### City of Utica Industrial Development Agency Application

The information required by this form is necessary to determine the eligibility of your project for IDA benefits. Please answer all questions; insert "NONE", or "NOT APPLICABLE", where necessary. If an estimate is given, put "EST." after the figure. Attach additional sheets if more space is needed for a response than is provided. Return three copies of this application to the City of Utica Industrial Development Agency.

All information contained in this form will be treated confidentially, to the extent permitted by law.

A project financed through this Agency involves the preparation and execution of significant legal documents. Please consult with an attorney before signing any documents in connection with the proposed project.

#### PART I

# Applicant Applicant's legal Name: Ralph DeStefanis (Mana Properties, LLC) 6298 Trenton Road Principal Address: Utica, NY 13502 1004 Erie Srtreet **Project Address: Utica NY 13502** Telephone Number(s): 315-404-8801 Federal Identification Number: Company IRS Filing Office Location: N/A Company Officer completing this application: Name: Ralph DeStefanis Member Title: 315-738-1111 cell office Email: ralph@abcchemcleaners.com

A.	is the applica	ant a:					
		on: If YES, Public ( ) Private (🔏)					
		C Corporation, on which exchang	ge is it listed?				
	iviana Pr	Mana Properties, LLC					
		ip er S ecify)					
В.	State of incor	poration, if applicable: NYS					
		s, Directors, Officers, Partners	or Members				
A.	Provide the fo	ollowing information in regard to p	orincipal stockholders or parties:				
	<u>Name</u>	Home Address	Percentage of <u>Ownership</u>				
F	Ralph DeStefanis	PARTITION OF THE PARTIES OF THE PART	ARISANAS KASASK				
_	Deborah Destefar	nis same	R <b>FIDE</b>				
— — В.	Provide the fo	ollowing information in regard to c	officers and directors:				
	Company		Other Principal				
	Officer	Name and Home Address	Business Affiliation				
Ralph D	estefanis		President				
Debora	h DeStefanis	same	Treasurer				
	-						

	C.	Is the applicant or any of the persons listed in 2(A) above related, directly or indirectly, to any other entity by more than 50% common ownership? If also, indicate name of such entity and the relationship.		
		RJD Endeavors Ralph DeStefanis as owner of president		
		of operating company		
		,		
	D.	Is the applicant affiliated with any other entity, directly or indirectly, other than as indicated in response to paragraph C above? If YES, please indicate name and relationship of such other entity and the address thereof:		
		No		
3.		Applicant's accountant		
		Name and Title: Richard Salamida		
		Name of Firm: Salamida Accouting		
		Address: 301 Bleeker Street		
		Utica NY 13502		
		Telephone Number: 315-732-6020 Email:		
4.		Applicant's attorney		
		Name and Title: Anthony Hallak		
		Name of Firm: Felt-Evans		
		Address: 4-6 N. Park Row		
		Clinton NY 13323		
		Telephone: 315-853-4436 Email: ahallak@felt-evans.com		

- 5. **References** (Individuals and institutions in this section may be contacted)
  - A. Banking/Financial Institution:

	· ·		
	ne of <u>itution</u>	Address and Phone Number	Account Officer/ Contact Person
M&T Bank		33 Genesee St. Utica NY	Eric Linden 315-268-1272
First Niagara	4	1 Kellogg Rd. New Hartfor	Tom Hughs 315-797-6552
B. Busine	ess suppliers (list	three largest accounts)	
Name	of	Address and	Account Officer/
<u>Suppli</u>	<u>er</u>	Phone Number	Contact Person
Harris Research, Inc		12th Ave South Nashville,	Melanie Parker 435-755-00
Quinton Insuran	ce 270	DEImwood Ave Rochester N	Gordon Quinton
MPW		/2 E. Park Row Clinton NY	1 Dan Acker 315-853-1080
C. Major	customers (list th	ree largest)	
Name	of	Address and	Account Officer/
<u>Custor</u>	<u>ner</u>	Phone Number	Contact Person
Applebee's		te Verda Beach FL	Brian Gagne 585-755-4216
Spring Farm Ca	res Clin	ton NY	Bonnie Reynolds 3215-737
Cedarbrook	Nev	/ Hartford N Y	Andrea Fox 315-737-2711

## 6. **Business Description**

A. Describe nature of business and principal products and/or services:

carpet and upholstery cleaning

area rug cleaning, tile and grout cleaning

24 Hour Water Damage Restoration services

**Janitorial** 

NHance Wood Refinishing

cabinets and floors

		rkimer, Otsego, Mad	
	Oswego and Sch	oharie counties	
,	Present location(s) of bu	siness operations	
	List present location(s):		
	1. 432 Lafayette Street Ut	tica NY 13502	
	2.		
	3.		
	For what purpose is each	of these used?	
	1. Office, Warehouse, Cle	eaning	
	2.		
	3.		
	For each of your present lo	cations which are <u>RENTED</u> , prov	vide the following information:
	Name of Landlord	Landlord's Address	<u>Landlord's</u>
	4 Dalah Da Chafania	, NA KABARM WANANANANANANANANA	Telephone Number
	1. Ralph DeStefanis		
	3. Amount of Space	Annual Rental	Lease Termination Date
	1. 8,000 sq ft	roughly \$35,376	8-31-2019
	2.		
	3.		

For each of your present loca	ations which you <u>OWN</u> , provide th	ne following information <u>:</u>		
<u>Location</u>	Annual Mortgage Payment	Termination Date		
1. 432 Lafayette Street	roughly \$2,400	upon sale to MVHS		
2.				
3.				
List which of your present locagiven: 432 Lafayette Stre	ations, if any, will be vacated if IDA	approval for your project i		
Utica NY 13502				
If any of these locations will b do so:	e sublet or sold, provide information	on concerning your ability to		
MVHS is purchasing my property				
_				
BOARD BOARD STATE OF THE STATE				

## <u>PART II</u>

## **Reasons for Project**

Please explain in detail why you want to undertake this project and define scope of project:
I am being forced from my current location at 432 Lafayette St
by MVHS Hospital Project. I have owned and occupied this location
for 21 years and also operated on Erie St for 9 years prior.
To purchase land, build a new building and move my business
has been a huge undertaking for me. The IDA will make a huge
difference in enabling me to stay and grow my business in
Uitca.Tax breaks being offered will releive
Why are you requesting the involvement of the IDA in your project?
The tax breaks being offered will relive some of the financial
burden off me.

	will the applicant's plans be affected if ID	
Th	e unavailability of IDA wou	lld make it harder for me to grow
my	business	
	se confirm by checking the box, below, if t or the Financial Assistance provided by t	here is likelihood that the Project would not be undertaker he Agency?
	■ Yes or □ No	
	•	ancial Assistance provided by the Agency, then provide a ting why the Project should be undertaken by the Agency
		siness in that location for 21 years and before
tha	t I operated my business on E	rie St for 9 years, It was not my decision
to r	nove from Lafayette St. It has	been a perfect location for us. 90% of my
em	ployees live in the Utica area.	I feel we add a lot to our community.
-		
lden	tify the assistance being requested of the	e Agency (select all that apply):
1.	Exemption from Sales Tax	X Yes or No
	·	
2.	Exemption from Mortgage Tax	
3.	Exemption from Real Property Tax	
4.	Tax Exempt Financing *	Yes or X No
	* (typically for not-for-profits & small q	ualified manufacturers)

## A. Type of Project

Check category or categories best describing your project (O - Owner) and all end-users (T – Tenant(s)) and the square footage of each:

Tota	al sf
Other (specify)	2,000 projected for future development Rental- 2-three year Sf
Recreational	sf
Retail	400 sf
Multi-Tenant	sf
Facility for Aging	sf
Back Office	1,200 sf
Housing	sf
Pollution Control	sf
Commercial	8,000 sf
Warehousing	sf
Research and Development	sf
Industrial (Assembly or Service)	sf
Manufacturing	sf

## B. <u>Description of Proposed Project</u>

Check all appropriate categories which apply to the proposed project:

1. Acquisition of land	YES(x)	NO( )
2. Acquisition of existing building	YES( )	NO(x)
3. Renovations to existing building	YES( )	NO(x)
4. Construction of addition to existing building	YES( )	NO(x)
5. Demolition	YES( )	NO(x)
6. Construction of a new building	YES(x)	NO( )
7. Acquisition of machinery and/or equipment	YES(x)	NO( )
8. Installation of machinery and/or equipment	YES(x)	NO( )
9. Other (specify)	YES( )	NO(x)

C. What is the zoning classification of the proposed site?

**CBD - Central Business District** 

D. For what purpose was the site most recently used (e.g. light manufacturing, heavy manufacturing, assembly, etc.)? The land has been fenced - off and vacant for 25 years or so E. Location(s) Number of Floors/ SF/floor Street Address 1004 Erie Street Utica NY 1 West Utica .96 acres F. Is the site in an Empire Zone? (x ) Yes ( ) No Is the business Empire Zone certified at this location: ( ) Yes (x) No Attach a copy of the last Business Annual Report filed. Is the proposed project located within the boundary of a Central New York Regional Transportation (Centro) District? (x) Yes ( ) No Please describe in detail the facility to be acquired, constructed or renovated 1. G. (including number of buildings and other existing structures or facilities) and attach plot plans, photos or renderings, if available. 9,600 sq ft building (see attached site/building plans) 2. If construction or renovation work on this project has already begun, please describe the work in detail. Work has not yet started 3. What is the estimated useful life of the: 100

Equipment: 15-20 years

Facility:

a.

b.

R	st the principal items or categories of equipment to be acquired as part of the project. Rug hanging rack, rug extraction machine rug duster, paint booth, sanding table,
la	arge washer, large compressor, ( these are large items, there are sure to be smaller items needed.)
ar	any of this equipment has already been purchased or ordered, please attach all invoice nd purchase orders and list amounts paid and dates of expected delivery as well as a bric escription:
1	N/A
va or	the construction or operation of the proposed project will require any local ordinance of ariance to be obtained or requires a permit or prior approval of any state or federal agency body (other than normal occupancy/construction permits), please specify:
Υ	/ill the project have a significant effect on the environment, YES ( ) NO (x). If ES, please describe the effect. Important: please attach Environmental Assessment
	orm to this Application N/A
_	
	/ill a related real estate holding company, partnership or other entity be involved in th
V	3 1 7, 1

M.	1.	With	n regard to the present owner of the project site, please give:		
	Nam	e: F	Ralph DeStefanis RJD Endeavors Mana Properties, LLC		
	Addr	ess: (	6298 Trenton Road		
	Utica NY13502				
	Tele	- phone	Number: Cells Colon Colo		
	2.	If the	f the applicant already owns the project site, indicate:		
		a.	date of purchase: January 2018		
		b.	purchase price: \$80,000		
	3.	If th	e project site is mortgaged, please indicate:		
	0.		balance of mortgage: not at this time		
		a.	balance of mortgage: 1100 at 1110		
		b.	holder of mortgage:		
N.	direc	tly or i	relationship, legally, by virtue of common control, or through related persons, indirectly, between the applicant and the present owner of the project site?  NO ( ) If YES, please explain:		
	_	_` .	h DeSefanis own Mana Properties, LLC		
	ΙR	alph	DeStefanis am president of RJD Endeavors		
Ο.	Is the company currently a tenant in the building to be occupied?				
	YES	( )	NO(x)		
Р.	Are y	Are you planning to use/develop the entire proposed facility?			
			NO ( )		
	. 20	(			

If NO, give the following information with respect to present tenants: 1. Present Tenant Information Name of Nature of Tenant's Floors Square Feet a. Business **Business** Occupied Occupied N/A b. Which of the above tenants will be vacating upon your initial use of the facility? How many jobs will be affected? Square Footage Now Occupied Name of Firm Jobs N/A For those tenants who will remain after your initial occupancy of the site, provide the c. following transaction: Name of Term of Renewal Square Footage **Options** Lease Now Occupied Tenant N/A

Are any of the above tenants related to the owner of the facility? YES ( ) NO ( $_{\rm X}$  )

d. If the applicant will be occupying the premises of any of the tenants listed in (c) when their lease expires, please list.

N/A

e. Please provide copies of all present lease(s) at the proposed project site.

I plan to put a 2,000 sq ft addition on in approximately 2 -3 years
(2 - 1,000 sq ft offices)
Will financing by the Agency for the Project result in the removal or abandonment of a pla
or other facility of the applicant or any related entity presently located in another area in tl
State of New York? YES (x ) NO ( )
If the answer is YES, please explain briefly the reasons for the move.
MVHS will purchase and demolish current facility on Lafayette Street
Is the proposed project reasonably necessary to discourage the project occupant from removing such other plant or facility to a location outside the State of New York? YES ( ) NO ( $_{ m X}$ )
Is the proposed project reasonably necessary to preserve the competitive position of the project occupant in its respective industry? YES ( $_{ m X}$ ) NO ( )
If any of the parties who will be tenants in this project are related to or affiliated with tapplicant, please identify them:
RJD Endeavors, Inc Ralph DeStefanis president
90% owner

Do you propose to lease part of the project facility to firms not presently tenants?

f.

### 2. Employment \*

a. List your present employment in the City of Utica, if any, and an estimate of the employment at the <u>proposed facility</u> at the end of two years. NOTE: New York State considers Full Time employment as 35 hours or more. Full-time jobs, plus the combination of two or more part-time jobs that, when combined together, constitute the equivalent hours of a full-time position (35 or more hours).

Employment	Current # of applicant's jobs at/or to be located at proposed project location	Number of FTE jobs to be RETAINED	Number of FTE jobs to be CREATED two years after project completion	Estimate number of residents in Labor Market Area that will fill projected jobs two years after project completion
Full-Time (FTE)	12	12	2	2

The Labor Market Area consists of the following counties: Oneida, Lewis, Herkimer, Otsego, Madison and Oswego

b. Characterize the labor force to be associated with this project location according to the following categories:

Category	Current/ Anticipated	Avg Salary or Salary Range	Avg Fringe Benefits or Range
Officers	1 1/2	\$60,000	\$5 - \$7,000
Sales/Supervisory	3 1/2	\$35,000	\$5 - \$7,000
Clerical	1	\$30,000	
Plant/Production	1	\$35,000	\$5 - \$7,000
Other (specify)	6	\$26,500	

#### Notes:

c. Estimate the Annual Payroll for the employees associated with the project location.

Currently	End of Year One	End of Year Two
\$ 436,201.57	\$ 462,701	\$489,201

<sup>\*</sup> Company/Applicant will be required to submit Annual Project Monitoring Reports (attached) along with a copy of the NYS 45 (four quarters) for a minimum of five (5) years for any commercial project and ten (10) years for any industrial/manufacturing project, or for the length of UIDA involvement in the project. Annual Project Monitoring Reports will be compared to employment counts as stated above and companies whose reported counts fall below those levels above will be subject to the Agency's Recapture Provisions Policy.

Sources of Funds for Project Costs:		% of Total project costs
Rank Financing	<sub>\$</sub> 200,000	35%
Bank Financing:		70%
Equity (excluding equity attributed to grants/tax credits)	\$ 455,000	7076
Tax Exempt Bond Issuance (if applicable)	\$ N/A	
Taxable Bond Issuance (if applicable)	\$ N/A	
Public Sources (Include sum total of all state and federal grants and tax credits)	<sub>\$</sub> none	
Identify each state and federal grant/credit:		
N/A \$		
\$		
\$		
\$		
Total Sources of Funds for Project Costs:	<sub>\$</sub> 565,000	
Have any of the above costs been paid or incurred as of	T	ation?
Yes No. If Yes, describe particulars: Land \$8	0,000, excavating	and stone,
engineer plans and fees		
Mortgage Recording Tax Exemption Benefit: Amount of mortgage recording tax:	of mortgage that would	I be subject to
Mortgage Amount (include sum total of construction/perma	anent/bridge financing	): \$
Estimated Mortgage Recording Tax Exemption Benefit (pr	roduct of mortages	<sub>\$</sub> 1,500
Amount as indicated above multiplied by 0.75%):	oduct of mortgage	Ψ

Please Note: The New York State General Municipal Law was recently amended to reflect that industrial development agencies are not exempt from the additional mortgage recording tax of .25% that is assessed to properties that are located within a regional transportation district. Oneida County is located within the Central New York Regional Transportation District; as such, all UIDA projects will be exempt from .75% of mortgage recording tax, but must pay .25% of mortgage recording tax, which will be directed to the Transportation District.

## 3. Estimated Project Cost

above):

Listed the costs necessary for the construction, acquisition or renovation of the project (this should <u>NOT</u> include working capital needs, moving expenses, work in progress, stock in trade, applicant's debt repayment, real estate broker fees or your legal fees):

Acquisition of Building(s)  Renovation Costs  New Construction of Buildings  Machinery and Equipment (other than furniture costs)  Furniture and Fixtures  Installation Costs  Architectural/Engineering Fees  Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption benefit:  \$ (est) 509,934.02  \$ (est) 46,000  N/A  N/A  Approximately \$5,000 in permits  \$ (est) 46,000  \$ (est) 46,00	Acquisition of Land	\$80,000
New Construction of Buildings  Machinery and Equipment (other than furniture costs)  Furniture and Fixtures  Installation Costs  Architectural/Engineering Fees Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use Tax exemption	Acquisition of Building(s)	\$
Machinery and Equipment (other than furniture costs)  Furniture and Fixtures  Installation Costs  Architectural/Engineering Fees  Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Renovation Costs	
(other than furniture costs)  Furniture and Fixtures  Installation Costs  Architectural/Engineering Fees  Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	New Construction of Buildings	
Installation Costs  Architectural/Engineering Fees  Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption		\$ (est) 46,000
Architectural/Engineering Fees  Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Furniture and Fixtures	\$ <u>N/A</u>
Fees (other than your own counsel and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Installation Costs	<sub>\$_</sub> N/A
and brokerage fees)  Interest on Interim Financings  Other (specify)  Total Project Cost  \$ 644,650   Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Architectural/Engineering Fees	<sub>\$</sub> _20,000
Other (specify) \$		\$_Approximately \$5,000 in permits
Total Project Cost \$\\\ 644,650\\  Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Interest on Interim Financings	\$
Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Other (specify)	\$
Sales and Use Tax: Gross amount of costs for goods and services that are subject to State and local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption		
local Sales and Use tax - said amount to benefit from the Agency's Sales and Use Tax exemption	Total Project Cost	<sub>\$</sub> 644,650
Estimated State and local Sales and Use Tay Repetit (product of 8.75% multiplied by the figure	local Sales and Use tax - said amount to benefite \$\frac{36}{2}\$	fit from the Agency's Sales and Use Tax exemption $33,946.16$

<sub>\$</sub>31,845.27

### 4. Real Estate Taxes

List separately the proposed project's Real Estate Taxes and/or Assessed Value as it applies to land and building:

Project's	Real Estate Taxes	Assessed Value
Land(s)	\$562.00	\$20,000
Building(s)	\$13,000	\$est. 180,000
Total	\$13,562	\$200,000

Calculate the value of the PILOT exemption anticipated for the project described:

### 5. Project Schedule

Indicate the estimated dates for the following:

a. Construction commencement: May 10, 2019

b. Construction completion: Aug. 31, 2019 to Dec. 31, 2019

c. Project financing: List the dates and in what amounts the estimated funds will be required:

## April 15, 2019 recieve funds from MVHS

after pay off loan \$365,000 and new mortgage

## M&T Bank for \$200,000

d. Indicate the name of the incorporated municipality in which the facility will be located and the applicant's (or any related entity's) estimated capital expenditures in such municipality during the past three years:

## Utica

e. What do you expect the applicant's (or any related entities) capital expenditures to be in the above municipality during the next three years (including this project):

\$644,650

	1.	financing in the City of Utica, whether through IDA, the New York Job Development Authority or any other entity, please explain (indicate date, location of financed facility, and outstanding balance):
		N/A
	g.	Has the applicant or any related entity received the benefit of tax exempt financing anywhere within the United States within the past 90 days or is the applicant or any related entity contemplating the receipt of such financing assistance within the next 90 days? YES ( ) NO ( ) if YES, please explain.
		N/A
6. <u>F</u>	Project Financ	ing Efforts
		THE APPLICANT'S RESPONSIBILITY TO SECURE A PURCHASER FOR IDADS ISSUED IN CONJUNCTION WITH THIS PROJECT. Below are a series of
		ions relating to your efforts to secure financing for your project if IDA approval is
		pplicant contacted any bank, financial/lending institution or private investor in regard ing for this project? YES(x) NO( )If YES, please give details:
	M&T Ba	

В	. Have you obtained a financial commitment for this project? YES ( $_{ m X}$ ) NO ( )
	1. If YES, please briefly describe this commitment and attach related correspondence: Purchase offer MVHS \$495,000
	M&T Bank \$200,000
	2. If NO, please explain how you will be able to finance this project:  N/A
C.	Are there any other governmental agencies that you have contacted concerning financial assistance in regard to your proposed project? YES ( ) $NO(x)$ If YES, please explain:
D.	1. Will the applicant's obligations be guaranteed, and if so, by whom?  Yes
	2. Is the guarantor related to or affiliated with the applicant?  Same

- E. Financial Information (Attach the Following).
  - 1. Financial Statements for the last three fiscal years.
  - 2. Pro forma Balance Sheet as at start of operations at project site.
  - 3. Projected Profit and Loss Statements for first two years of operation at project site.
  - 4. Projected "Cash Flow" Statement, by quarters, for first year of operation at project site.

#### REPRESENTATIONS AND CERTIFICATION BY APPLICANT

The undersigned requests that this Application be submitted for review to the City of Utica Industrial Development Agency (the "Agency") and its Board of Directors.

Approval of the Application can be granted solely by this Agency's Board of Directors. The undersigned acknowledges that Applicant shall be responsible for all costs incurred by the Agency and its counsel in connection with the attendant negotiations whether or not the transaction is carried to a successful conclusion.

The Applicant further understands and agrees with the Agency as follows:

- 1. **Annual Sales Tax Filings**. In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
- 2. Annual Employment Reports. The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site as well as tax benefits received with the action of the Agency. Failure to provide such reports as provided in the transaction documents will be an Event of Default under the Lease (or Leaseback) Agreement between the Agency and Applicant. In addition, a Notice of Failure to provide the Agency with an Employment Report may be reported to Agency board members, said report being an agenda item subject to the Open Meetings Law.
- 3. **Absence of Conflict of Interest**. The Applicant has consulted the Agency website of the list of the Agency members, officers and employees of the Agency. No member, officer, or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein after described (if none, state "none"):
- 4. Hold Harmless. Applicant hereby releases the Agency and its members, officers, servants, agents and employees from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax

exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

- 5. The Applicant acknowledges that the Agency has disclosed that the actions and activities of the Agency are subject to the Public Authorities Accountability Act signed into law January 13, 2006 as Chapter 766 of the 2005 Laws of the State of New York.
- 6. The Applicant acknowledges that the Agency is subject to New York State's Freedom of Information Law (FOIL). Applicant understands that all Project information and records related to this application are potentially subject to disclosure under FOIL subject to limited statutory exclusions.
- 7. The Applicant acknowledges that it has been provided with a copy of the Agency's recapture policy (the "Recapture Policy"). The Applicant covenants and agrees that it fully understands that the Recapture Policy is applicable to the Project that is the subject of this Application, and that the Agency will implement the Recapture Policy if and when it is so required to do so. The Applicant further covenants and agrees that its Project is potentially subject to termination of Agency financial assistance and/or recapture of Agency financial assistance so provided and/or previously granted.
- 8. The Applicant understands and agrees that the provisions of Section 862(1) of the New York General Municipal Law, as provided below, will not be violated if Financial Assistance is provided for the proposed Project:
  - § 862. Restrictions on funds of the agency. (1) No funds of the agency shall be used in respect of any project if the completion thereof would result in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state or in the abandonment of one or more plants or facilities of the project occupant located within the state, provided, however, that neither restriction shall apply if the agency shall determine on the basis of the application before it that the project is reasonably necessary to discourage the project occupant from removing such other plant or facility to a location outside the state or is reasonably necessary to preserve the competitive position of the project occupant in its respective industry.
- 9. The Applicant confirms and acknowledges that the owner, occupant, or operator receiving Financial Assistance for the proposed Project is in substantial compliance with applicable local, state and federal tax, worker protection and environmental laws, rules and regulations.
- 10. The Applicant confirms and acknowledges that the submission of any knowingly false or knowingly misleading information may lead to the immediate termination of any Financial Assistance and the reimbursement of an amount equal to all or part of any tax exemption claimed by reason of the Agency's involvement the Project.

- 11. The Applicant confirms and hereby acknowledges that as of the date of this Application, the Applicant is in substantial compliance with all provisions of Article 18-A of the New York General Municipal Law, including, but not limited to, the provision of Section 859-a and Section 862(1) of the New York General Municipal Law.
- 12. The Applicant and the individual executing this Application on behalf of the Applicant acknowledge that the Agency will rely on the representations made herein when acting on this Application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

STATE OF NEW YORK

COUNTY OF ONEIDA ) ss.:
Ralph DeStefanis, being first duly sworn, deposes and says:
1. That I am the President (Corporate Office) of RJD Endeavors, INC and member of Mana Properties, LLC (Applicant) and that I am duly authorized on behalf of the Applicant to bind the Applicant.
<ol> <li>That I have read the attached Application, I know the contents thereof, and that to the best of my knowledge and belief, this Application and the contents of this Application are true, accurate and complete.</li> </ol>
(Signature of Officer)
Subseribed and affirmed to me under penalties of perjury this / day of / Ay 20/1.  RICHARD J SALAMIDA  (Notary Public)  Registration No. 01SA3431650  Qualified in Oneida County  Commission Expires October 31, 2021  If the application has been completed by or in part by other than the person signing this application for the applicant please indicate who and in what capacity:
Ву:
Name:
Title:
Date:

Return the original application and six copies with a check in the amount of \$250.00 made payable to: Utica Industrial Development Agency, 1 Kennedy Plaza, Utica, New York, Attn.: Jack N. Spaeth, Executive Director.