordance with another general SPDES permit.

1. Cases where an individual SPDES permit or authorization to discharge in accordance with another general SPDES permit may be required include, but is not limited to the following:

Part VII.G.1.a.

- a. the *owner or operator* is not in compliance with the conditions of this permit or does not meet the requirements for coverage under this permit; and
 - b. a change has occurred in the availability of demonstrated technology or practices for the control or abatement of *pollutants* applicable to the *point source*; and
 - c. new effluent limitation guidelines or new source performance standards are promulgated that are applicable to *point sources* authorized to *discharge* in accordance with this permit; and
 - d. existing effluent limitation guidelines or new source performance standards that are applicable to *point sources* authorized to *discharge* in accordance with this permit are modified; and
 - e. a water quality management plan containing requirements applicable to such *point sources* is approved by NYSDEC; and
 - f. circumstances have changed since the time of the request to be covered so that the *owner or operator* is no longer appropriately controlled under this permit, or either a temporary or permanent reduction or elimination of the authorized *discharge* is necessary; and
 - g. the *discharge* is in violation of section 17-0501 of the ECL; and
 - h. the *discharge(s)* is a significant contributor of *pollutants*. In making this determination, NYSDEC may consider the following factors:
 - i. the location of the *discharge(s)* with respect to *surface waters of the State*; and
 - ii. the size of the *discharge(s)*; and
 - iii. the quantity and nature of the *pollutants discharged* to *surface waters of the State*; and
 - iv. other relevant factors including compliance with other provisions of ECL Article 17, or the CWA.
2. When NYSDEC requires any *owner or operator* authorized by this permit to apply for an individual SPDES permit as provided for in this subdivision, it must notify the *owner or operator* in writing that a permit application is required. This notice must include a brief statement of the reasons for this decision, an application

form, a statement setting a time for the *owner or operator* to file the application for an individual SPDES permit, and a deadline, not sooner than 180 days from the *owner's or operator's* receipt of the notification letter, whereby the authorization to *discharge* under this permit must be terminated. NYSDEC may grant additional time upon demonstration, to the satisfaction of the RWE,⁶ that additional time to apply for an alternative authorization is necessary or where NYSDEC has not provided a permit determination in accordance with 6 NYCRR Part 621.

3. When an individual SPDES permit is issued to an *owner or operator* authorized to *discharge* under this permit for the same *discharge(s)*, this permit authorization for *construction activities* authorized under the individual SPDES permit is automatically terminated on the effective date of the individual SPDES permit unless termination is earlier in accordance with 6 NYCRR Part 750.

H. Duty to Provide Information

The *owner or operator* must furnish to NYSDEC, within five business days, unless otherwise set forth by NYSDEC, any information that NYSDEC may request to determine whether cause exists to determine compliance with this permit or to determine whether cause exists for requiring an individual SPDES permit in accordance with 6 NYCRR 750-1.21(e) (see Part VII.G. Requiring Another General Permit or Individual Permit).

The *owner or operator* must make available to NYSDEC, for inspection and copying, or furnish to NYSDEC within 25 business days of receipt of a NYSDEC request for such information, any information retained in accordance with this permit.

Except for Part I.D.4. and 5. and Part I.G., the following applies: where the *owner or operator* becomes aware that it failed to submit any relevant facts on the Notice of Intent, or submitted incorrect information in a Notice of Intent or in any report to NYSDEC, the *owner or operator* must submit such facts or corrected information to NYSDEC within five business days.

I. Extension

In the event a new permit is not issued and effective prior to the expiration of this permit, and this permit is extended pursuant to the State Administrative Procedure Act and 6 NYCRR Part 621, then the *owner or operator* with coverage under this permit may continue to operate and *discharge* in accordance with the requirements of this permit until a new permit is issued and effective.

⁶ The Regional Water Manager where a DEC Region does not have a RWE.

J. Signatories and Certification

The Notice of Intent, Notice of Termination, and reports required by this permit must be signed as provided in 40 CFR §122.22.

1. All Notices of Intent and Notices of Termination must be signed as follows:

a. For a corporation. By a responsible corporate officer. For the purpose of this section, a responsible corporate officer means:

- (i) a president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy- or decision-making functions for the corporation; or
- (ii) the manager of one or more manufacturing, production or operating facilities, provided, the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for Notice of Intent or Notice of Termination requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.

Note: NYSDEC does not require specific assignments or delegations of authority to responsible corporate officers identified in 40 CFR §122.22(a)(1)(i). NYSDEC will presume that these responsible corporate officers have the requisite authority to sign the Notice of Intent or Notice of Termination unless the corporation has notified NYSDEC to the contrary. Corporate procedures governing authority to sign a Notice of Intent or Notice of Termination may provide for assignment or delegation to applicable corporate positions under 40 CFR §122.22(a)(1)(ii) rather than to specific individuals.

b. For a partnership or sole proprietorship. By a general partner or the proprietor, respectively.

Part VII.J.1.c.

- c. For a municipality, State, Federal, or other public agency. By either a principal executive officer or ranking elected official. For purposes of this section, a principal executive officer of a Federal agency includes:
 - 1. the chief executive officer of the agency; or
 - 2. a senior executive officer having responsibility for the overall operations of a principal geographic unit of the agency (e.g., Regional Administrators of EPA).
- 2. All reports required by this permit, and other information requested by NYSDEC, must be signed by a person described in Part VII.J.1., or by a duly authorized representative of that person. A person is a duly authorized representative only if:
 - a. The authorization is made in writing by a person described in Part VII.J.1. or using the Duly Authorized Form, found on the DEC website; and
 - b. The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility or activity, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters for the company. (A duly authorized representative may thus be either a named individual or any individual occupying a named position); and
 - c. The written authorization is submitted to NYSDEC.
- 3. Changes to authorization. If an authorization under Part VII.J.2. is no longer accurate because a different individual or position has responsibility for the overall operation of the *construction activity*, a new authorization satisfying the requirements of Part VII.J.2. must be submitted to NYSDEC prior to or together with any reports, information, or applications to be signed by an authorized representative.
- 4. Certification. Any person signing a document under Part VII.J.1. or 2. must make the following certification:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who

manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

5. Electronic reporting. If documents described in Part VII.J.1. or 2. are submitted electronically by or on behalf of the *construction activity* with coverage under this permit, any person providing the electronic signature for such documents must meet all relevant requirements of this section, and must ensure that all of the relevant requirements of 40 CFR Part 3 (including, in all cases, subpart D to Part 3) (Cross-Media Electronic Reporting) and 40 CFR Part 127 (NPDES Electronic Reporting Requirements) are met for that submission.

K. Inspection and Entry

The *owner or operator* must allow NYSDEC, the USEPA Regional Administrator, the applicable county health department, or any authorized representatives of those entities, or, in the case of a *construction site* which *discharges* through an *MS4*, an authorized representative of the *MS4* receiving the *discharge*, upon the presentation of credentials and other documents as may be required by law, to:

1. enter upon the *owner's or operator's* premises where a regulated facility or activity is located or conducted or where records must be kept under the requirements of this permit; and
2. have access to and copy at reasonable times, any records that must be kept under the requirements of this permit, including records required to be maintained for purposes of operation and maintenance; and
3. inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices or operations regulated or required under this permit; and
4. sample or monitor at reasonable times, for the purposes of assuring general SPDES permit compliance or as otherwise authorized by the CWA or ECL, any substances or parameters at any location; and
5. enter upon the property of any contributor to the regulated facility or activity under authority of the *owner or operator*.

L. Confidentiality of Information

The following must not be held confidential: this permit, the fact sheet for this permit, the name and address of any *owner or operator*, effluent data, the Notice of Intent, and information regarding the need to obtain an individual permit or an alternative general SPDES permit. This includes information submitted on forms themselves and any attachments used to supply information required by the forms (except information submitted on usage of substances). Upon the request of the *owner or operator*, NYSDEC must make determinations of confidentiality in accordance with 6 NYCRR Part 616, except as set forth in the previous sentence. Any information accorded confidential status must be disclosed to the Regional Administrator upon his or her written request. Prior to disclosing such information to the Regional Administrator, NYSDEC will notify the Regional Administrator of the confidential status of such information.

M. Other Permits May Be Required

Nothing in this permit relieves the *owner or operator* from a requirement to obtain any other permits required by law.

N. NYSDEC Orders or Civil Decrees/Judgments

The issuance of this permit by the NYSDEC, and the coverage under this permit by the *owner or operator*, does not supersede, revoke, or rescind any existing order on consent or civil Decree/Judgment, or modification to any such documents or to any order issued by the Commissioner, or any of the terms, conditions, or requirements contained in such order or modification therefore, unless expressly noted.

O. Property Rights

Coverage under this permit does not convey any property rights in either real or personal property, or any exclusive privileges, nor does it authorize any injury to private property or any invasion of personal rights, nor any infringement of Federal, State, or local laws or regulations, nor does it obviate the necessity of obtaining the assent of any other jurisdiction as required by law for the *discharge* authorized.

P. Compliance with Interstate Standards

If the *construction activity* covered by this permit originates within the jurisdiction of an interstate water pollution control agency, then the *construction activity* must also comply with any applicable effluent standards or *water quality standards* promulgated by that interstate agency and as set forth in this permit for such *construction activities*.

Q. Oil and Hazardous Substance Liability

Coverage under this permit does not affect the imposition of responsibilities upon, or the institution of any legal action against, the *owner or operator* under section 311 of the CWA, which must be in conformance with regulations promulgated pursuant to section 311 governing the applicability of section 311 of the CWA to *discharges* from facilities with *NPDES* permits, nor must such issuance preclude the institution of any legal action or relieve the *owner or operator* from any responsibilities, liabilities, or penalties to which the *owner or operator* is or may be subject pursuant to the Comprehensive Environmental Response, Compensation and Liability Act of 1980, 42 U.S.C. section 9601 et seq. (CERCLA).

R. Severability

The provisions of this permit are severable, and if any provision of this permit, or the application of any provision of this permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this permit, must not be affected thereby.

S. NYSDEC Approved Forms

The *owner or operator* must provide all relevant information that is requested by NYSDEC, and required by this permit, on all NYSDEC approved forms.

APPENDIX A – Abbreviations and Definitions

Abbreviations

APO – Agency Preservation Officer
BB – New York State Standards and Specifications for Erosion and Sediment Control (Blue Book), dated November 2016
BMP – Best Management Practice
CPESC – Certified Professional in Erosion and Sediment Control
CPv – Channel Protection Volume
CWA – Clean Water Act (or the Federal Water Pollution Control Act, 33 U.S.C. §1251 et seq)
DM – New York State Stormwater Management Design Manual (Design Manual), dated July 31, 2024
DOW – Division of Water
EAF – Environmental Assessment Form
ECL – chapter 43-B of the Consolidated Laws of the State of New York, entitled the Environmental Conservation Law
EPA – U.S. Environmental Protection Agency
HSG – Hydrologic Soil Group
MS4 – Municipal Separate Storm Sewer System
NOI – Notice of Intent
NOT – Notice of Termination
NPDES – National Pollutant Discharge Elimination System
NYC – The City of New York
NYCDEP – The City of New York Department of Environmental Protection
NYSDEC – The New York State Department of Environmental Conservation
OPRHP – Office of Parks, Recreation and Historic Places
Qf – Extreme Flood
Qp – Overbank Flood
RR – Runoff Reduction
RRv – Runoff Reduction Volume
RWE – Regional Water Engineer
SEQR – State Environmental Quality Review Act
SHPA – State Historic Preservation Act
SMP – Post-Construction Stormwater Management Practice
SPDES – State Pollutant Discharge Elimination System
SWPPP – Stormwater Pollution Prevention Plan
TMDL – Total Maximum Daily Load
UPA – Uniform Procedures Act
USDA – United States Department of Agriculture
WQv – Water Quality Volume

Definitions

All definitions in this section are solely for the purposes of this permit. If a word is not italicized in the permit, use its common definition.

Agricultural Building – a structure designed and constructed to house farm implements, hay, grain, poultry, livestock or other horticultural products; excluding any structure designed, constructed or used, in whole or in part, for human habitation, as a place of employment where agricultural products are processed, treated or packaged, or as a place used by the public.

Agricultural Property – the land for construction of a barn, *agricultural building*, silo, stockyard, pen or other structural practices identified in Table II in the “Agricultural Best Management Practice Systems Catalogue” (dated June 2023).

Alter Hydrology from Pre- to Post-Development Conditions – the post-development peak flow rate(s) has increased by more than 5% of the pre-developed condition for the design storm of interest (e.g. 10 yr and 100 yr).

Combined Sewer System – a sewer system which conveys sewage and *stormwater* through a single pipe system to a publicly owned treatment works.

Commence (Commencement of) Construction Activities – the initial disturbance of soils associated with clearing, grading or excavation activities; or other construction related activities that disturb or expose soils such as demolition, stockpiling of fill material, and the initial installation of erosion and sediment control practices required in the *SWPPP*. See definition for “*Construction Activity(ies)*” also.

Common Plan of Development or Sale – a contiguous area where multiple separate and distinct *construction activities* are occurring, or may occur, under one plan. The “common plan” of development or sale is broadly defined as any announcement or piece of documentation (including a sign, public notice or hearing, marketing plan, advertisement, drawing, permit application, State Environmental Quality Review Act (SEQR) environmental assessment form or other documents, zoning request, computer design, etc.) or physical demarcation (including boundary signs, lot stakes, surveyor markings, etc.) indicating *construction activities* may occur on a specific plot. A *common plan of development or sale* is comprised of two or more *phases*.

Common plan of development or sale does not include separate and distinct *construction activities* that are occurring, or may occur, under one plan that are at least 1/4 mile apart provided any interconnecting road, pipeline or utility project that is part of the same “common plan” is not concurrently being disturbed.

Construction Activity(ies) – identified within 40 CFR 122.26(b)(14)(x), 122.26(b)(15)(i), and 122.26(b)(15)(ii), any clearing, grading, excavation, filling, demolition or stockpiling activities that result in soil disturbance. Clearing activities can include, but are not limited to, mechanized logging equipment operation, the cutting and skidding of trees, stump removal and/or brush root removal.

Construction activity does not include routine maintenance that is performed to maintain the original line and grade, hydraulic capacity, or original purpose of a facility, which is excluded from the calculation of the soil disturbance for a project. Routine maintenance includes, but is not limited to:

- Re-grading of gravel roads or parking lots; and
- Cleaning and shaping of existing roadside ditches and culverts that maintains the approximate original line and grade, and maintains or improves the hydraulic capacity of the ditch; and
- Replacement of existing culverts that maintains the approximate original line and grade, and maintains or improves the hydraulic capacity of a ditch; and
- Replacement of existing bridges that maintains the approximate original line and grade, and maintains or improves the hydraulic capacity beneath the bridges; and
- Cleaning and shaping of existing roadside ditches that does not maintain the approximate original grade, hydraulic capacity and purpose of the ditch if the changes to the line and grade, hydraulic capacity or purpose of the ditch are installed to improve water quality and quantity controls (e.g. installing grass lined ditch); and
- Placement of aggregate shoulder backing that stabilizes the transition between the road shoulder and the ditch or *embankment*; and
- Full depth milling and filling of existing asphalt pavements, replacement of concrete pavement slabs, and similar work that does not expose soil or disturb the bottom six (6) inches of subbase material; and
- Long-term use of equipment storage areas at or near highway maintenance facilities; and
- Removal of sediment from the edge of the highway to restore a previously existing sheet-flow drainage connection from the highway surface to the highway ditch or *embankment*; and
- Existing use of Canal Corp owned upland disposal sites for the canal, and
- Replacement of curbs, gutters, sidewalks and guide rail posts; and
- Maintenance of ski trails including brush hog use and mowing; and
- Above ground snowmaking pipe replacement; and
- Replacement of existing utility poles; etc.

Construction Site – the land area where *construction activity(ies)* will occur. See also the definitions for “*Commence (Commencement of) Construction Activities*” and “*Common Plan of Development or Sale.*”

Dewatering – the act of draining rainwater and/or groundwater from building foundations, vaults or excavations/trenches.

Directly Discharge(s)(ing) (to a specific surface waterbody) – runoff flows from a *construction site* by overland flow and the first point of *discharge* is the specific surface waterbody, or runoff flows from a *construction site* to a separate storm sewer system and the first point of *discharge* from the separate storm sewer system is the specific surface waterbody.

Discharge(s)(d) – any addition of any *pollutant* to waters of the State through an outlet or *point source*.

Embankment – an earthen or rock slope that supports a road/highway.

Equivalent (Equivalence) – the practice or measure meets all the performance, longevity, maintenance, and safety objectives of the technical standard and will provide an equal or greater degree of water quality protection.

Final Stabilization – all soil disturbance activities have ceased and a uniform, perennial vegetative cover with a density of eighty (80) percent over the entire pervious surface has been established; or other *equivalent* stabilization measures, such as permanent landscape mulches, rock rip-rap or washed/crushed stone have been applied on all disturbed areas that are not covered by permanent structures, concrete or pavement.

Historic Property – any building, structure, site, object or district that is listed on the State or National Registers of Historic Places or is determined to be eligible for listing on the State or National Registers of Historic Places.

Impervious Area (Cover) – all impermeable surfaces that cannot effectively infiltrate rainfall. This includes paved, concrete and compacted gravel surfaces (i.e. parking lots, driveways, roads, runways and sidewalks); building rooftops and miscellaneous impermeable structures such as patios, pools, and sheds.

Infeasible – not technologically possible, or not economically practicable and achievable considering best industry practices.

Minimize(ing)(ation) – reduce and/or eliminate to the extent achievable using control measures (including best management practices) that are technologically available and economically practicable and achievable in light of best industry practices.

Municipal Separate Storm Sewer System (MS4) - a conveyance or system of conveyances (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels, or storm drains):

1. owned or operated by a State, city, town, village, borough, county, parish, district, association, or other public body (created by or pursuant to State law) having jurisdiction over disposal of sewage, industrial wastes, *stormwater*, or other wastes, including special districts under State law such as a sewer district, flood control district or drainage district, or similar entity, or an Indian tribe or an authorized Indian tribal organization, or a designated and approved management agency under section 208 of the CWA, that *discharges to surface waters of the State*; and
2. designed or used for collecting or conveying *stormwater*; and
3. which is not a *combined sewer system*; and
4. which is not part of a Publicly Owned Treatment Works (POTW) as defined at 40 CFR 122.2.

Natural Buffer(s) – an undisturbed area with natural cover running along a surface water (e.g. wetland, stream, river, lake, etc.).

New Development – any land disturbance that does not meet the definition of *Redevelopment Activity* included in this appendix.

New York State Erosion and Sediment Control Certificate Program – a certificate program that establishes and maintains a process to identify and recognize individuals who are capable of developing, designing, inspecting and maintaining erosion and sediment control plans on projects that disturb soils in New York State. The certificate program is administered by the New York State Conservation District Employees Association.

Nonpoint Source(s) – any source of water pollution or *pollutants* which is not a discrete conveyance or *point source* permitted pursuant to Title 7 or 8 of Article 17 of the Environmental Conservation Law (see ECL Section 17-1403).

Overbank – flow events that exceed the capacity of the stream channel and spill out into the adjacent floodplain.

Owner or Operator – the person, persons, or legal entity which owns or leases the property on which the *construction activity* is occurring; an entity that has operational control over the construction plans and specifications, including the ability to make modifications to the plans and specifications; and/or an entity that has day-to-day operational control of those activities at a project that are necessary to ensure compliance with the permit requirements.

Performance Criteria – the six performance criteria for each group of SMPs in Chapters 5 and 6 of the technical standard, New York State Stormwater Management Design Manual (DM), dated July 31, 2024. These include feasibility, conveyance, pretreatment, treatment, landscaping, and maintenance. It does not include the *Sizing Criteria* (i.e. WQv, RRV, CPv, Qp and Qf) in Part I.C.2. of the permit.

Phase – a defined area in which *construction activities* are occurring or will occur separate from other defined area(s).

Point Source – any discernible, confined, and discrete conveyance, including but not limited to any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation, vessel or other floating craft, or landfill leachate collection system from which *pollutants* are or may be *discharged*.

Pollutant(s) – dredged spoil, filter backwash, solid waste, incinerator residue, sewage, garbage, sewage sludge, munitions, chemical wastes, biological materials, radioactive materials, heat, wrecked or discarded equipment, rock, sand and industrial, municipal, agricultural waste and ballast *discharged* into water; which may cause or might reasonably be expected to cause pollution of the waters of the state in contravention of the standards or guidance values adopted as provided in 6 NYCRR Parts 700 et seq.

Qualified Inspector – a person that is knowledgeable in the principles and practices of erosion and sediment control, such as a licensed Professional Engineer, Certified Professional in Erosion and Sediment Control (CPESC), Registered Landscape Architect, *New York State Erosion and Sediment Control Certificate Program* holder or other NYSDEC endorsed individual(s).

It can also mean someone working under the direct supervision of, and at the same company as, the licensed Professional Engineer or Registered Landscape Architect, provided that person has training in the principles and practices of erosion and sediment control. Training in the principles and practices of erosion and sediment control means that the individual working under the direct supervision of the licensed Professional Engineer or Registered Landscape Architect has received four (4) hours of NYSDEC endorsed training in proper erosion and sediment control principles from a Soil and Water Conservation District, or other NYSDEC endorsed entity. After receiving the initial training, the individual working under the direct supervision of the licensed Professional Engineer or Registered Landscape Architect shall receive four (4) hours of training every three (3) years.

It can also mean a person that meets the *Qualified Professional* qualifications in addition to the *Qualified Inspector* qualifications.

Note: Inspections of any SMPs that include structural components, such as a dam for an impoundment, shall be performed by a licensed Professional Engineer.

Qualified Professional – a person that is knowledgeable in the principles and practices of *stormwater* management and treatment, such as a licensed Professional Engineer, Registered Landscape Architect or other NYSDEC endorsed individual(s). Individuals preparing *SWPPPs* that require the SMP component must have an understanding of the principles of hydrology, water quality management practice design, water quantity control design, and, in many cases, the principles of hydraulics. All components of the *SWPPP* that involve the practice of engineering, as defined by the NYS Education Law (see Article 145), shall be prepared by, or under the direct supervision of, a professional engineer licensed to practice in the State of New York.

Redevelopment Activity(ies) – the disturbance and reconstruction of existing *impervious area*, including *impervious areas* that were removed from a project site within five (5) years of preliminary project plan submission to the local government (i.e. site plan, subdivision, etc.).

Renewable Energy – electricity or thermal energy generated by renewable energy systems through use of the following technologies: solar thermal, photovoltaics, on land and offshore wind, hydroelectric, geothermal electric, geothermal ground source heat, tidal energy, wave energy, ocean thermal, and fuel cells which do not utilize a fossil fuel resource in the process of generating electricity.

Site Limitations – site conditions that prevent the use of an infiltration technique and or infiltration of the total WQv. Typical *site limitations* include: seasonal high groundwater, shallow depth to bedrock, and soils with an infiltration rate less than 0.5 inches/hour. The existence of *site limitations* shall be confirmed and documented using actual field testing (i.e. test pits, soil borings, and infiltration test) or using information from the most current United States Department of Agriculture (USDA) Soil Survey for the County where the project is located.

Sizing Criteria – the criteria included in Part I.C.2 of the permit that are used to size SMPs. The criteria include; Water Quality Volume (WQv), Runoff Reduction Volume (RRv), Channel Protection Volume (Cpv), *Overbank Flood* (Qp), and Extreme Flood (Qf).

Steep Slope – land area designated on the current United States Department of Agriculture (USDA) Soil Survey as Soil Slope Phase D, (provided the map unit name or description is inclusive of slopes greater than 25%), or Soil Slope Phase E or F, (regardless of the map unit name), or a combination of the three designations.

Stormwater – that portion of precipitation that, once having fallen to the ground, is in excess of the evaporative or infiltrative capacity of soils, or the retentive capacity of surface features, which flows or will flow off the land by surface runoff to waters of the State.

Streambank – the terrain alongside the bed of a creek or stream. The bank consists of the sides of the channel, between which the flow is confined.

Stormwater Pollution Prevention Plan (SWPPP) – a project specific report, including construction drawings, that among other things: describes the *construction activity(ies)*, identifies the potential sources of pollution at the *construction site*; describes and shows the *stormwater* controls that will be used to control the *pollutants* (i.e. erosion and sediment controls; for many projects, includes SMPs); and identifies procedures the *owner or operator* will implement to comply with the requirements of the permit. See Part III of the permit for a complete description of the information that must be included in the *SWPPP*.

Surface Waters of the State – shall be construed to include lakes, bays, sounds, ponds, impounding reservoirs, springs, rivers, streams, creeks, estuaries, marshes, inlets, canals, the Atlantic ocean within the territorial seas of the state of New York and all other bodies of surface water, natural or artificial, inland or coastal, fresh or salt, public or private (except those private waters that do not combine or effect a junction with natural surface waters), which are wholly or partially within or bordering the state or within its jurisdiction. Waters of the state are further defined in 6 NYCRR Parts 800 to 941.

Temporarily Ceased – an existing disturbed area will not be disturbed again within 14 calendar days of the previous soil disturbance.

Temporary Stabilization – exposed soil has been covered with material(s) as set forth in the technical standard, New York Standards and Specifications for Erosion and Sediment Control, to prevent the exposed soil from eroding. The materials can include, but are not limited to, mulch, seed and mulch, and erosion control mats (e.g. jute twisted yarn, excelsior wood fiber mats).

Total Maximum Daily Load (TMDL) – the sum of the allowable loads of a single *pollutant* from all contributing point and *nonpoint sources*. It is a calculation of the maximum amount of a *pollutant* that a waterbody can receive and still meet *water quality standards*, and an allocation of that amount to the *pollutant's* sources. A TMDL stipulates Waste Load Allocations (WLA) for *point source discharges*, Load Allocations (LA) for *nonpoint sources*, and a margin of safety (MOS).

Traditional Land Use Control MS4 Operator – a city, town, or village with land use control authority that is authorized to *discharge* under New York State DEC's SPDES General Permit For Stormwater Discharges from Municipal Separate Stormwater Sewer Systems (MS4s) or the City of New York's Individual SPDES Permit for their Municipal Separate Storm Sewer Systems (NY-0287890).

Trained Contractor – an employee from the contracting (construction) company, identified in Part III.A.7., that has received four (4) hours of NYSDEC endorsed training

in proper erosion and sediment control principles from a Soil and Water Conservation District, or other NYSDEC endorsed entity. After receiving the initial training, the *trained contractor* shall receive four (4) hours of training every three (3) years.

It can also mean an employee from the contracting (construction) company, identified in Part III.A.7., that meets the *qualified inspector* qualifications (e.g. licensed Professional Engineer, Certified Professional in Erosion and Sediment Control (CPESC), Registered Landscape Architect, *New York State Erosion and Sediment Control Certificate Program* holder, or someone working under the direct supervision of, and at the same company as, the licensed Professional Engineer or Registered Landscape Architect, provided they have received four (4) hours of NYSDEC endorsed training in proper erosion and sediment control principles from a Soil and Water Conservation District, or other NYSDEC endorsed entity).

The *trained contractor* is responsible for the day-to-day implementation of the *SWPPP*.

Tree Clearing – *construction activities* limited to felling and removal of trees.

Tree clearing does not include hand felling and leaving the trees in place with no support from mechanized equipment, which is not considered *construction activity* requiring coverage under this permit.

Water Quality Standard – such measures of purity or quality for any waters in relation to their reasonable and necessary use as promulgated in 6 NYCRR Part 700 et seq.

APPENDIX B – Required SWPPP Components by Project Type

Table 1
CONSTRUCTION ACTIVITIES THAT REQUIRE THE PREPARATION OF A SWPPP
THAT ONLY INCLUDES EROSION AND SEDIMENT CONTROLS

The following *construction activities* that involve soil disturbances of one (1) or more acres of land, but less than five (5) acres:

- Single-family home not located in one of the watersheds listed in Appendix C and not directly discharging to one of the 303(d) segments listed in Appendix D
- Single-family residential subdivisions with 25% or less *impervious cover* at total site build-out and not located in one of the watersheds listed in Appendix C and not directly discharging to one of the 303(d) segments listed in Appendix D
- Construction of a barn or other *agricultural building*, silo, stock yard or pen.
- Structural agricultural conservation practices as identified in Table II in the “Agricultural Best Management Practice Systems Catalogue” (dated June 2023) that include construction or reconstruction of *impervious area* or *alter hydrology from pre- to post-development* conditions.

The following *construction activities* that involve soil disturbances between five thousand (5000) square feet and one (1) acre of land:

- All construction activities located in the New York City Watershed located east of the Hudson River, see Appendix C Figure 1, that involve soil disturbances between five thousand (5,000) square feet and one (1) acre of land.

Within the municipal boundaries of NYC:

- Stand-alone road reconstruction, where the total soil disturbance from only that road construction, is less than one (1) acre of land.

The following *construction activities*:

- Installation of underground linear utilities; such as gas lines, fiber-optic cable, cable TV, electric, telephone, sewer mains, and water mains
- Environmental enhancement projects, such as wetland mitigation, *stormwater* retrofits, stream restoration, and resiliency projects that reconstruct shoreline areas to address sea level rise
- Pond construction
- Linear bike paths running through areas with vegetative cover, including bike paths surfaced with an *impervious cover*
- Cross-country ski trails, walking/hiking trails, and mountain biking trails, including a de minimis parking lot (maximum 10 spaces total, sized for passenger cars) with 35 feet minimum preservation of undisturbed area downgradient from the parking lot
- Dam rehabilitation (the structure of the dam itself)
- Sidewalks, bike paths, or walking paths, surfaced with an *impervious cover*, that are not part of residential, commercial, or institutional development;
- Sidewalks, bike paths, or walking paths, surfaced with an *impervious cover*, that include incidental shoulder or curb work along an existing highway to support construction of the sidewalk, bike path, or walking path.

Table 1 (Continued)
CONSTRUCTION ACTIVITIES THAT REQUIRE THE PREPARATION OF A SWPPP
THAT ONLY INCLUDES EROSION AND SEDIMENT CONTROLS

The following *construction activities*:

- Slope stabilization
- Slope flattening that changes the grade of the site, but does not significantly change the runoff characteristics
- Spoil areas that will be covered with vegetation
- Vegetated open space (i.e. recreational parks, lawns, meadows, fields, downhill ski trails) that do not *alter hydrology from pre- to post-development* conditions
- Athletic fields (natural grass) that do not include the construction or reconstruction of *impervious area* and do not *alter hydrology from pre- to post-development* conditions
- Demolition where vegetation will be established, and no *redevelopment activity* is planned¹
- Installation or replacement of either an overhead electric transmission line or a ski lift tower that does not include the construction of permanent access roads or parking areas surfaced with *impervious cover*.
- Solar array field areas that have tables elevated off the ground, spaced one table width apart, do not *alter hydrology from pre- to post-development conditions*, and address water quality volume and runoff reduction volume by maintaining sheet flow on slopes less than 8%.
- Structural agricultural conservation practices as identified in Table II in the “Agricultural Best Management Practice Systems Catalogue” (dated June 2023) that do not include construction or reconstruction of *impervious area* and do not *alter hydrology from pre- to post-development* conditions.
- Temporary access roads, median crossovers, detour roads, lanes, or other temporary *impervious areas* that will be restored to pre-construction conditions once the *construction activity* is complete (in this context, “temporary” means the *impervious area* will be in place for two years or less)
- Other *construction activities* that do not include the construction or reconstruction of *impervious area*, and do not *alter hydrology from pre- to post-development* conditions, and are not listed in Table 2.

1. If the site is redeveloped in the future, a new eNOI must be submitted.

Table 2

CONSTRUCTION ACTIVITIES THAT REQUIRE THE PREPARATION OF A *SWPPP* THAT INCLUDES POST-CONSTRUCTION STORMWATER MANAGEMENT PRACTICES (SMPs)

The following *construction activities*:

- Single-family home located in one of the watersheds listed in Appendix C or *directly discharging* to one of the 303(d) segments listed in Appendix D
- Single-family home that disturbs five (5) or more acres of land
- Single-family residential subdivisions located in one of the watersheds listed in Appendix C or *directly discharging* to one of the 303(d) segments listed in Appendix D
- Single-family residential subdivisions that involve soil disturbances of between one (1) and five (5) acres of land with greater than 25% *impervious cover* at total site build-out
- Single-family residential subdivisions that involve soil disturbances of between 20,000 square feet and one (1) acre of land within the municipal boundaries of NYC with greater than 25% *impervious cover* at total site build-out
- Single-family residential subdivisions that involve soil disturbances of five (5) or more acres of land, and single-family residential subdivisions that involve soil disturbances of less than five (5) acres that are part of a *common plan of development or sale* that will ultimately disturb five (5) or more acres of land
- Multi-family residential developments; includes duplexes, townhomes, condominiums, senior housing complexes, apartment complexes, and mobile home parks
- Creation of 5,000 square feet or more of *impervious area* in the municipal boundaries of NYC
- Airports
- Amusement parks
- Breweries, cideries, and wineries, including establishments constructed on agricultural land
- Campgrounds
- Cemeteries that include the construction or reconstruction of *impervious area* (>5% of disturbed area) or *alter the hydrology from pre- to post-development* conditions
- Commercial developments
- Churches and other places of worship
- Construction of a barn or other *agricultural building* (e.g. silo) that involves soil disturbance greater than five acres.
- Structural agricultural conservation practices as identified in Table II in the “Agricultural Best Management Practice Systems Catalogue” (dated June 2023) that involves soil disturbance greater than five acres and include the construction or reconstruction of *impervious area* or *alter hydrology from pre- to post-development* conditions.
- Facility buildings, including ski lodges, restroom buildings, pumphouses, ski lift terminals, and maintenance and groomer garages
- Institutional development; includes hospitals, prisons, schools and colleges
- Industrial facilities; includes industrial parks
- Landfills; including creation of landfills or capping landfills.
- Municipal facilities; includes highway garages, transfer stations, office buildings, POTWs, water treatment plants, and water storage tanks
- Golf courses
- Office complexes

Table 2 (Continued)

CONSTRUCTION ACTIVITIES THAT REQUIRE THE PREPARATION OF A *SWPPP* THAT INCLUDES POST-CONSTRUCTION STORMWATER MANAGEMENT PRACTICES (SMPs)

The following *construction activities*:

- Permanent laydown yards and equipment storage lots
- Playgrounds that include the construction or reconstruction of *impervious area*
- Sports complexes
- Racetracks; includes racetracks with earthen (dirt) surfaces
- Road construction or reconstruction, outside the municipal boundaries of NYC
- Road construction within the municipal boundaries of NYC
- Stand-alone road reconstruction, within the municipal boundaries of NYC where the total soil disturbance from that road reconstruction involves soil disturbance of one (1) acre or more of land
- Parking lot construction or reconstruction (as with all Table 2 bullets, this includes parking lots constructed as part of the *construction activities* listed in Table 1, unless a Table 1 bullet specifies otherwise)
- Athletic fields (natural grass) that include the construction or reconstruction of *impervious area* (>5% of disturbed area) or *alter the hydrology from pre- to post-development* conditions
- Athletic fields with artificial turf
- Permanent access roads, parking areas, substations, compressor stations, and well drilling pads, surfaced with *impervious cover*, and constructed as part of an overhead electric transmission line, wind-power, cell tower, oil or gas well drilling, sewer or water main, ski lift, or other linear utility project
- Sidewalks, bike paths, or walking paths, surfaced with an *impervious cover*, that are part of a residential, commercial or institutional development
- Sidewalks, bike paths, or walking paths, surfaced with an *impervious cover*, that are part of highway construction or reconstruction
- Solar array field areas on slopes greater than 8% that cannot maintain sheet flow using management practices identified in the BB or the DM
- Solar array field areas on slopes less than 8% that will *alter the hydrology from pre- to post-development* conditions
- Solar array field areas with tables that are not elevated high enough to achieve *final stabilization* beneath the tables
- Traditional *impervious areas* associated with solar development (e.g. roads, buildings, transformers)
- Utility pads surfaced with *impervious cover*, including electric vehicle charging stations
- All other *construction activities* that include the construction or reconstruction of *impervious area* or *alter the hydrology from pre- to post-development* conditions, and are not listed in Table 1

APPENDIX C – Watersheds Requiring Enhanced Phosphorus Removal

Watersheds where *owners or operators of construction activities* identified in Table 2 of Appendix B must prepare a *SWPPP* that includes SMPs designed in conformance with the Enhanced Phosphorus Removal Standards included in the DM technical standard.

- Entire New York City Watershed located east of the Hudson River – Figure 1
- Onondaga Lake Watershed – Figure 2
- Greenwood Lake Watershed – Figure 3
- Oscawana Lake Watershed – Figure 4
- Kinderhook Lake Watershed – Figure 5

Figure 1 - New York City Watershed East of the Hudson

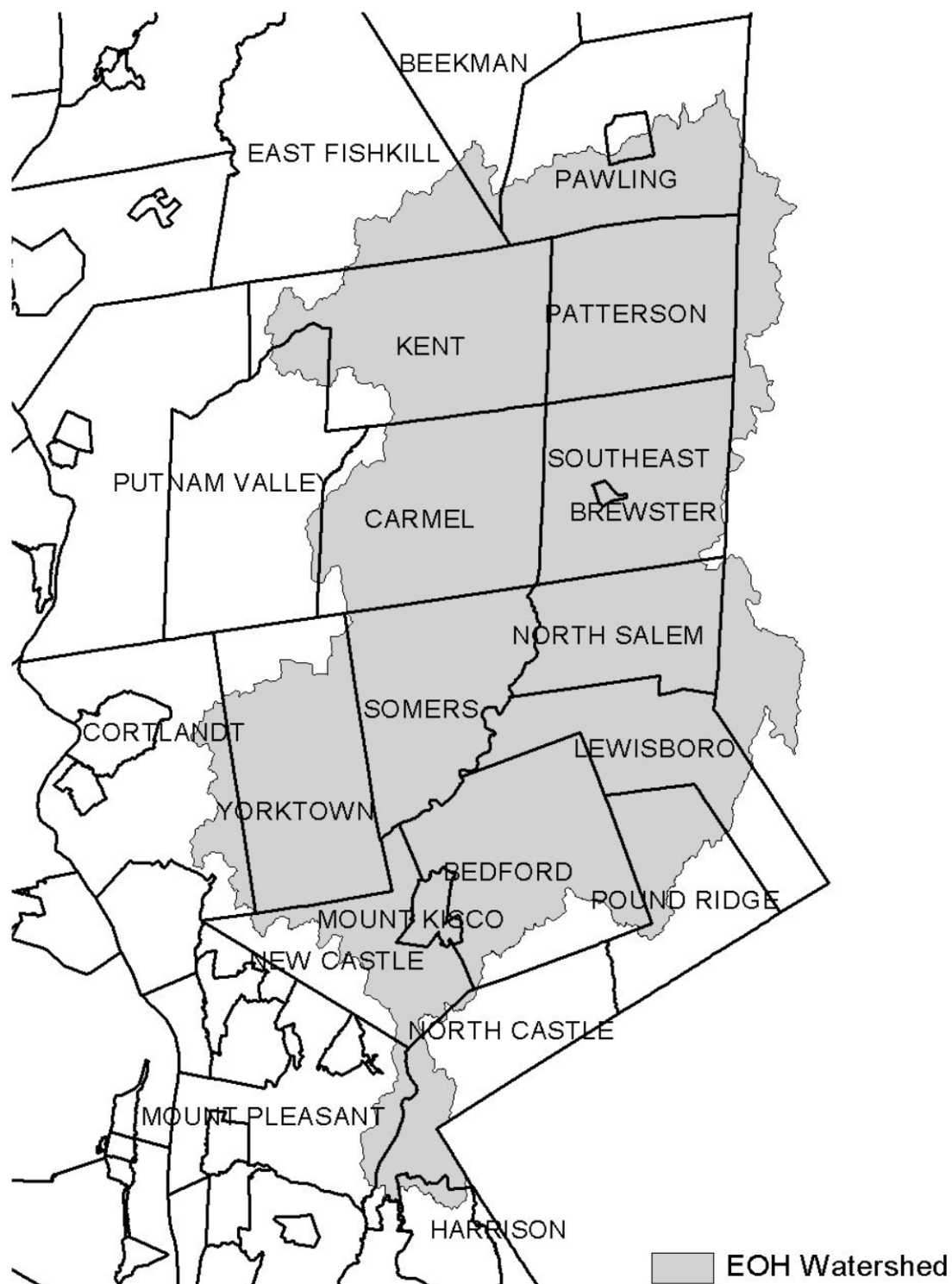


Figure 2 - Onondaga Lake Watershed



Figure 3 - Greenwood Lake Watershed



Figure 4 - Oscawana Lake Watershed

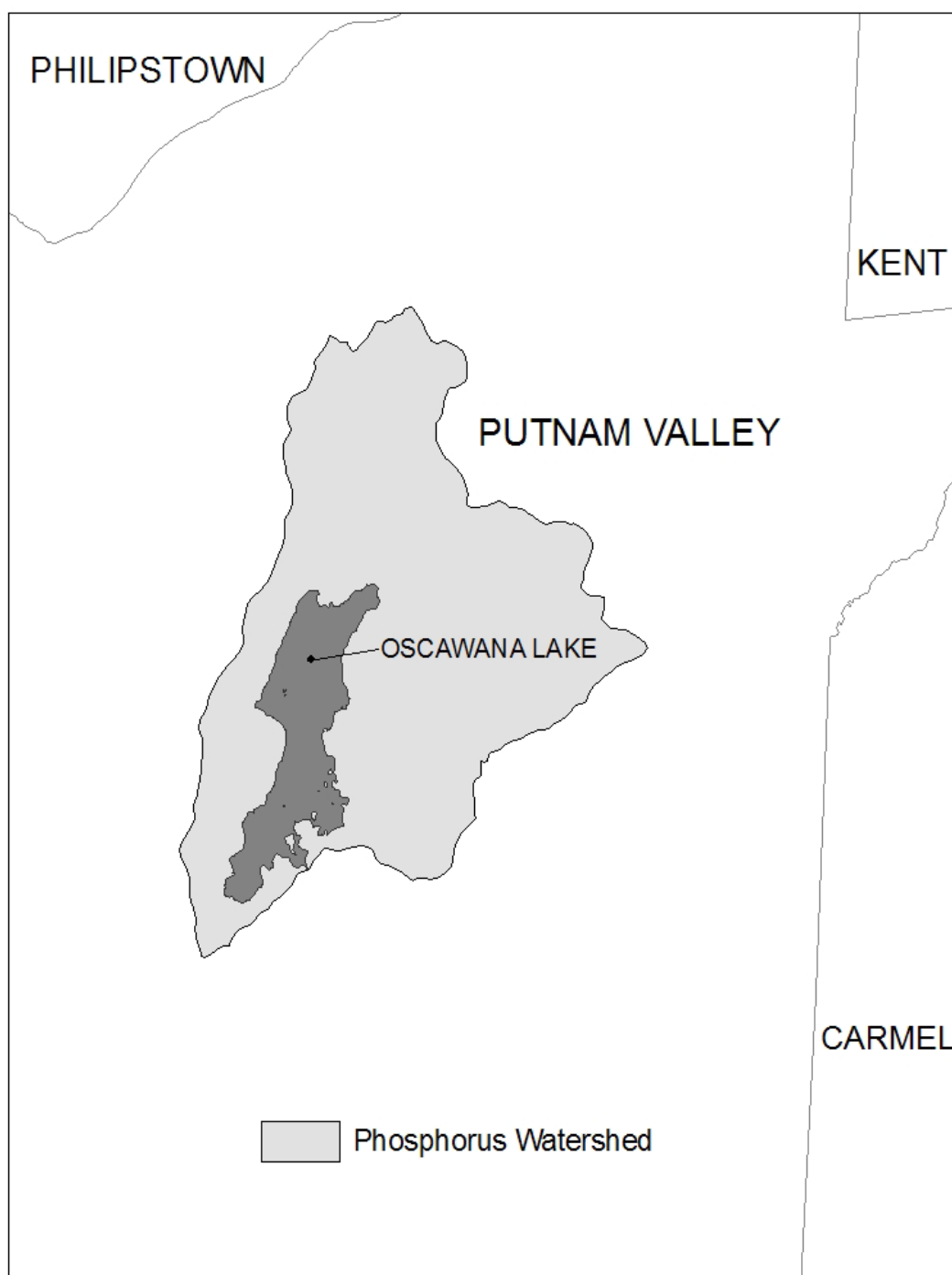
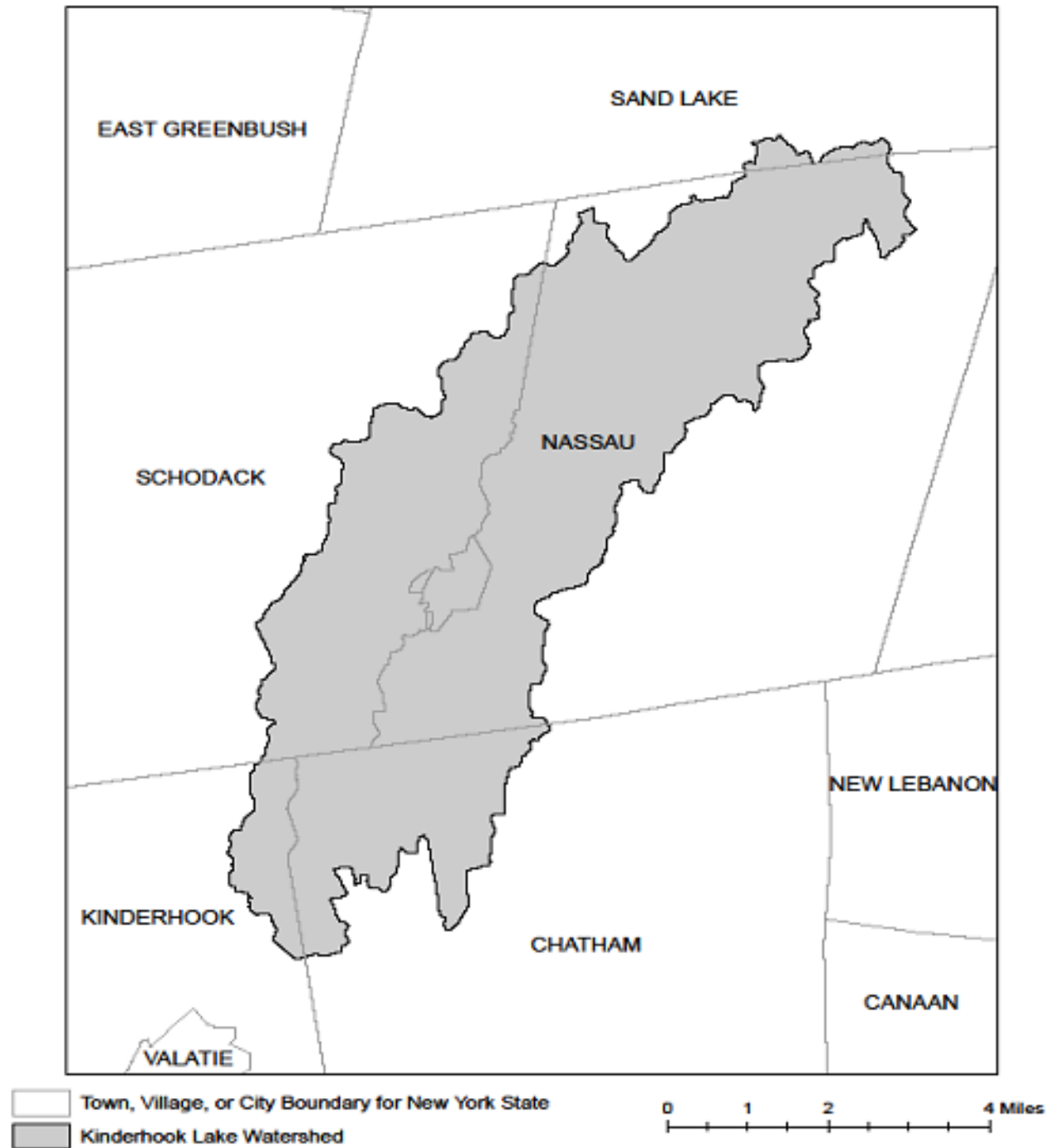


Figure 5 - Kinderhook Lake Watershed



APPENDIX D – Impaired Waterbodies (by Construction Related Pollutants)

List of waterbodies impaired by *pollutants* related to *construction activity*, including turbidity, silt/sediment, and nutrients (e.g. nitrogen, phosphorus). This list is a subset of “The Final New York State 2018 Section 303(d) List of Impaired Waters Requiring a TMDL” dated June 2020.

County	Waterbody	Pollutant
Albany	Ann Lee (Shakers) Pond, Stump Pond (1201-0096)	Phosphorus
Albany	Lawsons Lake (1301-0235)	Phosphorus
Allegany	Amity Lake, Saunders Pond (0403-0054)	Phosphorus
Allegany	Andover Pond (0403-0056)	Phosphorus
Bronx	Reservoir No.1/Lake Isle (1702-0075)	Phosphorus
Bronx	Van Cortlandt Lake (1702-0008)	Phosphorus
Broome	Blueberry, Laurel Lakes (1404-0033)	Phosphorus
Broome	Fly Pond, Deer Lake (1404-0038)	Phosphorus
Broome	Minor Tribs to Lower Susquehanna (0603-0044)	Phosphorus
Broome	Whitney Point Lake/Reservoir (0602-0004)	Phosphorus
Cattaraugus	Allegheny River/Reservoir (0201-0023)	Phosphorus
Cattaraugus	Beaver Lake/Alma Pond (0201-0073)	Phosphorus
Cattaraugus	Case Lake (0201-0020)	Phosphorus
Cattaraugus	Linlyco/Club Pond (0201-0035)	Phosphorus
Cayuga	Duck Lake (0704-0025)	Phosphorus
Cayuga	Owasco Inlet, Upper, and tribs (0706-0014)	Nutrients
Chautauqua	Chadakoin River and tribs (0202-0018)	Phosphorus
Chautauqua	Hulburt/Clymer Pond (0202-0079)	Phosphorus
Chautauqua	Middle Cassadaga Lake (0202-0002)	Phosphorus
Clinton	Great Chazy River, Lower, Main Stem (1002-0001)	Silt/Sediment
Columbia	Robinson Pond (1308-0003)	Phosphorus
Cortland	Dean Pond (0602-0077)	Phosphorus
Dutchess	Fallkill Creek (1301-0087)	Phosphorus
Dutchess	Hillside Lake (1304-0001)	Phosphorus
Dutchess	Wappingers Lake (1305-0001)	Phosphorus
Dutchess	Wappingers Lake (1305-0001)	Silt/Sediment
Erie	Beeman Creek and tribs (0102-0030)	Phosphorus
Erie	Delaware Park Pond (0101-0026)	Phosphorus
Erie	Ellicott Creek, Lower, and tribs (0102-0018)	Phosphorus
Erie	Ellicott Creek, Lower, and tribs (0102-0018)	Silt/Sediment
Erie	Green Lake (0101-0038)	Phosphorus
Erie	Little Sister Creek, Lower, and tribs (0104-0045)	Phosphorus
Erie	Murder Creek, Lower, and tribs (0102-0031)	Phosphorus

Erie	Rush Creek and tribs (0104-0018)	Phosphorus
Erie	Scajaquada Creek, Lower, and tribs (0101-0023)	Phosphorus
Erie	Scajaquada Creek, Middle, and tribs (0101-0033)	Phosphorus
Erie	Scajaquada Creek, Upper, and tribs (0101-0034)	Phosphorus
Erie	South Branch Smoke Cr, Lower, and tribs (0101-0036)	Phosphorus
Erie	South Branch Smoke Cr, Lower, and tribs (0101-0036)	Silt/Sediment
Genesee	Bigelow Creek and tribs (0402-0016)	Phosphorus
Genesee	Black Creek, Middle, and minor tribs (0402-0028)	Phosphorus
Genesee	Black Creek, Upper, and minor tribs (0402-0048)	Phosphorus
Genesee	Bowen Brook and tribs (0102-0036)	Phosphorus
Genesee	LeRoy Reservoir (0402-0003)	Phosphorus
Genesee	Mill Pond (0402-0050)	Phosphorus
Genesee	Oak Orchard Cr, Upper, and tribs (0301-0014)	Phosphorus
Genesee	Oatka Creek, Middle, and minor tribs (0402-0031)	Phosphorus
Genesee	Tonawanda Cr, Middle, Main Stem (0102-0002)	Phosphorus
Greene	Schoharie Reservoir (1202-0012)	Silt/Sediment
Greene	Sleepy Hollow Lake (1301-0059)	Silt/Sediment
Herkimer	Steele Creek tribs (1201-0197)	Phosphorus
Herkimer	Steele Creek tribs (1201-0197)	Silt/Sediment
Kings	Hendrix Creek (1701-0006) 18	Nitrogen
Kings	Prospect Park Lake (1701-0196)	Phosphorus
Lewis	Mill Creek/South Branch, and tribs (0801-0200)	Nutrients
Livingston	Christie Creek and tribs (0402-0060)	Phosphorus
Livingston	Conesus Lake (0402-0004)	Phosphorus
Livingston	Mill Creek and minor tribs (0404-0011)	Silt/Sediment
Monroe	Black Creek, Lower, and minor tribs (0402-0033)	Phosphorus
Monroe	Buck Pond (0301-0017)	Phosphorus
Monroe	Cranberry Pond (0301-0016)	Phosphorus
Monroe	Durand, Eastman Lakes (0302-0037)	Phosphorus
Monroe	Lake Ontario Shoreline, Western (0301-0069) 9	Phosphorus
Monroe	Long Pond (0301-0015)	Phosphorus
Monroe	Mill Creek and tribs (0302-0025)	Phosphorus 2
Monroe	Mill Creek/Blue Pond Outlet and tribs (0402-0049)	Phosphorus
Monroe	Minor Tribs to Irondequoit Bay (0302-0038)	Phosphorus
Monroe	Rochester Embayment - East (0302-0002) [9]	Phosphorus
Monroe	Rochester Embayment - West (0301-0068) 9	Phosphorus
Monroe	Shipbuilders Creek and tribs (0302-0026)	Phosphorus 2
Monroe	Thomas Creek/White Brook and tribs (0302-0023)	Phosphorus

Nassau	Bannister Creek/Bay (1701-0380)	Nitrogen
Nassau	Beaver Lake (1702-0152)	Phosphorus
Nassau	Browswere Bay (1701-0383)	Nitrogen
Nassau	Camaans Pond (1701-0052)	Phosphorus
Nassau	East Meadow Brook, Upper, and tribs (1701-0211)	Silt/Sediment
Nassau	East Rockaway Channel (1701-0381)	Nitrogen
Nassau	Glen Cove Creek, Lower, and tribs (1702-0146)	Silt/Sediment
Nassau	Grant Park Pond (1701-0054)	Phosphorus
Nassau	Hempstead Bay, Broad Channel (1701-0032)	Nitrogen
Nassau	Hempstead Lake (1701-0015)	Phosphorus
Nassau	Hewlett Bay (1701-0382)	Nitrogen
Nassau	Hog Island Channel (1701-0220)	Nitrogen
Nassau	Massapequa Creek, Upper, and tribs (1701-0174)	Phosphorus
Nassau	Milburn/Parsonage Creeks, Upp, and tribs (1701-0212)	Phosphorus
Nassau	Reynolds Channel, East (1701-0215) [12]	Nitrogen
Nassau	Reynolds Channel, West (1701-0216) 12	Nitrogen
Nassau	Tidal Tribs to Hempstead Bay (1701-0218)	Nitrogen
Nassau	Tribs (fresh) to East Bay (1701-0204)	Silt/Sediment
Nassau	Tribs (fresh) to East Bay (1701-0204)	Phosphorus
Nassau	Tribs to Smith Pond/Halls Pond (1701-0221)	Phosphorus
Nassau	Woodmere Channel (1701-0219)	Nitrogen
New York	Harlem Meer (1702-0103)	Phosphorus
New York	The Lake in Central Park (1702-0105)	Phosphorus
Niagara	Bergholtz Creek and tribs (0101-0004)	Phosphorus
Niagara	Hyde Park Lake (0101-0030)	Phosphorus
Niagara	Lake Ontario Shoreline, Western (0301-0053) 9	Phosphorus
Niagara	Lake Ontario Shoreline, Western (0301-0072) 9	Phosphorus
Oneida	Ballou, Nail Creeks (1201-0203)	Phosphorus
Onondaga	Ley Creek and tribs (0702-0001) 10	Nutrients (phosphorus)
Onondaga	Minor Tribs to Onondaga Lake (0702-0022) 10	Nutrients (phosphorus)
Onondaga	Minor Tribs to Onondaga Lake (0702-0022) 10	Nitrogen (NH ₃ , NO ₂)
Onondaga	Onondaga Creek, Lower (0702-0023) 10	Nutrients (phosphorus)
Onondaga	Onondaga Creek, Lower, and tribs (0702-0023)	Turbidity
Onondaga	Onondaga Creek, Middle, and tribs (0702-0004)	Turbidity
Onondaga	Onondaga Creek, Upper, and tribs (0702-0024)	Turbidity
Ontario	Great Brook and minor tribs (0704-0034)	Phosphorus 2
Ontario	Great Brook and minor tribs (0704-0034)	Silt/Sediment

Ontario	Hemlock Lake Outlet and minor tribs (0402-0013)	Phosphorus
Ontario	Honeoye Lake (0402-0032)	Phosphorus
Orange	Brown Pond Reservoir (1303-0013)	Phosphorus
Orange	Lake Washington (1303-0012)	Phosphorus
Orange	Minor Tribs to Middle Wallkill (1306-0061)	Phosphorus
Orange	Monhagen Brook and tribs (1306-0074)	Phosphorus
Orange	Orange Lake (1301-0008) [16]	Phosphorus
Orange	Quaker Creek and tribs (1306-0025)	Phosphorus
Orange	Wallkill River, Middle, Main Stem (1306-0038)	Phosphorus
Orange	Wallkill River, Upper, and Minor tribs (1306-0017)	Phosphorus
Orleans	Glenwood Lake (0301-0041)	Phosphorus
Orleans	Lake Ontario Shoreline, Western (0301-0070) 9	Phosphorus
Orleans	Lake Ontario Shoreline, Western (0301-0071) 9	Phosphorus
Oswego	Lake Neatahwanta (0701-0018)	Nutrients (phosphorus)
Oswego	Pleasant Lake (0703-0047)	Phosphorus
Putnam	Lost Lake, Putnam Lake (1302-0053)	Phosphorus
Putnam	Minor Tribs to Croton Falls Reservoir (1302-0001)	Phosphorus
Queens	Bergen Basin (1701-0009) 18	Nitrogen
Queens	Jamaica Bay, Eastern, and tribs, Queens (1701-0005) 18	Nitrogen
Queens	Kissena Lake (1702-0258)	Phosphorus
Queens	Meadow Lake (1702-0030)	Phosphorus
Queens	Shellbank Basin (1701-0001) 18	Nitrogen
Queens	Willow Lake (1702-0031)	Phosphorus
Rensselaer	Nassau Lake (1310-0001)	Phosphorus
Rensselaer	Snyders Lake (1301-0043)	Phosphorus
Richmond	Grassmere Lake/Bradys Pond (1701-0357)	Phosphorus
Rockland	Congers Lake, Swartout Lake (1501-0019)	Phosphorus
Rockland	Rockland Lake (1501-0021)	Phosphorus
Saratoga	Ballston Lake (1101-0036)	Phosphorus
Saratoga	Dwaas Kill and tribs (1101-0007)	Phosphorus
Saratoga	Dwaas Kill and tribs (1101-0007)	Silt/Sediment
Saratoga	Lake Lonely (1101-0034)	Phosphorus
Saratoga	Round Lake (1101-0060)	Phosphorus
Saratoga	Tribs to Lake Lonely (1101-0001)	Phosphorus
Schenectady	Collins Lake (1201-0077)	Phosphorus
Schenectady	Duane Lake (1311-0006)	Phosphorus
Schenectady Lake	Mariaville Lake (1201-0113)	Phosphorus
Schuyler	Cayuta Lake (0603-0005)	Phosphorus

Seneca	Reeder Creek and tribs (0705-0074)	Phosphorus
St.Lawrence	Black Lake Outlet, Black Lake (0906-0001)	Phosphorus
St.Lawrence	Fish Creek and minor tribs (0906-0026)	Phosphorus
Steuben	Smith Pond (0502-0012)	Phosphorus
Suffolk	Agawam Lake (1701-0117)	Phosphorus
Suffolk	Big/Little Fresh Ponds (1701-0125)	Phosphorus
Suffolk	Canaan Lake (1701-0018)	Phosphorus
Suffolk	Canaan Lake (1701-0018)	Silt/Sediment
Suffolk	Fresh Pond (1701-0241)	Phosphorus
Suffolk	Great South Bay, East (1701-0039)	Nitrogen
Suffolk	Great South Bay, Middle (1701-0040)	Nitrogen
Suffolk	Great South Bay, West (1701-0173)	Nitrogen
Suffolk	Lake Ronkonkoma (1701-0020)	Phosphorus
Suffolk	Mattituck/Marratooka Pond (1701-0129)	Phosphorus
Suffolk	Mill and Seven Ponds (1701-0113)	Phosphorus
Suffolk	Millers Pond (1702-0013)	Phosphorus
Suffolk	Moriches Bay, East (1701-0305)	Nitrogen
Suffolk	Moriches Bay, West (1701-0038)	Nitrogen
Suffolk	Quantuck Bay (1701-0042)	Nitrogen
Suffolk	Shinnecock Bay and Inlet (1701-0033)	Nitrogen
Suffolk	Tidal Tribs to West Moriches Bay (1701-0312)	Nitrogen
Sullivan	Bodine, Montgomery Lakes (1401-0091)	Phosphorus
Sullivan	Davies Lake (1402-0047)	Phosphorus
Sullivan	Evens Lake (1402-0004)	Phosphorus
Sullivan	Pleasure Lake (1402-0055)	Phosphorus
Sullivan	Swan Lake (1401-0063)	Phosphorus
Tompkins	Cayuga Lake, Southern End (0705-0040)	Phosphorus
Tompkins	Cayuga Lake, Southern End (0705-0040)	Silt/Sediment
Ulster	Ashokan Reservoir (1307-0004)	Silt/Sediment
Ulster	Esopus Creek, Lower, Main Stem (1307-0010) [17]	Turbidity
Ulster	Esopus Creek, Middle, Main Stem (1307-0003) 17	Turbidity
Ulster	Esopus Creek, Upper, and minor tribs (1307-0007)[3]	Silt/Sediment
Ulster	Wallkill River, Lower, Main Stem (1306-0027)	Phosphorus
Warren	Hague Brook and tribs (1006-0006)	Silt/Sediment
Warren	Huddle/Finkle Brooks and tribs (1006-0003)	Silt/Sediment
Warren	Indian Brook and tribs (1006-0002)	Silt/Sediment
Warren	Lake George (1006-0016) and tribs	Silt/Sediment
Warren	Tribs to Lake George, East Shore (1006-0020)	Silt/Sediment
Warren	Tribs to Lake George, Lk.George Village (1006-0008)	Silt/Sediment

Washington	Wood Cr/Champlain Canal and tribs (1005-0036)	Phosphorus
Westchester	Lake Katonah (1302-0136)	Phosphorus
Westchester	Lake Lincolndale (1302-0089)	Phosphorus
Westchester	Lake Meahagh (1301-0053)	Phosphorus
Westchester	Lake Mohegan (1301-0149)	Phosphorus
Westchester	Lake Shenorock (1302-0083)	Phosphorus
Westchester	Mamaroneck River, Lower (1702-0071)	Silt/Sediment
Westchester	Mamaroneck River, Upp, & minor tribs (1702-0123)	Silt/Sediment
Westchester	Saw Mill River (1301-0007)	Phosphorus
Westchester	Saw Mill River, Middle, and tribs (1301-0100)	Phosphorus
Westchester	Sheldrake River (1702-0069)	Phosphorus
Westchester	Sheldrake River (1702-0069)	Silt/Sediment
Westchester	Silver Lake (1702-0040)	Phosphorus
Westchester	Teatown Lake (1302-0150)	Phosphorus
Westchester	Truesdale Lake (1302-0054)	Phosphorus
Westchester	Wallace Pond (1301-0140)	Phosphorus

APPENDIX E – List of NYSDEC Regional Offices

<u>Region</u>	<u>COVERING THE FOLLOWING COUNTIES:</u>	<u>DIVISION OF ENVIRONMENTAL PERMITS (DEP) PERMIT ADMINISTRATORS</u>	<u>DIVISION OF WATER (DOW) WATER (SPDES) PROGRAM</u>
1	NASSAU AND SUFFOLK	50 CIRCLE ROAD STONY BROOK, NY 11790 TEL. (631) 444-0365	50 CIRCLE ROAD STONY BROOK, NY 11790-3409 TEL. (631) 444-0405
2	BRONX, KINGS, NEW YORK, QUEENS AND RICHMOND	1 HUNTERS POINT PLAZA, 47-40 21ST ST. LONG ISLAND CITY, NY 11101-5407 TEL. (718) 482-4997	1 HUNTERS POINT PLAZA, 47-40 21ST ST. LONG ISLAND CITY, NY 11101-5407 TEL. (718) 482-4933
3	DUTCHESS, ORANGE, PUTNAM, ROCKLAND, SULLIVAN, ULSTER AND WESTCHESTER	21 SOUTH PUTT CORNERS ROAD NEW PALTZ, NY 12561-1696 TEL. (845) 256-3059	220 WHITE PLAINS ROAD, SUITE 110 TEL. (914) 428 - 2505
4	ALBANY, COLUMBIA, DELAWARE, GREENE, MONTGOMERY, OTSEGO, RENSSELAER, SCHENECTADY AND SCHOHARIE	1130 NORTH WESTCOTT ROAD SCHENECTADY, NY 12306-2014 TEL. (518) 357-2069	1130 NORTH WESTCOTT ROAD SCHENECTADY, NY 12306-2014 TEL. (518) 357-2045
5	CLINTON, ESSEX, FRANKLIN, FULTON, HAMILTON, SARATOGA, WARREN AND WASHINGTON	1115 STATE ROUTE 86, Po Box 296 RAY BROOK, NY 12977-0296 TEL. (518) 897-1234	232 GOLF COURSE ROAD WARRENSBURG, NY 12885-1172 TEL. (518) 623-1200
6	HERKIMER, JEFFERSON, LEWIS, ONEIDA AND ST. LAWRENCE	STATE OFFICE BUILDING 317 WASHINGTON STREET WATERTOWN, NY 13601-3787 TEL. (315) 785-2245	STATE OFFICE BUILDING 207 GENESEE STREET UTICA, NY 13501-2885 TEL. (315) 793-2554
7	BROOME, CAYUGA, CHENANGO, CORTLAND, MADISON, ONONDAGA, OSWEGO, TIOGA AND TOMPKINS	5786 WIDEWATERS PARKWAY SYRACUSE, NY 13214-1867 TEL. (315) 426-7438	5786 WIDEWATERS PARKWAY SYRACUSE, NY 13214-1867 TEL. (315) 426-7500
8	CHEMUNG, GENESEE, LIVINGSTON, MONROE, ONTARIO, ORLEANS, SCHUYLER, SENECA, STEUBEN, WAYNE AND YATES	6274 EAST AVON-LIMA ROADAVON, NY 14414-9519 TEL. (585) 226-2466	6274 EAST AVON-LIMA RD. AVON, NY 14414-9519 TEL. (585) 226-2466
9	ALLEGANY, CATTARAUGUS, CHAUTAUQUA, ERIE, NIAGARA AND WYOMING	700 DELAWARE AVENUE BUFFALO, NY 14209-2999 TEL. (716) 851-7165	700 DELAWARE AVENUE BUFFALO, NY 14209-2999 TEL. (716) 851-7070

APPENDIX F – SWPPP Preparer Certification Form

The SWPPP Preparer Certification Form required by this permit begins on the following page.



Department of
Environmental
Conservation

SWPPP Preparer Certification Form

SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP)

(In accordance with CGP Part I.D.2.b., the completed form must be attached to the eNOI and submitted to NYSDEC electronically.)

Project/Site Name:

eNOI Submission ID:

Owner/Operator Name:

Certification Statement – SWPPP Preparer

I hereby certify that the Stormwater Pollution Prevention Plan (SWPPP) has been prepared in accordance with the requirements of GP-0-25-001. I certify under penalty of law that the SWPPP and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

SWPPP Preparer First Name

MI

SWPPP Preparer Last Name

Signature

Date

APPENDIX G – MS4 SWPPP Acceptance Form

The MS4 SWPPP Acceptance Form required by this permit begins on the following page.



Department of
Environmental
Conservation

MS4 SWPPP Acceptance Form

for construction activities seeking authorization under the

SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP)

(In accordance with CGP Part I.D.2.b., the completed form must be attached to the eNOI and submitted to NYSDEC electronically.)

I. Project Owner/Operator Information

1. Owner/Operator Name:

2. Contact Person:

3. Street Address:

4. City/State/Zip:

II. Project Site Information

5. Project/Site Name:

6. Street Address:

7. City/State/Zip:

III. Stormwater Pollution Prevention Plan (SWPPP) Review and Acceptance Information

8. SWPPP Reviewed by:

9. Title/Position:

10. Date Final SWPPP Reviewed and Accepted:

IV. Regulated MS4 Information

11. Name of MS4 Operator:

12. MS4 SPDES Permit Identification Number: NYR20A

13. Street Address:

14. City/State/Zip:

15. Telephone Number:

MS4 SWPPP Acceptance Form - continued

V. Certification Statement - MS4 Official (principal executive officer or ranking elected official) or Duly Authorized Representative

I hereby certify that the final Stormwater Pollution Prevention Plan (SWPPP) for the construction project identified in section II. of this form has been reviewed and meets the substantive requirements in the SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP). Note: The MS4 Operator, through the acceptance of the SWPPP, assumes no responsibility for the accuracy and adequacy of the design included in the SWPPP. In addition, review and acceptance of the SWPPP by the MS4 Operator does not relieve the owner/operator or their SWPPP preparer of responsibility or liability for errors or omissions in the plan.

Printed Name¹:

Title/Position:

Signature:

Date:

VI. Additional Information

¹ Printed name of the principal executive officer or ranking elected official for the MS4 Operator or their duly authorized representative in accordance with CGP Part VII.J.2.

APPENDIX H – NYCDEP SWPPP Acceptance/Approval Form

The City of New York Department of Environmental Protection (NYCDEP) SWPPP Acceptance/Approval form required by this permit begins on the following page.



THE CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION
Bureau of Environmental Planning and Analysis
59-17 Junction Blvd., 9th Floor; Flushing, NY 11373

SWPPP Acceptance/Approval

Application Number:

I. Project Owner/Operator Information

1. Owner/Operator Name:

2. Contact Person:

3. Street Address:

4. City/State/Zip:

II. Project Site Information

5. Project/Site Name:

6. Street Address:

7. City/State/Zip:

III. Stormwater Pollution Prevention Plan (SWPPP) Review and Acceptance/Approval

8. SWPPP Reviewed by:

9. Title/Position: /

10. Date Final SWPPP Reviewed and Accepted:

11. Acceptance/Approval Expiration Date:

IV. Regulated MS4 Information for projects that require coverage under the NY State Pollution Discharge Elimination System General Permit for Stormwater Discharges from Construction Activity

12. Name of MS4: *CITY OF NEW YORK*

13. MS4 SPDES Permit Identification Number: *NY-0287890*

14. Contact Person:

15. Street Address: *59-17 Junction Blvd. 9th Floor*

16. City/State/Zip: *Flushing, NY 11373*

17. Telephone Number:



Projects in the MS4 area must submit a copy of this SWPPP Acceptance with a Notice of Intent for coverage under the NY SPDES General Permit for Stormwater Discharges from Construction Activity to: NYS Department of Environmental Conservation, Division of Water; 625 Broadway, 4th Floor; Albany, New York 12233-3505.



THE CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION
Bureau of Environmental Planning and Analysis
59-17 Junction Blvd., 9th Floor; Flushing, NY 11373

V. Certification Statement - MS4 Official (principal executive officer or ranking elected official) or Duly Authorized Representative

I hereby certify that the final Stormwater Pollution Prevention Plan (SWPPP) for the construction project identified in question 5 has been reviewed and meets the substantive requirements in the SPDES General Permit For Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4s).

Note: The MS4, through the acceptance of the SWPPP, assumes no responsibility for the accuracy and adequacy of the design included in the SWPPP. In addition, review and acceptance of the SWPPP by the MS4 does not relieve the owner/operator or their SWPPP preparer of responsibility or liability for errors or omissions in the plan.

Printed Name:

Title/Position:

Signature:

Date:

VI. Conditions of Acceptance/Approval and Additional Information



Projects in the MS4 area must submit a copy of this SWPPP Acceptance with a Notice of Intent for coverage under the NY SPDES General Permit for Stormwater Discharges from Construction Activity to: NYS Department of Environmental Conservation, Division of Water; 625 Broadway, 4th Floor; Albany, New York 12233-3505.

APPENDIX I – MS4 No Jurisdiction Form

The MS4 No Jurisdiction Form required by this permit begins on the following page.



Department of
Environmental
Conservation

MS4 No Jurisdiction Form

for construction activities seeking authorization under the

SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP)

(In accordance with CGP Part I.D.2.b., the completed form must be attached to the eNOI and submitted to NYSDEC electronically.)

I. Project Owner/Operator Information

- a. Owner/Operator Name:
- b. Contact Person:
- c. Street Address:
- d. City/State/Zip:

II. Project Site Information

- a. Project/Site Name:
- b. Street Address:
- c. City/State/Zip:
- d. eNOI Submission ID:

III. Traditional Land Use Control MS4 Operator Information

- a. Name of MS4 Operator:
- b. MS4 SPDES Permit ID Number: NYR20A
- c. Street Address:
- d. City/State/Zip:
- e. Telephone Number:

IV. Certification Statement

In accordance with CGP Part I.D.2.b.ii.3., I hereby certify that the Traditional Land Use Control MS4 Operator identified in section III. of this form does not have review authority over the construction project identified in section II. of this form, which is owned/operated by the entity identified in section I. of this form. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

- a. Printed name of the principal executive officer or ranking elected official for the MS4 Operator or their duly authorized representative in accordance with CGP Part VII.J.2.:
- b. Title/Position:
- c. Signature:
- d. Date:

APPENDIX J – Owner/Operator Certification Form

The Owner/Operator Certification Form required by this permit begins on the following page.



Department of
Environmental
Conservation

Owner/Operator Certification Form

SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP)

(In accordance with CGP Part I.D.2.b. or Part I.F.2. and 3., the completed form must be attached to the eNOI or the Request to Continue Coverage, and submitted to NYSDEC electronically.)

Project/Site Name: _____

eNOI Submission ID: _____

eNOI Submitted by: **Owner/Operator** **SWPPP Preparer** **Other**

Certification Statement - Owner/Operator

I hereby certify that I read, and will comply with, the GP-0-25-001 permit requirements. I understand that authorization to discharge under the permit for the project/site named above is dependent on receipt of a Letter of Authorization (LOA) or a Letter of Continued Coverage (LOCC) from the New York State Department of Environmental Conservation (NYSDEC) in accordance with CGP Part I.D.3.b. or Part I.F.4. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Owner/Operator First Name

MI

Owner/Operator Last Name

Signature

Date

Appendix L: Inventory of Construction Activities

File #	SPDES ID	SWPPP Date	N.O.T. Date	Address	SWPPP Name	Address2	Owner	Contact	Maintennance by	Post construction Inspections	Receiving Waterbody	Receiving Waterbody Class	Receiving WI/PWL Segment ID	Construction Prioritization	Construction Status	Sewershed ID
114	NYR10 M661	2016	Not Accepted	Trenton Rd, Utica, New York, 13502	Colonial Square Subdivision	Trenton Rd, Utica, New York, 13502	Trenton Road, LLC		Trenton Rd LLC	Open Channels, Storm drains and structures, Bioretention areas	Reall Creek	C	1201-0205	High	Unknown	2
135				Harbor Point Rd, Utica, New York, 13502	Adirondack Railway ARPS Maintenance Facility	Harbor Point Rd, Utica, New York, 13502	Adirondack Railway Preservation Society	Jack Roberson (315) 724-0700	Adirondack Railway Preservation Society	infiltration basin, drainage swale	Utica Harbor	C	1201-0228	High	To be confirmed	5
101		5/13/2024		Whitesboro St & Saratoga St, Utica, New York, 13502	Columbia Square Apartments	Whitesboro St & Saratoga St, Utica, New York, 13502	DePaul Properties	Gillian Conde-1931 Buffalo Rd Rochester, NY 14624	DePaul Properties	Bioretention, inlets, outlets, ponding areas, vegetations	Nail Creek	C	1201-0203	Low	Unknown	8
182		9/25/2024		1810 Eagle St, Utica, New York, 13501	Eagle's Nest Townhomes	1810 Eagle St, Utica, New York, 13501	Eagle's Nest Utica LLC	Eagle's Nest Utica LLC	Eagle's Nest Utica LLC	Land grading and rock outlet protection	Starch Factory Creek	B	1201-0067	Low	Unknown	14
157		10/2/2020	Active	Burrstone Rd, Utica, New York, 13502	Greenman Estates Waste Soil Area	Burrstone Rd, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	No maintenance/inspections required	Nail Creek	C	1201-0203	Low	Active	11
140				Wells Ave, Utica, New York, 13502	T.R. Proctor Park - Sal Longo Field	Wells Ave, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	No maintenance/inspections required	Mohawk River	C	1201-0093	Low	To be confirmed	5
118	NYR11 F291	3/1/2019		2150 Bleecker St, Utica, New York, 13501	Masonic Care Community	2150 Bleecker St, Utica, New York, 13501	Masonic Care Community 2150 Bleecker St	2150 Bleecker St	Masonic Care Community	Drainage basins, Channels	Starch Factory Creek	B	1201-0067	Low	To be confirmed	6
117	NYR11 K351	10/5/2022		601 State St, Utica, New York, 13502	Medical Office Building	601 State St, Utica, New York, 13502	Central Utica Building, LLC	David Quirello (315) 733-7598	Central Utica Building, LLC	Underground storm water detention system	Nail Creek	C	1201-0203	Low	To be confirmed	8
133		12/21/2020		117 Business Park Dr, Utica, New York, 13502	Slocum Dickson	117 Business Park Dr, Utica, New York, 13502	Slocum Dickson	117 Business Park Drive	Slocum Dickson	Hydrodynamic separator, On-site structures & outfalls	Sauquoit Creek	C(T)	1201-0069	Low	To be confirmed	19
108		4/12/2019		111 Hospital Dr, Utica, New York, 13502	Mohawk Valley Health System Hospital Phase I	111 Hospital Dr, Utica, New York, 13502	Mohawk V alley Health System	Robert Bschoef@mvhhealthsystem.org	Mohawk Valley Health System	No Maintenance/Inspections Required	Nail Creek	C	1201-0203	Low	To be confirmed	8
107	NYR11 F092	4/12/2019		811 Court St, Utica, New York, 13502	Glode Milled Lofts	811 Court St, Utica, New York, 13502	Lofts at Globe Mill, LLC	Matt Gilhooly (850) 559-8606	Lofts at Globe Mill, LLC	On-site storm sewer system	Nail Creek	C	1201-0203	Low	To be confirmed	8
121	NYR11 F816	9/12/2019		116 Business Park Dr, Utica, New York, 13502	Digestive Disease Medicine of CNY	116 Business Park Dr, Utica, New York, 13502	Digestive Disease	315-624-7000	Digestive Disease	Subsurface underground infiltration system, Control structures	Sauquoit Creek	C(T)	1201-0069	Low	To be confirmed	19
158	NYR11 D857	2018		201 Leland Ave, Utica, New York, 13502	Tractor Trailer Parking Area	201 Leland Ave, Utica, New York, 13502	Empire Recycling INC		Empire Recycling INC	Outlet Protection, storm sewers, catch basins	Mohawk River	C	1201-0093	Low	To be confirmed	5
160	NYR11 D753	2018		1600 Burrstone Rd, Utica, New York, 13502	Utica College Student Housing	1600 Burrstone Rd, Utica, New York, 13502	Utica Property Development LLC	David Martin 302 Washington Avenue Extension Albany, NY 12203	Utica Property Development LLC	Bioretention areas with outlet structures, catch basins, underground pipes	Nail Creek	C	1201-0203	Low	To be confirmed	11
179		12/17/2018		State Route 5, Utica, New York, 13502	Rte 5, 8, 12 Arterial and Viaduct Replacement Project	State Route 5, Utica, New York, 13502	NYSDOT	NYSDOT	NYSDOT	Vortex Separator	Nail Creek	C	1201-0203	Low	To be confirmed	8
159	NYR11 E249	2018		1113 Herkimer Rd, Utica, New York, 13502	Medmen - Utica Grow facility	1113 Herkimer Rd, Utica, New York, 13502	1113 Herkimer Rd Utica LLC	Medmen NY	1113 Herkimer Rd Utica LLC	Extended detention pond, infiltration facility, catch basins	Tributary to Mohawk River	C	1201-0025	Low	To be confirmed	3
144		4/1/2017		13502, Utica, New York	MV Edge Gas Pipeline Project	13502, Utica, New York	Niagra Mohawk Power Corporation	Seth Herman (845) 325-2138	Niagra Mowhawk Power Corporation	No maintenance/inspections required	Mohawk River	C	1201-0093	Low	To be confirmed	5
142	NYR11 D053	5/1/2017		Lincoln Ave, Utica, New York, 13502	Compassion Coalition - Your Bargain Grocer	Lincoln Ave, Utica, New York, 13502	Compassion Coalition	Michael Servello	Compassion Coalition	Storm water basins, outlet protection, permanent seeding	Nail Creek	C	1201-0203	Low	To be confirmed	12
162	NYR11 B375	9/21/2016		West St, Utica, New York, 13501	West Street Apartments	West St, Utica, New York, 13501	Rescue Mission of Utica	Rescue Mission 212 Rutger St	Rescue Mission	Subsurface infiltration basin, soil restoration	Ballou Creek	C	1201-0203	Low	To be confirmed	13
161	NYR11 A749	3/21/2016		1400 Oriskany St W, Utica, New York, 13502	Fastrac	1400 Oriskany St W, Utica, New York, 13502	Fastrac Markets	Fastrac Markets LLC 6500 New Venture Gear Drive, East Syracuse, NY 13057	Fastrac Markets	Bioretention areas, detention basin	Mohawk River	C	1201-0093	Low	To be confirmed	7

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177		11/4/2015		Deerfield Dr, Utica, New York, 13502	Deerfield Place @ Colonial Square Phase 3 Expansion	Deerfield Dr, Utica, New York, 13502	Deerfield Place LLC	Deerfield Place LLC-300 Jordan Rd, Troy, NY 12180; (518) 687-7323	Deerfield Place LLC	Catch basins, culverts, swales	Tributary to Mohawk River	C	1201-0025	Low	To be confirmed	3
147		6/1/2014		400 Oriskany St W, Utica, New York, 13502	Auditorium Parking Lot	400 Oriskany St W, Utica, New York, 13502	Upper Mohawk Valley Memorial Auditorium Authority	Mr. Carl Annese (315) 269-4307	Upper Mohawk Valley Memorial Auditorium Authority	Bio-retention vegetation, catch basins, swales & rip-rap, pipes	Nail Creek	C	1201-0203	Low	To be confirmed	8
181	NYR10 Y925	11/3/2014		498 French Rd, Utica, NY 13502	OMNI Outpatient Surgery Center	498 French Rd, Utica, NY 13502	Omni ASC Realty	Zain Haider 315-798-8737	Omni ASC Realty	Rock Outlet Protection	Nail Creek	C	1201-0203	Low	To be confirmed	0
145	NYR10 X643	1/1/2014		210 Seward Ave, Utica, New York, 13502	Proposed Factory Addition - Munson Machinery Co	210 Seward Ave, Utica, New York, 13502	Munson Machinery Co		Munson Machinery Co	Permanent seeding & mulch, bio swales/grassed swales, outlet protection, infiltration basins, storm sewer system	Sauquoit Creek	C(T)	1201-0069	Low	To be confirmed	17
180		12/1/2008		811 Edward St, Utica, New York, 13502	Matt Brewing Company	811 Edward St, Utica, New York, 13502	Matt Brewing Company	Nick Matt 315-732-3181	Matt Brewing Company	No Maintenance/ Inspections Required	Nail Creek	C	1201-0203	Low	To be confirmed	8
175		11/1/2008		2150 Bleecker St, Utica, New York, 13501	Masonic Care Community Acacia Village Phase II	2150 Bleecker St, Utica, New York, 13501	Masonic Care Community 2150 Bleecker St	2150 Bleecker St	Masonic Care Community	No Maintenance/ Inspections Required	Starch Factory Creek	B	1201-0067	Low	To be confirmed	6
178		5/1/2006		585 Trenton Rd, Utica, NY 13502	Utica Kingdon Hall of Jehovah's Witnesses	585 Trenton Rd, Utica, NY 13502	Utica Congregation of Jehovah's Witnesses	Utica Congregation of Jehovah's Witnesses	Utica Congregation of Jehovah's Witnesses	No Maintenance/ Inspections Required	Reall Creek	C	1201-0205	Low	To be confirmed	2
169		12/1/2005		107 Elmwood Pl, Utica, New York, 13501	Watson Williams Elementary School	107 Elmwood Pl, Utica, New York, 13501	Utica City School District	Utica City School District	Utica City School District	Underground storage	Nail Creek	C	1201-0203	Low	To be confirmed	12
167		3/1/2005		Genesee Ct, Utica, New York, 13502	Walgreens	Genesee Ct, Utica, New York, 13502	HDL Propert Group LLC	HDL Propert Group LLC-7085 Manlius Center Rd East Syracuse, NY 13057	HDL Propert Group LLC	Drainage inlets, infiltration trenches	Nail Creek	C	1201-0203	Low	To be confirmed	12
173	NYR10 H289	7/1/2004		2711 Genesee St, Utica, New York, 13501	Proposed Bank of Vernon Site	2711 Genesee St, Utica, New York, 13501	Lewis Brother Construction LLC	Robert Lewis 315-724-0562	Lewis Brother Construction LLC	Catch Basins, subsurface detention/infiltration galleries	Sauquoit Creek	C(T)	1201-0069	Low	To be confirmed	18
104	NYR10 H601	7/30/2004		2150 Bleecker St, Utica, NY 13501	Wiley Hall Adult Facility	2150 Bleecker St, Utica, NY 13501	Masonic Home	2150 Bleecker St, Utica, NY 13501	Masonic Home	Storm sewers along roadway, Strom water pond, outlet structure,	Starch Factory Creek	B	1201-0067	Low	To be confirmed	6
155		11/4/2003		201 Lafayette St, Utica, New York, 13502	Utica National Insurance Building	201 Lafayette St, Utica, New York, 13502	Utica National		Utica National	No maintenance/inspections required	Ballou Creek	C	1201-0203	Low	To be confirmed	9
103	NYR10 F140	3/20/2003		802 Whitesboro St, Utica, New York, 13502	Utica Plumbing Supply Warehouse Expansion	802 Whitesboro St, Utica, New York, 13502	Howland Pump & Supply Co Inc.		Howland Pump & Supply Co. Inc.	No Maintenance/Inspections Required	Nail Creek	C	1201-0203	Low	To be confirmed	8
156		2003		Herkimer Rd & Euclid Rd, Utica, New York, 13502	Eckerd Drugstore	Herkimer Rd & Euclid Rd, Utica, New York, 13502	Westlake Development LLC	753 James St. Suite B-1 Syracuse NY 13203	Westlake Development	Underground Infiltration System	Reall Creek	C	1201-0205	Low	To be confirmed	2
170	NYR10 F214	3/13/2003		710 Horatio St, Utica, New York, 13502	Proposed Walmart Expansion	710 Horatio St, Utica, New York, 13502	Walmart Stores INC	Mike Gardner 479-273-4712	Walmart Stores INC	No maintenance/inspections required	Tributary to Mohawk River	D	1201-0205	Low	To be confirmed	1
164	NYR11 B821	1/1/2016	7/1/2017	1668 Oneida St, Utica, New York, 13501	Fastrac	1668 Oneida St, Utica, New York, 13501	Fastrac Markets	Fastrac Markets LLC- 6500 New Venture Gear Drive East Syracuse, NY 13057	Fastrac Markets	Bioretention area and detention basin	Nail Creek	C	1201-0203	Low	Complete	15

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176	NYR10 Z366	3/1/2015	10/1/2015	2270 Dwyer Ave, Utica, New York, 13501	Proposed Warehouse Addition	2270 Dwyer Ave, Utica, New York, 13501	CABVI	CABVI	CABVI	Stoned slopes, bio/grass swales, storm sewers, splash pads	Starch Factory Creek	B	1201-0067	Low	Complete	6
146	NYR10 Y905	6/1/2014	8/1/2017	107 Elmwood Pl, Utica, New York, 13501	Watson Williams School	107 Elmwood Pl, Utica, New York, 13501	Utica City School District		Utica City School District	Storm water management facility	Nail Creek	C	1201-0203	Low	Complete	12
166	NYR10 Z214	6/1/2014	6/1/2016	1600 Burrstone Rd, Utica, New York, 13502	The Utica College Dome Site Redevelopment	1600 Burrstone Rd, Utica, New York, 13502	Utica College (Utica University)	Utica College (Utica University)	Utica College (Utica University)	Storm water basins	Nail Creek	C	1201-0203	Low	Complete	11
165	NYR10 Y609	9/2/2014	5/1/2015	425 Court St, Utica, New York, 13502	Stewart's redevelopment storm water calculations	425 Court St, Utica, New York, 13502	Stewarts Shops	Stewarts Shops	Stewarts Shops	Drainage ditches, Swales, Inlets, Pipes, Infiltrators, Structures, green infrastructure	Nail Creek	C	1201-0203	Low	Complete	8
149		6/1/2012		724 Pleasant St, Utica, NY 13501	Southern Reservoir Storage Improvements New 6-Million Gallon Water Storage Tank	724 Pleasant St, Utica, NY 13501	Mohawk Valley Water Authority	Richard Goodney 315-792-0320	Mohawk Valley Water Authority	Rock lined Waterway, rip-rap slope and outlet protection	Ballou Creek	C	1201-0203	Low	Complete	13
148	NYR10 W185	7/1/2012	6/1/2023	1002 Oswego St, Utica, New York, 13502	Proposed Commercial Development	1002 Oswego St, Utica, New York, 13502	United Auto Supply	James Ranalli	United Auto Supply	No maintenance/Inspections required	Nail Creek	C	1201-0203	Low	Complete	11
154	NYR10 S195	12/1/2009	11/1/2011	1109 Mohawk St, Utica, New York, 13501	Roscoe Conkling School	1109 Mohawk St, Utica, New York, 13501	Utica City School District	Willis James 315-792-2222	Utica City School District	Drywells, manholes	Ballou Creek	C	1201-0203	Low	Complete	9
150	NYR10 T696	6/1/2009	9/1/2013	Wells Ave, Utica, New York, 13502	Holiday Inn Express	Wells Ave, Utica, New York, 13502	North Utica Associates LLC	Robert Halpin 607-594-3786	North Utica Associates LLC	No maintenance/inspections required	Mohawk River	C	1201-0093	Low	Complete	5
151	NYR10 S193	12/1/2009	11/1/2011	Albany St, Utica, New York, 13501	Albany St School Capital Improvement Project	Albany St, Utica, New York, 13501	Utica City School District	Willis James 315-792-2222	Utica City School District	Underground storm water management facility	Starch Factory Creek	B	1201-0067	Low	Complete	14
152	NYR10 S194	12/1/2009	11/1/2011	Booth St, Utica, New York, 13502	Thomas Jefferson School	Booth St, Utica, New York, 13502	Utica City School District	Willis James 315-792-2222	Utica City School District	Catch basins	Tributary to Mohawk River	D	1201-0205	Low	Complete	1
153	NYR10 S192	12/1/2009	11/1/2011	Keyes Rd, Utica, New York, 13502	General Herkimer School	Keyes Rd, Utica, New York, 13502	Utica City School District	Willis James 315-792-2222	Utica City School District	Rip-rap splash pads, storm water management facilities	Tributary to Mohawk River	C	1201-0205	Low	Complete	3
174	NYR10 N942	9/1/2007	11/1/2008	1600 Burrstone Rd, Utica, New York, 13502	Proposed Center for Identity Management and Information Protection (CIMIP)	Burrstone Rd, Utica, New York, 13502	Utica College (Utica University)	Utica College (Utica University)	Utica College (Utica University)	Storm water management basins/drywells	Nail Creek	C	1201-0203	Low	Complete	11
168	NYR10 L726	5/1/2005	5/1/2008	N Genesee St, Utica, New York, 13502	Hampton Inn	N Genesee St, Utica, New York, 13502	Marsh Enterprises LLC	Marsh Enterprises LLC	Marsh Enterprises LLC	Storm water management basin	Mohawk River	C	1201-0093	Low	Complete	5
171	NYR10 R662	12/20/2004	6/1/2010	2201 Genesee St, Utica, New York, 13501	St. Elizabeth Medical Center Parking Lot	2201 Genesee St, Utica, New York, 13501	St. Elizabeth	St. Elizabeth	St. Elizabeth	Detention Basin	Nail Creek	C	1201-0203	Low	Complete	16
141	NYR10 G309	11/1/2003	8/30/2007	1155 Mohawk St, Utica, New York, 13501	Eckerd Pharmacy	1155 Mohawk St, Utica, New York, 13501	Benderson Development Company	Bill Rae (716) 878-9453	Benderson Development Company	Drainage Structures	Ballou Creek	C	1201-0203	Low	Complete	9
105	NYR10 F199	4/14/2003	6/1/2007	2160 Erie St, Utica, New York, 13502	Proposed Manufacturing Expansion - Utica Converters	2160 Erie St, Utica, New York, 13502	Utica Conveters Inc.	John Gorman (315) 733-4626 x230	Utica Converters	No Maintenance/Inspections Required	Mohawk River	C	1201-0093	Low	Complete	7
128				2101 Oriskany St W, Utica, NY 13502	U-Haul	2101 Oriskany St W, Utica, NY 13502	Larry Hine - AMERCO Real Estate Company	Larry Hine (602) 263-6555	Larry Hine - AMERCO Real Estate Company	Underground storm water detention system	Mohawk River	C	1201-0093	Not Applicable	Complete	7
123	NYR11 F169		8/20/2021	1701 Noyes St, Utica, New York, 13502	James H. Donovan Middle School Athletic Improvements	1701 Noyes St, Utica, New York, 13502	Utica City School District	Michael Ferraro (315) 368-6850	Utica City School District	No Maintennace/Inspections required	Mohawk River	C	1201-0093	Not Applicable	Complete	7

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126	NYR11J 724		8/28/2024	1431 Genesee St, Utica, New York, 13501	Olbiston Flats	1431 Genesee St, Utica, New York, 13501	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing INC.	Infiltration Trench, Catch Basins	Nail Creek	C	1201-0203	Not Applicable	Complete	12
124	NYR11 F163		8/20/2021	500 Deerfield Dr E, Utica, New York, 13502	J.F.K Middle School Athletic Improvements	500 Deerfield Dr E, Utica, New York, 13502	Utica City School District	Michael Ferraro (315) 368-6850	Utica City School District	No Maintennance/Inspections required	Reall Creek	C	1201-0205	Not Applicable	Complete	2
122	NYR11 F158		8/20/2021	1203 Hilton Ave, Utica, New York, 13501	Proctor High School Athletic Improvements	1203 Hilton Ave, Utica, New York, 13501	Utica City School District	Michael Ferraro (315) 368-6850	Utica City School District	No Maintennance/Inspections required	Starch Factory Creek	B	1201-0067	Not Applicable	Complete	14
119	NYR11 E851		10/15/2020	1914 Dwyer Ave, Utica, New York, 13501	DePaul Starting Line Apartments	1914 Dwyer Ave, Utica, New York, 13501	DePaul Properties	Mark H. Fuller 1931 Buffalo Rd Rochester	DePaul Properties	Bio-retantion areas, Storm water collection system & outlet structutres	Starch Factory Creek	B	1201-0067	Not Applicable	Complete	6
120	NYR11 F816		8/17/2022	116 Park Edge Dr, Utica, NY 13502	Parkedge Townhouse Community Center/Office	116 Park Edge Dr, Utica, NY 13502	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing INC.	Drainage ditches and slopes, Drainage Basins	Tributary to Mohawk River	C	1201-0205	Not Applicable	Complete	4
102		2/9/2023	5/23/2024	1419 West St, Utica, New York, 13501	JCP Green Community Project	1419 West St, Utica, New York, 13501	JCTOD Outreach INC.	Maria (315) 794-9055	JCP	On-site drainage structures, Bio-retention basin, Lawn areas	Ballou Creek	C	1201-0203	Not Applicable	Complete	9
138	NYR11 K819	1/26/2023	9/6/2023	2634 Genesee St, Utica, New York, 13502	Stewart's	2634 Genesee St, Utica, New York, 13502	Stewart's Shops	James Gillespie (518) 581-1201	Stewarts Shops	No maintenance/inspections required	Sauquoit Creek	C(T)	1201-0069	Not Applicable	Complete	17
113		4/17/2023	10/18/2024	Bleecker St, Utica, New York, 13501	Chancellor Park Site Improvemnets	Bleecker St, Utica, New York, 13501	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Open Channels, Storm drains and structures, Bioretention areas	Starch Factory Creek	B	1201-0067	Not Applicable	Complete	10
100		5/1/2023	9/18/2024	Wurz Ave, Utica, New York, 13502	Utica Harbor Waterfront Promenade	Wurz Ave, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Bioretention basin, Tree pits, Catch basins, Permeable pavers, Ceramic Pavers	Utica Harbor	C	1201-0228	Not Applicable	Complete	5
139		5/9/2022	2/15/2024	Wells Ave, Utica, New York, 13502	T.R. Proctor Park Dog Park	Wells Ave, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Drainage ditch and lining, Lawn areas	Mohawk River	C	1201-0093	Not Applicable	Complete	5
125	NYR11J 877	5/24/2022	8/28/2024	407 State St, Utica, New York, 13502	Oneida County Municipal Garage	407 State St, Utica, New York, 13502	Oneida County	Mark Laramie (315) 793-6213	Oneida County DPW	Catch basins, Storm water Pipe, Storm water discharge points, storm water pollutant separator treatment systems, Filter strip, Vegetation Management	Nail Creek	C	1201-0203	Not Applicable	Complete	8
111	NYR11I 079	4/12/2021	12/15/2022	1600 Burrstone Rd, Utica, New York, 13502	Utica College (Utica University) Turf Field & Track	1600 Burrstone Rd, Utica, New York, 13502	Utica College (Utica University)	(315) 792-3835	Utica College (Utica University)	No Maintenance/Inspections Required	Nail Creek	C	1201-0203	Not Applicable	Complete	11
130	NYR11I 528	6/21/2021	8/17/2022	385 N Genesee St, Utica, New York, 13502	Cliff's Local Market	385 N Genesee St, Utica, New York, 13502	Clifford Fuels	James (315) 797-2240	Clifford Fuels	Underground retention, On-site drainage	Reall Creek	C	1201-0205	Not Applicable	Complete	2

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131	NYR11 H066	7/29/2020	10/5/2021	1532 Erie St, Utica, New York, 13502	Fitness Mill	1532 Erie St, Utica, New York, 13502	Carbone Commercial Properties LLC		Fitness Mill	Underground storage, Outlet structures, Landscaping	Mohawk River	C	1201-0093	Not Applicable	Complete	7
109		2020	2/1/2023	Wurz Ave, Utica, New York, 13502	Wurz Ave Project Manual	Wurz Ave, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	No Maintenance/Inspections Required	Mohawk River	C	1201-0093	Not Applicable	Complete	5
110		2020	2/1/2023	Wurz Ave, Utica, New York, 13502	Wurz Ave Extension Harbor Point	Wurz Ave, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	No Maintenance/Inspections Required	Mohawk River	C	1201-0093	Not Applicable	Complete	5
132	NYR10 W185	2020	10/5/2021	1002 Oswego St, Utica, New York, 13502	United Auto Supply	1002 Oswego St, Utica, New York, 13502	United Auto Supply	1200 State Fair Blvd Syracuse NY 13209	United Auto Supply	No Maintenance/Inspections Required	Nail Creek	C	1201-0203	Not Applicable	Complete	11
112		12/4/2019	10/28/2023	400 Oriskany St W, Utica, New York, 13502	Nexus Center Site Management Plan	400 Oriskany St W, Utica, New York, 13502	Upper Mohawk Valley Memorial Auditorium Authority		Upper Mohawk Valley Memorial Auditorium Authority	No Maintenance/Inspections Required	Nail Creek	C	1201-0203	Not Applicable	Complete	8
115		12/15/2019	10/28/2023	400 Oriskany St W, Utica, New York, 13502	Nexus Center	400 Oriskany St W, Utica, New York, 13502	Upper Mohawk Valley Memorial Auditorium Authority		Upper Mohawk Valley Memorial Auditorium Authority	Storm water basins, inlets, etc. Infiltration trenches, filters, hydrodynamic separator units, vegetation areas	Nail Creek	C	1201-0203	Not Applicable	Complete	8
129	NYR11 F461	7/16/2019	2/23/2024	Euclid Rd, Utica, New York, 13502	Seymour Park Athletic Field	Euclid Rd, Utica, New York, 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Retention pond areas, Underdrain, Structures	Reall Creek	C	1201-0205	Not Applicable	Complete	2
134	NYR11 F536	8/30/2019	10/20/2021	2513 Sunset Ave, Utica, New York, 13502	Kelberman Sunset Apartments	2513 Sunset Ave, Utica, New York, 13502	Kelberman Center	Robert Myers (315) 797-6241	Kelberman Sunset Apartments	Underground storage, Structures & junction bays, Hydrodynamic devices	Sauquoit Creek	C(T)	1201-0069	Not Applicable	Complete	17
116	NYR11 E395	10/17/2018	6/30/2021	1 Utica Zoo Way, Utica, New York, 13501	Utica Discovery Center	1 Utica Zoo Way, Utica, New York, 13501	NY Power Authority	John Kahabka (914) 287-3294 John.Kahabka@nypa.gov	NY Power Authority	Drain structures, inlets, outlets, outfalls, Retentions ponds	Ballou Creek	C	1201-0203	Not Applicable	Complete	13
136		6/4/2018	9/27/2021	Elizabeth St, Utica, New York, 13501	CABVI	Elizabeth St, Utica, New York, 13501	CABVI		CABVI	On-site drainage & structures	Ballou Creek	C	1201-0203	Not Applicable	Complete	9
127		12/5/2018	9/30/2022	Incinerator Rd, Utica, New York, 13502	Deerfield-Schuyler Relocation	Incinerator Rd, Utica, New York, 13502	National Grid	Steve Haller (315) 428-5206	National Grid	No Maintennance/Inspections required	Reall Creek	C	1201-0205	Not Applicable	Complete	2
143	NYR11 C257	5/1/2017	12/5/2019	102 Lafayette St, Utica, New York, 13502	Hotel Utica	102 Lafayette St, Utica, New York, 13502	Visions Hotels LLC	Arun Patel (607) 962-9847	Visions Hotels	Storm water basins, permanet seeding	Ballou Creek	C	1201-0203	Not Applicable	Complete	9
106	NYR11 C968	6/26/2017	10/7/2020	119 N Genesee St, Utica, New York, 13502	Hotel Visions Hotels	119 N Genesee St, Utica, New York, 13502	Thomas J. Sawyer Visions Hotels, LLC	Thomas J. Sawyer (716) 866-1572	Visions Hotels	Water quality structures, On-site drainage system	Mohawk River	C	1201-0093	Not Applicable	Complete	5
137	NYR11 C291	2016	2/19/2020	1515 Brinckerhoff Ave, Utica, New York, 13501	Roosevelt Residences	1515 Brinckerhoff Ave, Utica, New York, 13501	Norstar Building Corporation	Kevin Maxwell (716) 847-1098 ext. 19	Norstar Building Corporation	No maintenance/inspections required	Ballou Creek	C	1201-0203	Not Applicable	Complete	13
163	NYR11 B661	4/1/2016	8/20/2021	929 York St, Utica, New York, 13502	Kernan Elementary School	929 York St, Utica, New York, 13502	Utica City School District	Utica City School District	Utica City School District	Stormwater management facilities, swales and culvert pipes	Nail Creek	C	1201-0203	Not Applicable	Complete	8

File #	SWPPP Date	N.O.T. Date	SWPPP Name	Address	Owner	Contact	Maintennance by	Post constrution Inspections	Inspection Sheets (Levels 1-3)	Post-Construction Status
156	6/25/1905		Eckerd Drugstore	NW Corner Herkimer Rd & Euclid Rd	Westlake Development LLC	753 James St. Suite B-1 Syracuse NY 13203	Westlake Development	Underground Infiltration System	infiltration	To be confirmed
101	5/13/2024		Columbia Square Apartments	Whitesboro and Saratoga St. Utica, NY 13502	DePaul Properties	Gillian Conde; 1931 Buffalo Rd Rochester, NY 14624	Depaul Properties	Bioretention, inlets, outlets, ponding areas, vegetations	Bioretention	To be confirmed
158	7/10/1905		Tractor Trailer Parking Area	201 Leland Ave	Empire Recycling INC		Empire Recycling INC	Outlet Protection, storm sewers, catch basins	infiltration	To be confirmed
159	7/10/1905		Medmen - Utica Grow facility	1113 Herkimer Rd	1113 Herkimer Rd Utica LLC	Medmen NY	1113 Herkimer Rd Utica LLC	Extended detention pond, infiltration facility, catch basins	infiltration	To be confirmed
160	7/10/1905		Utica College Student Housing	1600 Burrstone Rd	Utica Property Development LLC	David Martin 302 Washington Avenue Extension Albany, NY 12203	Utica Property Development LLC	Bioretention areas with outlet structures, catch basins, underground pipes	bioretention	To be confirmed
141	11/1/2003		Eckerd Pharmacy	1155 Mohawk St	Benderson Development Company	Bill Rae (716) 878-9453	Benderson Development Company	Drainage Structures	infiltration	To be confirmed
172	5/24/2004		Hydrology Study for Ridgewood Cottage Homes		Lakewood Construction	2275 Bleecker St	Lakewood Construction	stormwater management basin area, outlet structure	bioretention	To be confirmed
114	7/8/1905	NOT ACCEPTED	Colonial Square Subdivision	Trenton Ave	Trenton Road, LLC		Trenton Rd LLC	Open Channels, Storm drains and structures, Bioretention areas		To be confirmed
173	7/1/2004		Proposed Bank of Vernon Site	2711 Genesee St	Lewis Brother Construction LLC	Robert Lewis 315-724-0562	Lewis Brother Construction LLC	Catch Basins, subsurface detention/infiltration galleries	infiltration	To be confirmed
104	7/30/2004		Wiley Hall Adult Facility	2000' east of Culver Avenue	Masonic Home	2150 Bleecker St. Utica, NY 13501	Masonic Home	Storm sewers along roadway, Strom water pond, outlet structure,	ponds & wetlands,	To be confirmed
171	12/20/2004		St. Elizabeth Medical Center Parking Lot	2201 Genesee St	St. Elizabeth	St. Elizabeth	St. Elizabeth	Detention Basin	infiltration	To be confirmed
167	3/1/2005		Walgreens	Genesee st at Shepherd Pl	HDL Proptert Group LLC	HDL Proptert Group LLC; 7085 Manlius Center Rd, East Syracuse, NY 13057	HDL Proptert Group LLC	Drainage inlets, infiltration trenches	infiltration	To be confirmed
168	5/1/2005		Hampton Inn	North Genesee St	Marsh Enterprises LLC	Marsh Enterprises LLC; 382 East Second St, Corning, NY 14830	Marsh Enterprises LLC	Storm water management basin	infiltration	To be confirmed
169	12/1/2005		Watson Williams Elementary School	107 Elmwood Pl	Utica City School District	Utica City School District	Utica City School District	Underground storage	infiltration	To be confirmed
174	9/1/2007		Proposed Center for Identity Management and Information Protection (CIMIP)	Burrstone Rd	Utica College (Utica University)	Utica College (Utica University)	Utica College (Utica University)	Storm water management basins/drywells	infiltration	To be confirmed
151	12/1/2009		Albany St School Capital Improvement Project	Albany St	Utica City School District	Willis James 315-792-2222	Utica City School District	Underground storm water management facility	infiltration	To be confirmed
152	12/1/2009		Thomas Jefferson School	Booth St	Utica City School District	Willis James 315-792-2222	Utica City School District	Catch basins	infiltration	To be confirmed
153	12/1/2009		General Herkimer School	Keyes Rd	Utica City School District	Willis James 315-792-2222	Utica City School District	Rip-rap splash pads, storm water management facilities	infiltration	To be confirmed
154	12/1/2009		Roscoe Conkling School	1109-1115 Mohawk St	Utica City School District	Willis James 315-792-2222	Utica City School District	Drywells, manholes	infiltration	To be confirmed
149	6/1/2012		Southern Reservoir Storage Improvements New 6-Million Gallon Water Storage Tank	Pleasant Street	Mohawk Valley Water Authority	Richard Goodney 315-792-0320	Mohawk Valley Water Authority	Rock lined Waterway, rip-rap slope and outlet protection		To be confirmed
145	1/1/2014		Proposed Factory Addition - Munson Machinery Co	210 Seward Ave	Munson Machinery Co		Munson Machinery Co	Permanent seeding & mulch, bio swales/grassed swales, outlet protection, infiltration basins, storm sewer system	infiltration, swales	To be confirmed
146	6/1/2014		Watson Williams School	107 Elmwood Pl	Utica City School District		Utica City School District	Storm water management facility		To be confirmed
147	6/1/2014		Auditorium Parking Lot	400 Oriskany St. Utica, NY 13502	Upper Mohawk Valley Memorial Auditorium Authority	Mr. Carl Annese (315) 269-4307	Upper Mohawk Valley Memorial Auditorium Authority	Bio-retention vegetation, catch basins, swales & rip-rap, pipes	bioretention, swales	To be confirmed
166	6/1/2014		The Utica College Dome Site Redevelopment	1600 Burstone Rd	Utica College (Utica University)	Utica College (Utica University)	Utica College (Utica University)	Storm water basins	infiltration	To be confirmed
165	9/2/2014		Stewart's redevelopment storm water calculations	425 Court St. Utica, NY 13501	Stewarts Shops	Stewarts Shops	Stewarts Shops	Drainage ditches, Swales, Inlets, Pipes, Infiltrators, Structures, green infrastructure	swales, infiltration	To be confirmed
181	11/3/2014		OMNI Outpatient Surgery Center	French Rd	Omni ASC Realty	Zain Haider 315-798-8737	Omni ASC Realty	Rock Outlet Protection		To be confirmed
176	3/1/2015		Proposed Warehouse Addition	2270 Dwyer Ave	CABVI	CABVI	CABVI	Stoned slopes, bio/grass swales, storm sewers, splash pads\	swales	To be confirmed
177	11/4/2015		Deerfield Place @ Colonial Square Phase 3 Expansion	Deerfield Pl @ Colonial Square	Deerfield Place LLC	Deerfield Place LLC; 300 Jordan Rd, Troy, NY 12180, (518) 687-7323	Deerfield Place LLC	Catch basins, culverts, swales	swales	To be confirmed
164	1/1/2016		Fastrac	1668 Oneida St	Fastrac Markets	Fastrac Markets LLC; 6500 New Venture Gear Drive East Syracuse, NY 13057	Fastrac Markets	Bioretention area and detention basin	bioretention	To be confirmed
161	3/21/2016		Fastrac	1400 Oriskany St West	Fastrac Markets	Fastrac Markets LLC 6500 New Venture Gear Drive, East Syracuse, NY 13057	Fastrac Markets	Bioretention areas, detention basin	bioretention	To be confirmed

File #	SWPPP Date	N.O.T. Date	SWPPP Name	Address	Owner	Contact	Maintennance by	Post constrution Inspections	Inspection Sheets (Levels 1-3)	Post-Construction Status
163	4/1/2016	8/20/2021	Kernan Elementary School	929 York St	Utica City School District	Utica City School District	Utica City School District	Stormwater management facilities, swales and culvert pipes	Swales	To be confirmed
162	9/21/2016		West Street Apartments	West st	Rescue Mission of Utica	Rescue Mission 212 Rutger St	Rescue Mission	Subsurface infiltration basin, soil restoration	infiltration	To be confirmed
142	5/1/2017		Compassion Coalition - Your Bargain Grocer	Lincoln Ave	Compassion Coalition	Michael Servello	Compassion Coalition	Storm water basins, outlet protection, permanet seeding	infiltration	To be confirmed
143	5/1/2017	12/5/2019	Hotel Utica	102 Lafayette St	Visions Hotels LLC	Arun Patel (607) 962-9847	Visions Hotels	Storm water basins, permanet seeding	infiltration	Completed
106	6/26/2017	10/7/2020	Hotel Visions Hotels	119 N. Genesee St. Utica, NY 13501	Thomas J. Sawyer Visions Hotels, LLC	Thomas J. Sawyer (716) 866-1572	Visions Hotels	Water quality structures, On-site drainage system	infiltration	Completed
136	6/4/2018	9/27/2021	CABVI	Elizabeth St. Utica, NY 13502	CABVI	elizabeth st / Second St	CABVI	On-site drainage & structures	infiltration	Completed
116	10/17/2018	6/30/2021	Utica Discovery Center	1 Utica Zoo Way Utica, NY 13501	NY Power Authority	John Kahabka (914) 287-3294 John.Kahabka@nypa.gov	NY Power Authority	Drain structures, inlets, outlets, outfalls, Retentions ponds	bioretention	Completed
179	12/17/2018		Rte 5, 8, 12 Arterial and Viaduct Replacement Project	Rte 5, 8, 12	NYSDOT	NYSDOT	NYSDOT	Vortex Separator		To be confirmed
107	4/12/2019		Glode Milled Lofts	811 Court St. Utica, NY 13502	Lofts at Globe Mill, LLC	Matt Gilhooly (850) 559-8606	Lofts at Globe Mill, LLC	On-site storm sewer system	infiltration	To be confirmed
129	7/16/2019	2/23/2024	Seymour Park Athletic Field	Euclid St.	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Retention pond areas, Underdrain, Structures	ponds & wetlands, infiltration	Completed
134	8/30/2019	10/20/2021	Kelberman Sunset Apartments	2513 Sunset Ave. Utica, NY 13502	Kelberman Center	Robert Myers (315) 797-6241	Kelberman Sunset Apartments	Underground storage, Structures & junction bays, Hydrodynamic devices	infiltration	Completed
121	9/12/2019		Digestive Disease Medicine of CNY	116 Business Park Dr. Utica, NY 13502	Digestive Disease	315-624-7000	Digestive Diease	Subsurface underground infiltration system, Control structures	infiltration	To be confirmed
115	12/15/2019	10/28/2023	Nexus Center	400 Oriskany St. Utica, NY 13502	Upper Mohawk Valley Memorial Auditorium Authority		Upper Mohawk Valley Memorial Auditorium Authority	Storm water basins, inlets, etc. Infiltration trenches, filters, hydrodynamic separator units, vegetation areas	infiltration	Completed
131	7/29/2020	10/5/2021	Fitness Mill	1532 Erie St. Utica, NY 13501	Carbone Commercial Properties LLC		Fitness Mill	Underground storage, Outlet structures, Landscaping	infiltration	Completed
133	12/21/2020		Slocum Dickson	117 Business Park Drive	Slocum Dickson	117 Business Park Drive	Slocum Dickson	Hydrodynamic separator, On-site structures & outfalls	infiltration	To be confirmed
130	6/21/2021	8/17/2022	Cliff's Local Market	385 N. Genesee St. Utica, NY 13501	Clifford Fuels	James (315) 797-2240	Clifford Fuels	Underground retention, On-site drainage	infiltration	Completed
139	5/9/2022	2/15/2024	T.R. Proctor Park Dog Park	2101 Wells Bush Rd. Utica, NY 13502	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Drainage ditch and lining, Lawn areas	infiltration	Completed
125	5/24/2022	8/28/2024	Oneida County Municipal Garage	407 State St. Utica, NY 13502	Oneida County	Mark Laramie (315) 793-6213	Oneida County DPW	Catch basins, Storm water Pipe, Storm water discharge points, storm water pollutant separator treatment systems, Filer strip, Vegetation Management	infiltration	Completed
117	10/5/2022		Medical Office Building	601 State St. Utica, NY 13502	Central Utica Building, LLC	David Quirello (315) 733-7598	Central Utica Building, LLC	Underground storm water detention system	infiltration	To be confirmed
102	2/9/2023	5/23/2024	JCP Green Community Project	1419 West St, 1300 West St, 14 Johnson Park	JCTOD Outreach INC.	Maria (315) 794-9055	JCP	On-site drainage structures, Bio-retention basin, Lawn areas	Bioretention,	Completed
113	4/17/2023	10/18/2024	Chancellor Park Site Improvemnets	Bleecker St. Utica, NY 13501	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Open Channels, Storm drains and structures, Bioretention areas	Bioretention, swales	Completed
100	5/1/2023	9/18/2024	Utica Harbor Waterfront Promenade	Harbor Way (formally Wurz Ave Extension)	City of Utica	Stephanie Wurz (315) 792-0181	City of Utica DPW	Bioretention basin, Tree pits, Catch basins, Permeable pavers, Ceramic Pavers	Tree Planting, Bioretention,	Completed
118	3/xx/2019		Masonic Care Community	2150 Bleecker St. Utica, NY 13501	Masonic Care Community 2150 Bleecker St	2150 Bleecker St.	Masonic Care Community	Drainage basins, Channels	swales	To be confirmed
119		10/15/2020	DePaul Starting Line Apartments	1914 Dwyer Ave. Utica, NY 13501	DePaul Properties	Mark H. Fuller 1931 Buffalo Rd Rochester	DePaul Properties	Bio-retantion areas, Storm water collection system & outlet structutres	bioretention	Completed
120		8/17/2022	Parkedge Townhouse Community Center/Office	Parkedge Dr. Utica, NY 13501	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing INC.	Drainage ditches and slopes, Drainage Basins	infiltration	Completed
126		8/28/2024	Olbiston Flats	1431 Genesee St. Utica, NY 13502	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing 117 West Liberty Street, suite 3, Rome NY	Liberty Affordable Housing INC.	Infiltration Trench, Catch Basins	infiltration	Completed
128			U-Haul	Oriskany Blvd @ Erie St.	Larry Hine - AMERCO Real Estate Company	Larry Hine (602) 263-6555	Larry Hine - AMERCO Real Estate Company	Underground storm water detention system	infiltration	Completed
135			Adirondack Railway ARPS Maintenance Facility	Harbor Point RD	Adirondack Railway Preservation Society	Jack Roberson (315) 724-0700	Adirondack Railway Preservation Society	infiltration basin, drainage swale	infiltration, swales	To be confirmed
182	9/25/2024		Eagle's Nest Townhomes	1810 Eagle St	Eagle's Nest Utica LLC	Eagle's Nest Utica LLC	Eagle's Nest Utica LLC	Land grading and rock outlet protection		Unknown

Appendix M: Pre-Construction Meeting Details

Currently, there is no documentation of Pre-Construction meeting detail in the year of 2024.

Appendix N: Construction Site Inspections



**NEW YORK STATE
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
DIVISION OF WATER**



New York State Department of Environmental Conservation Construction Site Inspection Report for SPDES MS4 General Permit GP-0-24-001			
Project Name:		Date:	
Project Location:		Weather:	
Permit # (if any): NYR	Contacted: <input type="checkbox"/> Yes <input type="checkbox"/> No	Entry Time:	Exit Time:
Name of SPDES Permittee:		Inspection Type:	<input type="checkbox"/> NOT <input type="checkbox"/> Complaint
Phone Number(s):			<input type="checkbox"/> Compliance <input type="checkbox"/> Referral
On-site Representative(s) and Company(s):		MS4 Operator Name:	
		MS4 Permit ID: NYR20A	

SPDES Authority

Yes No N/A

1. ☐ ☐ ☐ Does the project have permit coverage?
2. ☐ ☐ ☐ Is a copy of the NOI and Acknowledgment Letter available on site and accessible for viewing?
3. ☐ ☐ ☐ Is a copy of the MS4 SWPPP Acceptance Form available on site and accessible for viewing?
4. ☐ ☐ ☐ Is an up-to-date copy of the signed SWPPP retained at the construction site?
5. ☐ ☐ ☐ Is a copy of the SPDES General Permit retained at the construction site?
6. ☐ ☐ ☐ Does the NOI accurately report the number of acres to be disturbed?

Citation

GP-0-20-001: I.A & II. B
 GP-0-20-001: II.D.2
 GP-0-20-001: II.D.2
 GP-0-20-001: II.D.2. & III.A.4
 GP-0-20-001: II.D.2
 GP-0-20-001: II.B.4

SWPPP Content

Yes No N/A

7. ☐ ☐ ☐ Does the SWPPP describe and identify the erosion and sediment control measures to be employed?
8. ☐ ☐ ☐ Does the SWPPP provide an inspection schedule and maintenance requirements for the E&SC measures?
9. ☐ ☐ ☐ Does the SWPPP describe and identify the stormwater management practices to be employed?
10. ☐ ☐ ☐ Does the SWPPP identify the contractor(s) and subcontractor(s) responsible for each measure?
11. ☐ ☐ ☐ Does the SWPPP identify at least one trained individual from each contractor(s) and subcontractor(s) companies?
12. ☐ ☐ ☐ Does the SWPPP include all the necessary Contractor Certification Statements and signatures?
13. ☐ ☐ ☐ Is the SWPPP signed by the permittee?
14. ☐ ☐ ☐ Is the SWPPP prepared by a qualified professional (if post-construction stormwater management required)?
15. ☐ ☐ ☐ Do the SMPs conform to the Enhanced Phosphorus Removal Standards (projects in TMDL watersheds)?

Citation

GP-0-20-001: III.B.1.e
 GP-0-20-001: III.B.1.i
 GP-0-20-001: III.B.2
 GP-0-20-001: III.A.6
 GP-0-20-001: III.A.6
 GP-0-20-001: VII.H.2
 GP-0-20-001: III.A.3
 GP-0-20-001: III.B.3

Recordkeeping

Yes No N/A

16. ☐ ☐ ☐ Are self-inspections performed as required by the permit (weekly, or twice weekly for >5 acres disturbed)?
17. ☐ ☐ ☐ Are the self-inspections performed and signed by a qualified inspector and retained on site?
18. ☐ ☐ ☐ Do the qualified inspector's reports include the minimum reporting requirements?
19. ☐ ☐ ☐ Do inspection reports identify corrective measures that have not been implemented or are recurring?

Citation

GP-0-20-001: IV.C.2.a. & b
 GP-0-20-001: II.C.2., IV.C.6 & VII.H.3
 GP-0-20-001: IV.C.4
 GP-0-20-001: IV.C.5



NEW YORK STATE
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
DIVISION OF WATER



Visual Observations

Yes No N/A				Citation	
20.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Are all erosion and sediment control measures installed properly?	GP-0-20-001: VII.L
21.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Are all erosion and sediment control measures being maintained properly?	GP-0-20-001: IV.A.1
22.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Was written authorization issued for any disturbance greater than 5 acres?	GP-0-20-001: II.D.3
23.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Have stabilization measures been implemented in inactive areas per Permit (>5acres) or ESC Standard?	GP-0-20-001: II.D.3.b & III.B.1.f
24.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Are post-construction stormwater management practices constructed/installed correctly?	GP-0-20-001: III.B.2
25.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Has final site stabilization been achieved and temporary E&SC measures removed prior to NOT submittal?	GP-0-20-001: V.A.2
26.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Was there a discharge from the site on the day of inspection?	
27.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is there evidence that a discharge caused or contributed to a violation of water quality standards?	ECL 17-0501, 6 NYCRR 703.2 & GP-0-20-001: I.D

Water Quality Observations

Describe the discharge(s): location, source(s), impact on receiving water(s), etc.

Describe the quality of the receiving water(s) both upstream and downstream of the discharge:

Describe any other water quality standards or permit violations:



NEW YORK STATE
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
DIVISION OF WATER



Additional Comments:

☐ Photographs attached

Overall Inspection Rating: <input type="checkbox"/> Satisfactory <input type="checkbox"/> Marginal <input type="checkbox"/> Unsatisfactory	
Name/Agency of Lead Inspector:	Signature of Lead Inspector:
Names/Agencies of Other Inspectors:	

Appendix O: Stormwater Control Facility Maintenance Agreement

STORMWATER CONTROL FACILITY MAINTENANCE AGREEMENT

Whereas, the Municipality of _____ ("Municipality") and the _____ ("facility owner") want to enter into an agreement to provide for the long term maintenance and continuation of stormwater control measures approved by the Municipality for the below named project, and

Whereas, the Municipality and the facility owner desire that the stormwater control measures be built in accordance with the approved project plans and thereafter be maintained, cleaned, repaired, replaced and continued in perpetuity in order to ensure optimum performance of the components. Therefore, the Municipality and the facility owner agree as follows:

1. This agreement binds the Municipality and the facility owner, its successors and assigns, to the maintenance provisions depicted in the approved project plans which are attached as Schedule A of this agreement.
2. The facility owner shall maintain, clean, repair, replace and continue the stormwater control measures depicted in Schedule A as necessary to ensure optimum performance of the measures to design specifications. The stormwater control measures shall include, but shall not be limited to, the following: drainage ditches, swales, dry wells, infiltrators, drop inlets, pipes, culverts, soil absorption devices and retention ponds.
3. The facility owner shall be responsible for all expenses related to the maintenance of the stormwater control measures and shall establish a means for the collection and distribution of expenses among parties for any commonly owned facilities.
4. The facility owner shall provide for the periodic inspection of the stormwater control measures, not less than once in every five year period, to determine the condition and integrity of the measures. Such inspection shall be performed by a Professional Engineer licensed by the State of New York. The inspecting engineer shall prepare and submit to the Municipality within 30 days of the inspection, a written report of the findings including recommendations for those actions necessary for the continuation of the stormwater control measures.
5. The facility owner shall not authorize, undertake or permit alteration, abandonment, modification or discontinuation of the stormwater control measures except in accordance with written approval of the Municipality.
6. The facility owner shall undertake necessary repairs and replacement of the stormwater control measures at the direction of the Municipality or in accordance with the recommendations of the inspecting engineer.
7. The facility owner shall provide to the Municipality within 30 days of the date of this agreement, a security for the maintenance and continuation of the stormwater control measures in the form of (a Bond, letter of credit or escrow account).
8. This agreement shall be recorded in the Office of the County Clerk, County of _____ together with the deed for the common property and shall be included in the offering plan and/or prospectus approved pursuant to _____.
9. If ever the Municipality determines that the facility owner has failed to construct or maintain the stormwater control measures in accordance with the project plan or has failed to undertake corrective action specified by the Municipality or by the inspecting engineer, the Municipality is authorized to undertake such steps as reasonably necessary for the preservation, continuation or maintenance of the stormwater control measures and to affix the expenses thereof as a lien against the property.
10. This agreement is effective _____ .

Schedule A

Stormwater Management Practices Acceptable for Water Quality		
Group	Practice	Description
Pond	Micropool Extended Detention Pond (P-1)	Pond that treats the majority of the water quality volume through extended detention, and incorporates a micropool at the outlet of the pond to prevent sediment resuspension.
	Wet Pond (P-2)	Pond that provides storage for the entire water quality volume in the permanent pool.
	Wet Extended Detention Pond (P-3)	Pond that treats a portion of the water quality volume by detaining storm flows above a permanent pool for a specified minimum detention time.
	Multiple Pond System (P-4)	A group of ponds that collectively treat the water quality volume.
	Pocket Pond (P-5)	A stormwater wetland design adapted for the treatment of runoff from small drainage areas that has little or no baseflow available to maintain water elevations and relies on groundwater to maintain a permanent pool.
Wetland	Shallow Wetland (W-1)	A wetland that provides water quality treatment entirely in a shallow marsh.
	Extended Detention Wetland (W-2)	A wetland system that provides some fraction of the water quality volume by detaining storm flows above the marsh surface.
	Pond/Wetland System (W-3)	A wetland system that provides a portion of the water quality volume in the permanent pool of a wet pond that precedes the marsh for a specified minimum detention time.
	Pocket Wetland (W-4)	A shallow wetland design adapted for the treatment of runoff from small drainage areas that has variable water levels and relies on groundwater for its permanent pool.
Infiltration	Infiltration Trench (I-1)	An infiltration practice that stores the water quality volume in the void spaces of a gravel trench before it is infiltrated into the ground.
	Infiltration Basin (I-2)	An infiltration practice that stores the water quality volume in a shallow depression before it is infiltrated into the ground.
	Dry Well (I-3)	An infiltration practice similar in design to the infiltration trench, and best suited for treatment of rooftop runoff.
Filtering Practices	Surface Sand Filter (F-1)	A filtering practice that treats stormwater by settling out larger particles in a sediment chamber, and then filtering stormwater through a sand matrix.
	Underground Sand Filter (F-2)	A filtering practice that treats stormwater as it flows through underground settling and filtering chambers.
	Perimeter Sand Filter (F-3)	A filter that incorporates a sediment chamber and filter bed as parallel vaults adjacent to a parking lot.
	Organic Filter (F-4)	A filtering practice that uses an organic medium such as compost in the filter in place of sand.
	Bioretention (F-5)	A shallow depression that treats stormwater as it flows through a soil matrix, and is returned to the storm drain system.
Open Channels	Dry Swale (O-1)	An open drainage channel or depression explicitly designed to detain and promote the filtration of stormwater runoff into the soil media.
	Wet Swale (O-2)	An open drainage channel or depression designed to retain water or intercept groundwater for water quality treatment.