

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Societal Needs

During the 2022-2023 program year, programs were strategically prioritized in response to increased societal demands. This involved providing crucial support for elderly homeowners who face financial burdens but require maintenance for their homes. Additionally, efforts were made to expand opportunities for seniors to actively engage in ongoing programming, and specialized services were offered to help youth overcome the lasting effects of the pandemic on their development and well-being.

Single-Family Residential Rehabilitation

The 2022-2023 program year marks the first year that CDBG funds have been allocated to focus on the rehabilitation of single-family homes owned by cost-burdened senior citizens (\$300,000). This funding program was established utilizing guidance from the City's recently completed city-wide housing needs assessment (2022).

Historic Preservation

Key projects supported in the 2022-2023 Program Year were the replacement of the roof at the historic Uptown Theatre and the replacement of chimneys at the Utica Public Library. Both the Uptown Theatre and Utica Public Library are anchor buildings in the City's uptown and downtown neighborhoods, respectively.

Economic Development

- **Dacobe Enterprises** – The two partners of Dacobe previously worked for a company when they decided to purchase the equipment and start their own company after their previous employer passed away. Shortly after setting up shop, the FBI arrested one owner on child

pornography charges. When customers heard of the incident, orders started to drop dramatically to which the remaining owner could not recover the lost business and was forced to let a couple employees go. The loan was paid off but the jobs were not able to be created.

- **Kwikee In & Out Services** – The owner of Kwikee started an oil change and carwash business. Having heavily bank financed the project, debt service became too burdensome forcing the owner into bankruptcy, losing most of his possessions. The business closed and the property was sold off. The company was to create four FTE jobs and created only two. The City, through negotiation with HUD, paid back to HUD one-half of the remaining balance of the loan funds with non-federal funds as one-half of the jobs were created.
- **Zoran Music** – Zoran Music is a printer by trade and opened his own business as a way to provide better service to clientele. Music had himself and a woman on staff. As business waned, the women ended up quitting and he stayed on for as long as he could. Unfortunately, Music did not qualify as a low to moderate-income person. Music did pay off the loan but came up short on job creation. One FTE was needed but none were able to be created.
- **Austin Mohawk** – AM is a manufacturer of kiosks and special use small shelters (toll booth, security shack, announcer booth, etc). The original owner semi-retired and hired a person who mismanaged which hurt business and sales. New management came in and is righting the ship. Even prior to COVID, hiring employees was an issue and slow to occur. COVID did not help the situation and five to six different job titles could not be filled. The loan was paid off but not all job creation goals were met.

Throughout the time these projects were on the books, and even when the loan was paid off, numerous personal calls, emails and visits were performed for each project in order to secure the job goals initially stated in their applications. All but Kwikee's loan was paid off.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Administration, Planning and Management	Administration	CDBG: \$	Other	Other	1	1	100.00%	1	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15000	10500	70.00%	3000	3500	116.67%
Community Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	100	65	65.00%	20	25	125.00%
Community Development	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	17500	9822	56.13%	3500	9822	280.63%
Community Development	Non-Housing Community Development	CDBG: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	100	0	0.00%	20	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	50	0	0.00%			

Community Development	Non-Housing Community Development	CDBG: \$	Brownfield acres remediated	Acre	20	0	0.00%	4	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	20	4	20.00%			
Community Development	Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	20	10	50.00%	8	8	100.00%
Economic Development	Economic Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	0	0		0	0	
Economic Development	Economic Development	CDBG: \$	Jobs created/retained	Jobs	0	1		0	1	
Economic Development	Economic Development	CDBG: \$	Businesses assisted	Businesses Assisted	30	2	6.67%	6	2	33.33%
Homelessness	Homeless	ESG: \$ / ESG-CV: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	150	66	44.00%			
Homelessness	Homeless	ESG: \$ / ESG-CV: \$	Homeless Person Overnight Shelter	Persons Assisted	1000	532	53.20%	200	654	327.00%
Homelessness	Homeless	ESG: \$ / ESG-CV: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	50	0	0.00%	10	0	0.00%
Homelessness	Homeless	ESG: \$ / ESG-CV: \$	Housing for Homeless added	Household Housing Unit	20	0	0.00%	4	0	0.00%

Housing	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	100	0	0.00%	0	0	
Housing	Affordable Housing	HOME: \$	Rental units rehabilitated	Household Housing Unit	100	3	3.00%	20	3	15.00%
Housing	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	25	0	0.00%			
Housing	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	50	16	32.00%	12	16	133.33%
Other Special Needs	Non-Homeless Special Needs	CDBG: \$	Other	Other	500	0	0.00%			

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City of Utica’s 2020 – 2024 Consolidated Plan identified six activities as having the highest priority including: Housing, Homelessness, Other Special Needs, Community Development, Economic Development, and Administration. At the conclusion of the 2022 - 2023 program year, most of the activities should be roughly at or around the 60% completion level as the plan was created based on the assumption that many of the activities would receive multi-year allocations throughout the 5-year plan.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	4,529	18	381
Black or African American	2,022	15	380
Asian	2,029	0	0
American Indian or American Native	10	0	6
Native Hawaiian or Other Pacific Islander	1	0	0
Total	8,591	33	767
Hispanic	734	15	112
Not Hispanic	7,857	22	722

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The numbers in the table above reflect those reported in IDIS along with end-of-year reporting figures, based on reports submitted by CDBG subrecipients.

37 ESG clients refused to identify their race, and 35 ESG clients refused to identify their ethnicity.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	2,672,697	3,941,702
HOME	public - federal	670,143	128,736
ESG	public - federal	218,656	426,154
Other	public - federal	486,089	365,414

Table 3 - Resources Made Available

Narrative

The table above shows a significant increase in the amount spent on CDBG and ESG last year. The increase in expenditures accounts for drawdowns from funds from previous years.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City of Utica CDBG Target Area	88	88	

Table 4 – Identify the geographic distribution and location of investments

Narrative

Virtually all of the activities funded through CDBG and HOME, save for the public services which are expended based on low to moderate family income documentation, are based on location within the City's CDBG Target Area.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

According to the PR33 - HOME Matching Liability Report, the City of Utica has a Match Liability Amount of \$0.00 for the 2020 program year, as it has dating back to 2003. This 100% match reduction is provided based on the fact that the City of Utica has been determined to be under severe fiscal distress. To meet the definition of severe fiscal distress, the City's percentage of families in poverty must exceed 19.9%, which is 125% of the average national rate for families in poverty of 15.54%. Additionally, the City's per capita income must have been less than \$21,268, which is 75% of the average per capita income of \$28,357.

While not formally required, the City of Utica annually tracks the number of funds leveraged by its public service sub-recipient's use of Community Development Block Grant funds. For the 2022 program year, the City leveraged a total of \$2,100,018.00 in funding by supporting public services and non-residential historic preservation through the expenditure of \$383,986 in CDBG funds. This was divided among the following sub-recipients:

Abraham House: \$400,275.00

Parkway Center: \$187,582

North Utica Senior Citizen Center: \$117,811

The Utica Center for Development: \$44,000

Safe Schools Mohawk Valley: \$191,954

Johnson Park Center: \$103,384

Utica College Young Scholars: \$798,146

Uptown Theatre: \$209,770

Utica Public Library: \$47,096

ESG Match Requirements:

The City of Utica’s ESG program requires a 100% match by subrecipients (\$1 match for \$1 of awarded ESG funds). While qualifying sources of match don’t need to be specific to each line item (\$1 in “Salary” doesn’t need to be matched by \$1 in salary from subrecipient agency), overall match must equal the amount awarded in ESG funds, and may come from firm commitment letters, in-hand cash, Federal/State/Local/Private funds, or other documentable expenses by the subrecipient agency.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
4,635	4,781	4,635	0	4,781

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	47,479	47,479	0			
Number	1	1	0			
Sub-Contracts						
Number	2	2	0			
Dollar Amount	47,479	47,479	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	1	0	0	1	0	0
Dollar Amount	16,696	0	0	16,696	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	400	356
Number of Non-Homeless households to be provided affordable housing units	99	17
Number of Special-Needs households to be provided affordable housing units	11	0
Total	510	373

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	116	0
Number of households supported through The Production of New Units	50	0
Number of households supported through Rehab of Existing Units	20	17
Number of households supported through Acquisition of Existing Units	20	0
Total	206	17

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In reviewing Table 11, the biggest difference between one-year-goal and actual outcomes is the lack of available units to move people into. As in prior years, this was the biggest obstacle we faced in providing housing to any of the in-need populations. No new units came online in the 2022-2023 Program Year, however three large multifamily housing projects are currently under construction with assistance from the City's HOME Program.

While no new multifamily projects were brought online during the 2022-2023 Program Year, there are a number of projects that have entered into contract, including a joint project between People First (UMHA) and the Vecino Group to redevelop the former Wilcor warehouse on Broad Street in East Utica into 74 units of affordable housing for families earning incomes at or below 50% AMI. The project applied for and was awarded \$500,000 in HOME funds; Johnson Park Center officially broke ground on Phase VII of their Johnson Park Apartments project on West Street, which will result in 62 units of affordable housing for families earning incomes at or below 60% AMI with 31 units to be supportive housing units with ESSHI funding. The project was awarded \$559,224 in HOME funds. Several other projects are in process of applying for HOME funds, including Liberty Development's Olbiston Apartments Rehabilitation, which will result in 153 affordable housing units; and the ArtSpace project, which will house 41 affordable units (30-60% AMI) for artists and creators in a "live-work-play" environment. We anticipate units beginning to come online as soon as mid-2024.

UNHS Homeownership Center was able to rehabilitate a total of 17 units between 2020 and 2023 through the Old Utica City and Lead-Safe Utica Homeowner Rehabilitation programs, providing sustainable housing for low-income families.

In reviewing Table 12, the City of Utica HOME Program does not provide Tenant-Based Rental Assistance, as we use HOME as either a construction loan and leveraging tool to encourage to production of more rental units or as gap-funding. Otherwise, our actual numbers are not far from our goals or have exceeded the goals. The 17 units rehabilitated were completed by the UNHS Homeownership Center as part of the Lead-Safe Rehab and Old Utica City Rehab programs.

Discuss how these outcomes will impact future annual action plans.

Utica's official HOME Program policy is to formally commit HOME dollars after a project is more fully financed rather than at the beginning of the process, acting as a gap-funding measure.

The UNHS Homeownership Center in partnership with Lead Free Mohawk Valley (LFMV) participating agencies intends to apply for another Lead Paint Hazard grant through HUD to build upon successes achieved through the 2018 lead grant, which will provide more sustainable and safe housing for low-income housing. We anticipate awarding \$265,500 in Homeowner and Rental Rehabilitation funds in the 2023-2024 Program Year to increase the number of households supported through rehab of existing units.

Based on previous years, we also anticipate additional developers to request funding in the 2023-2024 Program Year for the creation of new housing units.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	6
Low-income	0	7
Moderate-income	0	4
Total	0	17

Table 13 – Number of Households Served

Narrative Information

Households served through the HOME Program during the 2022-2023 program year consist of households in the UNHS Lead-Safe Utica and Old Utica City Homeowner Rehabilitation programs.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City's 2022-2023 ESG grant included \$23,000 in funding for the Utica Municipal Housing Authority's Street Outreach program. The program hires staff members through AmeriCorps to conduct outreach into areas with known homeless populations (including shelters and encampments), developing a rapport, and guiding individuals to services and shelters. This activity will be renewed for the 2023-2024 program year. In the 2022-2023 Program Year, MHA utilized unspent funds from previous allocations to purchase a new transport vehicle to better assist their program, and used additional ESG-CV funds to intensify efforts to reach homeless populations to encourage the use of services offered by multiple subrecipient agencies. The agency also partnered with a local church group to run a "green team" program that allowed the homeless to work several hours a day maintaining City-owned properties for an income.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City's 2022-2023 ESG grant included \$92,354 in funding for shelter operations through the Johnson Park Center, the Rescue Mission of Utica, St. Margaret's Corporation's Emmaus House, and the YWCA Hall House. Each organization serves a different segment of the homeless population. Rapid Rehousing programs through the Utica Center for Development, ICAN and the Municipal Housing Authority operate with the goal of finding housing for the homeless in shelters, and providing assistance with Security Deposits and Utilities to transition individuals from temporary shelter to permanent housing.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City's 2022-2023 ESG grant included \$30,000 in funding for a Homeless Prevention program through Utica Center for Development, a significant increase from previous years due to the urgent need following the expiration of the NYS Eviction Moratorium. This program allows funds for utility expenses and rental arrears to prevent eviction and subsequent homelessness.

Helping homeless persons (especially chronically homeless individuals and families, families

with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The service organizations that partner with the City of Utica through the ESG funding (The Continuum of Care) all share this common mission, and in the most extreme cases, have worked together to ensure the mission is met. Of homeless individuals that pass through these programs from shelter to permanent housing, 90% successfully remain stable and off the streets. This statistic has remained stable in the past five years.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Closed on \$101 million project involving the rehabilitation of the 93-unit Chancellor Apartments targeted to the seniors and disabled as well as the historic rehabilitation of a vacant warehouse into 74 units affordable housing; Obtained funding in the amount of 1.9 million for the Homeless Drop-In Centers from the local Continuum of Care; Received renewal funding for the Gillmore-Humphrey & Adrean-Matt Resident Association ROSS grants; Received HUD approval to Project Base 50 HCV vouchers for affordable housing development; Hired 2 new ROSS Service Coordinators to help residents to access community programs; Continued the planning activities to finance improvements to the housing authority's unit inventory; Obtained 10 new Mainstream Section 8 rental assistance vouchers for the homeless/at-risk disabled population; Received funding in the amount of \$1,730,000 from the NYS for rehabilitation activities associated with the Chancellor Apartments; Conducted feasibility analyses of potential affordable housing developments; Continued to provide service coordination services through the Empire State Supportive Housing Initiative to Roosevelt Residences homeless tenants; Coordinated homeless outreach and assistance activities with the Continuum of Care, Homeless Management Information System, and the Oneida County Department of Family & Community Services; Implemented the two HUD Capital Fund Lead Grants for Adrean Terrace, N.D. Peters Manor, F.X. Matt Apts. as well as Gillmore Village/Humphrey Gardens; Completed an independent evaluation of our AmeriCorps program which shows that the program is successfully achieving its outcomes; Completed a Section 3 Summer Youth Supervisor Program to provide job training for summer youth workers; Continued our successful Give Back Friday program during the holiday season which collected donated food, household furnishings, beds, and gifts UMHA residents; Continued the successful Dan Daniels Scholarship program which gave laptops to graduating high school seniors from MHA housing developments; Begun feasibility analysis and predevelopment activities in connection with various new affordable housing projects; Operated a summer youth recreational and after-school enrichment program to provide youth with meaningful activities; Completed building upgrades and apartment renovations at our various developments; obtained renewal funding for the FY 2022 Family Self-Sufficiency program; Provided fair housing and Section 3 training sessions for UMHA employees as well as staff of local housing agencies; Upgraded its website to make it more people friendly and useful for the public; Installed self-service features on the website such as submitting online housing applications; Received funding from the City of Utica to operate the Green Team job training program for the homeless as well as to purchase a homeless outreach minibus.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Engage Public Residents as Partners in Housing Management

The UMHA is committed to encouraging public housing residents to participate in the management of our housing developments. We have four active resident associations – Adrean-Matt, Marino-Peretta,

Gillmore Village, and Chancellor. Resident associations hold monthly meetings and communicate their concerns to tenants. Two of the resident associations currently receive funding from HUD to operate ROSS Service Coordinator programs. UMHA staff provides training to the resident associations conducting meetings, advocacy skills, and nonprofit corporation board of directors' responsibilities. Two of the seven members of our Board of Commissioners are tenants; elections are held each year to elect a Tenant Commissioner. The UMHA convenes meetings of our City-Wide Resident Advisory Board to provide input on the development of our HUD Annual Plan. We also hold meetings at each of our developments and buildings to solicit feedback from residents on our Annual Plan. In addition, we conduct annual surveys of residents' needs and concerns.

Homeownership Programs

To date, UMHA has constructed 55 new homes in the City of Utica and helped many others to purchase homes through our Family Self-Sufficiency program. Our area has many affordable homes for sale and services and programs like matched savings accounts are available to make buying a home easier. The UMHA continues to monitor homes sold in our HOPE VI and other homeownership production program to ensure that owners comply with the terms of their regulatory agreements. Owners must obtain authorization from the UMHA if they wish to sell or refinance the properties. The UMHA conducts monitoring of the homes and assists owners who are facing foreclosure or difficulty in paying their mortgage payments and taxes. Through its AmeriCorps funded Housing Opportunity Center, the housing authority helps public housing residents and low-income households to access homeownership training and purchase programs. Through its Family Self-Sufficiency Program, the UMHA helps low-income public housing residents purchase homes. During the past year, 4 residents purchased homes by using the savings escrow accounts earned through the program.

Actions taken to provide assistance to troubled PHAs

Not Applicable - The Utica Municipal Housing Authority is not a troubled housing authority

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Utica adopted a new Zoning Code in February 2020. A key aspect of the updated zoning code is the use of a form-based code within the City's central business district - a key recommendation found in the City's Comprehensive Plan - that will allow for greater density, in-fill development, and opportunities for affordable housing projects.

Through funds received under the American Rescue Plan, the City conducted a city-wide housing needs assessment to analyze current and projected demographics and household characteristics, forecast future housing needs throughout the community for all levels of income, and develop a plan to implement the recommendations brought forth through the assessment. The housing study was completed in early June 2022 and provides the City with a data-driven housing policy and investment framework as well as strategic opportunities to respond to the city's housing market realities, focusing on multi-pronged interventions that will grow the city's capacity to address housing needs and create good housing opportunities for households across the income spectrum.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

In 2015, the Department of Urban & Economic Development worked to advance a plan by Mohawk Valley Health System (MVHS) to construct a single, consolidated health care center (hospital) in Downtown Utica. MVHS finished site clearing within the footprint of the hospital building early in 2020, and construction commenced later in the summer months. Construction is on schedule to conclude in October 2023. The new hospital will replace St. Elizabeth's (Utica) and St. Luke's (New Hartford). Once built, the hospital will bring 3,000 employees into Downtown Utica on a daily basis and will result in a more vibrant downtown as well as increase progress toward a "walkable community" with vital amenities for all household types within walking distance.

In November 2019, the City was awarded a \$10 million Downtown Revitalization Initiative grant offered by New York State to one municipality in each of the State's regional economic development council districts. 16 project sites were selected for funds, and despite delays due to the COVID-19 health emergency, work has begun on many of the desired projects. Each project is expected to contribute to restoring the fabric of downtown Utica for all income/household/ethnic types, including public art, streetscapes and pedestrian pathways, businesses that will expand or replace vacant spaces, and improve the quality of life for many.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City, in partnership with the Community Foundation of Oneida and Herkimer Counties and other membership organizations of the “Lead-Free Mohawk Valley” committee, was awarded \$3.5 million in 2018 for funding through the Lead-Based Paint Hazard Reduction Program from the United States Department of Housing & Urban Development (HUD). The grant funds are being utilized to address lead hazards in 180 housing units in the City of Utica. Units must be occupied by children under 6 years old, or a residence where children under 6 years old spend a significant amount of time.

The grant was extended due to the COVID-19 health emergency, and HUD allowed the scope of the project to be reduced to 75 housing units from the original 180 due to the number of resources needed to address lead issues in Utica’s massive older homes consuming grant funds much more quickly than anticipated. The project is slated to conclude around September 2023, at which time an application for another round of Lead grant funds has been prepared and is ready to be submitted.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

In this program year, the City funded a youth 'Green Team' employment program for youth between the ages of 14 to 21 in the amount of \$45,000 to assist in clean-up, neighborhood beautification of CDBG Target Area.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

In the 2022-2023 Program Year, there were no new actions undertaken to further develop the institutional structure.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Close coordination with the Utica Municipal Housing Authority and other private housing and social service agencies is a necessity in order to assist the low-to moderate-income residents of the City of Utica. Various partnerships that were formed over the past several years continue working together to address the needs of the city and its aging housing stock.

Many of those agencies regularly attend the regular meetings of the Mohawk Valley Housing and Homeless Coalition, which is the Continuum of Care for the Utica-Rome area. The City of Utica sends two representatives to those regular meetings – one from the City’s Section 8 program and the Housing Development Specialist from the Department of Urban & Economic Development.

In addition to the Continuum of Care, the City also participates in a number of other regional working groups that requires close coordination between the City and public and private housing and social service agencies. For instance, the Lead Free MV coalition that has been developed by The Community Foundation of Herkimer & Oneida Counties, LLC and discussed above, boasts a membership of nearly fifty entities, many of whom are public and private housing and social service agencies.

As stated in the 2022-2023 Action Plan, the City's work through NY's Empire State Poverty Reduction Initiative (The Greater Utica Anti-Poverty Initiative), the Lead-Free MV initiative, and other partnerships will continue to bring together various public and private housing and social services agencies with various City departments in an effort to end poverty in the City of Utica.

Since in-person meetings were suspended during the 2020-2021 Program Year due to COVID social distancing protocols, Zoom virtual meetings have been used to ensure regular communication continues. While some groups have begun meeting in person again, many have remained virtual for convenience.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City of Utica contracted with CNY Fair Housing, Inc. out of Syracuse to assist the City in addressing impediments to fair housing choice as identified in the City's Analysis of Impediments (AI); a renewal of this analysis was completed in the 2020-2021 program year. A high-priority impediment to fair housing that was identified was "Insufficient affordable housing in a range of unit sizes".

In the 2022-2023 Program Year, the City moved to address this impediment through significant investment in affordable housing with funds received through the American Rescue Plan Act. The City allocated \$900,000 towards the development of Johnson Park Green Community Apartments which will consist of a three-story building featuring 51 apartments at 6 Johnson Park, and two duplex-style buildings at 200 Square Street and 14 Johnson Park with 11 apartments. The City also committed \$3 million to the rehabilitation of the historic Olbiston Apartment building to create upwards of 80 affordable housing units for the City's low- to moderate-income residents and families.

Additionally, the City is working with People First (formerly Utica Municipal Housing Authority) and the Community Foundation of Herkimer and Oneida County to create a mixed-use development that will have ground-floor retail to also serve as business incubation space and provide job training with the upper two floors offering housing units for low/mod income persons and families. Finally, in the 2022-2023 Program Year, the City utilized its city-wide Housing Needs Assessment - the first of its kind in Utica's history - to launch a Feasibility Study for the St. Elizabeth Medical Campus, a soon-to-be-vacant structure in South Utica. This campus was identified as a housing opportunity in the City's Housing Study and the Feasibility Study will provide a greater detailed plan for what possibilities exist on that 21-acre campus for more affordable housing.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

CDBG public services programs are focused on meeting the needs of low/moderate income individuals and households. These funds provide assistance and opportunities for low/mod income families. Programs within our community would serve significantly lower numbers of people if CDBG funds were not available. Throughout the funding cycle and awarding of CDBG funds, the City works towards directing funding to projects that meet the goals and objectives in our annual plan.

The City of Utica ensures that CDBG/ESG/HOME subrecipients maintain financial, statistical, and programmatic records of their operations and financial activities in accordance with HUD regulations. Remote monitoring of subrecipients occur on an on-going basis with the submission of reimbursement requests with required supporting documentation and quarterly program reports. All reimbursement requests and documentation are reviewed by staff for accuracy, completeness, and compliance with approved budget and program guidelines. As an added control, quarterly reports must be current in order to receive reimbursement payments. City staff review the quarterly program reports to ensure work is being carried out as described in the application and agreement. City staff also examine the program reports and financial information submitted in order to conduct risk assessments, ensure production, accountability, and to evaluate each agency's organizational and project performance. The City's CDBG Program operates on a reimbursement basis. Source documents are reviewed prior to approval along with a review of subrecipient's program, financial policies and procedures, and a review of the annual single audit required of those subrecipients is performed. City staff are able to closely monitor the expenditures of each sub-recipient to ensure that all expenses are eligible for reimbursement. Subrecipient beneficiary reporting is a condition for cost reimbursement.

City staff maintain an open door policy for all agencies and frequent unofficial visits are made to sub recipients throughout the year. In addition, City staff conduct on-site monitoring visits with the subrecipient agencies.

Utilizing checklists developed for each activity/project, Department staff conduct on-site monitoring by touring the facility/program and reviewing CDBG program participant files with the agency director or the program director. Within thirty (30) days of the monitoring, staff provide written comments to the subrecipient. The letter includes, at a minimum, the date of review, results of the monitoring (satisfactory performance, concern or finding), a statement describing the basis for each conclusion, instructions on what the recipient must do to address any area of concern or finding and a deadline by

which the recipient must respond. In extreme cases of non-compliance, staff must determine if an additional on-site monitoring visit is necessary before an issue can be adequately resolved. In all cases, staff will work with recipients to come to a satisfactory resolution.

On-site monitoring was conducted for all of the subrecipients of the CDBG Program for the funding year. The agencies funded have been providing services in accordance with the CDBG guidelines and no issues were discovered.

The City of Utica focuses on areas of eligibility of beneficiaries and expenditures for the on-site monitoring. Overall, the programs and projects are in good standing at this time with very few concerns addressed.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Citizens will be provided with a minimum of fifteen (15) days to receive comments on the performance reports that are required to be submitted to HUD before their submission with a notice on the City's website, social media sites, posting on the City bulletin board, Section 8 Department, Utica Municipal Housing sites and/ or via a legal advertisement in the Observer-Dispatch. All comments or views of citizens received in writing, or orally at public hearings in preparing the performance report will be considered and a summary of these comments shall be attached to the performance report.

The performance reports will be available to the public (electronic or paper copies), including the availability of materials in a form accessible to persons with disabilities, upon request at City Hall, 1 Kennedy Plaza, Department of Urban and Economic Development, 2nd Floor.

No comments from the public were received in advance of the City's submission of the CAPER to HUD.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

This program year was the third of our 2020-2024 Consolidated Plan and the program objectives of our five-year con-plan remain the same.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 24 CFR 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

At the conclusion of the 2022-2023 program year, monitoring of completed projects resumed. This list includes the Asteri Apartments, Roosevelt Residences, West Street Apartments, The Link at Hage House, Johnson Park Apartments Phases IV, V and VI, Genesee Crossings. Macartovin Apartments and the UNHS Lead-Hazard and Old Utica City Homeowner Rehab programs were monitored in 2022 and will not need to be monitored until 2024. Several projects including Habitat for Humanity and Lincoln Ave/Scott St Rental Rehab are eligible for mortgage satisfaction in 2023.

Of projects monitored, no issues were detected. All buildings are in good physical condition, management policies are followed, and all tenants have access to materials required by HUD.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 24 CFR 91.520(e) and 24 CFR 92.351(a)

In accordance with Community Development Block Grant (CDBG) and HOME Investment Partnership Program (HOME) regulations and in furtherance of the City of Utica's commitment to non-discrimination and equal opportunity in housing, the City of Utica has established procedures to affirmatively market units acquired, rehabilitated, constructed or otherwise assisted under the CDBG and/or HOME Programs. The City of Utica is committed to the goals of non-discrimination and equal access. In addition, the City of Utica is committed to the goals of increasing the housing opportunities of those with limited English proficiency, low-income residents and under-represented ethnic and racial groups.

The City of Utica, by and through the Department of Urban and Economic Development, actively promotes fair housing through:

- Ongoing funding of fair housing services
- Adoption and implementation of an "Analysis of Impediments to Fair Housing Choice" which was most recently completed in preparation for the 2020-2024 Consolidated Plan.
- Adoption of "Consolidated Plan" for CDBG/HOME program, with an analysis and strategy for fair housing every five years, and in the Annual Action Plan each year.

- Annual monitoring of fair housing activities through monitoring of fair housing services and reporting on ongoing activities in its Consolidated Annual Performance and Evaluation Report (CAPER)

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

In reviewing PR27, a total of \$4,780.63 was received in Program Income, which has been used to fund a 60-unit project being undertaken by People First and the Vecino Group. The project will be marketed toward low-to-moderate-income households at 60% AMI or less. \$0 was authorized in program income for the City of Utica for Administration purposes in the 2022-2023 program year.

Describe other actions taken to foster and maintain affordable housing. 24 CFR 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 24 CFR 91.320(j)

In the past several years, the City has witnessed a renewed interest in the development of housing in all neighborhoods of the City and for a variety of housing types and target incomes.

Many of the projects, particularly those focused on low-to moderate-income persons and families provide for the inclusion of Low Income Housing Tax Credits as part of the financing. Invariably, the developers also ask for the City to commit HOME or CDBG dollars to the project. Additionally, many developers also seek Payment In Lieu Of Tax (PILOT) arrangements for the duration of the project as well, either directly through the Common Council or through the Utica Industrial Development Agency (UIDA).

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	0	0	0	0	0
Total Labor Hours	0	0	0		
Total Section 3 Worker Hours	0	0	0		
Total Targeted Section 3 Worker Hours	0	0	0		

Table 14 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers	0	0	0		
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	0	0	0		
Direct, on-the job training (including apprenticeships).	0	0	0		
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.	0	0	0		
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).	0	0	0		
Outreach efforts to identify and secure bids from Section 3 business concerns.	0	0	0		
Technical assistance to help Section 3 business concerns understand and bid on contracts.	0	0	0		
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.	0	0	0		
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.	0	0	0		
Held one or more job fairs.	0	0	0		
Provided or connected residents with supportive services that can provide direct services or referrals.	0	0	0		
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.	0	0	0		
Assisted residents with finding child care.	0	0	0		
Assisted residents to apply for, or attend community college or a four year educational institution.	0	0	0		
Assisted residents to apply for, or attend vocational/technical training.	0	0	0		
Assisted residents to obtain financial literacy training and/or coaching.	0	0	0		
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.	0	0	0		
Provided or connected residents with training on computer use or online technologies.	0	0	0		
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.	0	0	0		
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.	0	0	0		

Other.	0	0	0		
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Table 15 – Qualitative Efforts - Number of Activities by Program

Narrative

No programs in the 2022-2023 Program Year provided training, employment, contracting, or other economic opportunities to low- and very low-income persons.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name UTICA
Organizational DUNS Number 010759959
UEI
EIN/TIN Number 156000418
Identify the Field Office BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance Utica/Rome/Oneida County CoC

ESG Contact Name

Prefix Mr
First Name Mike
Middle Name
Last Name Peek
Suffix
Title Housing Development Specialist

ESG Contact Address

Street Address 1 1 Kennedy Plaza
Street Address 2
City Utica
State NY
ZIP Code 13502-
Phone Number 3155340399
Extension
Fax Number
Email Address mpeek@cityofutica.com

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 04/01/2022
Program Year End Date 03/31/2023

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: UTICA
City: Utica
State: NY
Zip Code: 13502, 4234
DUNS Number: 010759959
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 16399.2

Subrecipient or Contractor Name: JOHNSON PARK CENTER
City: Utica
State: NY
Zip Code: 13501, 4439
DUNS Number:
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 32354

Subrecipient or Contractor Name: Rescue Mission
City: Utica
State: NY
Zip Code: 13501, 3618
DUNS Number:
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: Utica Municipal Housing Authority
City: Utica
State: NY
Zip Code: ,
DUNS Number:
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 33000

Subrecipient or Contractor Name: Utica Center for Development
City: Utica
State: NY
Zip Code: 13502, 4328
DUNS Number: 809582773
UEI: U7K8UMKNGXT1
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: YWCA-New Horizons
City: Utica
State: NY
Zip Code: 13501, 3000
DUNS Number:
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: ICAN

City: Utica

State: NY

Zip Code: 13501,

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 36902.8

Subrecipient or Contractor Name: St. Margaret's Corporation

City: Utica

State: NY

Zip Code: 13501, 4401

DUNS Number: 010719404

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 10000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	7
Children	5
Don't Know/Refused/Other	0
Missing Information	0
Total	12

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	74
Children	43
Don't Know/Refused/Other	0
Missing Information	0
Total	117

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	533
Children	121
Don't Know/Refused/Other	0
Missing Information	0
Total	654

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	65
Children	21
Don't Know/Refused/Other	0
Missing Information	0
Total	86

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	679
Children	190
Don't Know/Refused/Other	0
Missing Information	1
Total	870

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	540
Female	325
Transgender	4
Don't Know/Refused/Other	1
Missing Information	0
Total	870

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	190
18-24	100
25 and over	2,361
Don't Know/Refused/Other	0
Missing Information	0
Total	2,651

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	32	2	2	28
Victims of Domestic Violence	101	0	7	94
Elderly	28	1	2	25
HIV/AIDS	9	0	0	9
Chronically Homeless	102	0	7	95
Persons with Disabilities:				
Severely Mentally Ill	391	6	42	343
Chronic Substance Abuse	171	1	8	162
Other Disability	163	1	19	143
Total (Unduplicated if possible)	997	11	87	899

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	24,425
Total Number of bed-nights provided	654
Capacity Utilization	2.68%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Shelters operated at-capacity for the duration of the 2022-2023 Program Year, though the numbers aren't reflected accurately in the chart. The contracts executed list the total number of bed nights anticipated in a year (24,425) and reports from all shelters indicating operating at capacity all year, while the data provided by the HMIS database reflect the number of people who chose to stay when an overnight option was available (without specifying the number of nights stayed). Capacity Utilization is over 90% according to the CoC's HMIS coordinator. Per Performance Standards, the shelters are meeting their obligations outlined in their contracts.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	8,000	0	8,949
Subtotal Homelessness Prevention	8,000	0	8,949

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	30,120	14,037	195,051
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	30,120	14,037	195,051

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Essential Services	99,000	77,732	49,697
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	99,000	77,732	49,697

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Street Outreach	19,091	19,730	9,706
HMIS	10,000	10,000	10,000
Administration	13,749	7,008	21,532

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2020	2021	2022
	179,960	128,507	294,935

Table 29 - Total ESG Funds Expended

11f. Match Source

	2020	2021	2022
Other Non-ESG HUD Funds	0	0	17,934
Other Federal Funds	0	135,861	28,000
State Government	0	397,828	44,420
Local Government	0	363,800	0
Private Funds	0	104,734	66,903

Other	1,186,734	222,622	35,000
Fees	0	119,283	0
Program Income	0	0	0
Total Match Amount	1,186,734	1,344,128	192,257

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2020	2021	2022
	1,366,694	1,472,635	487,192

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment

PR-26 CDBG 22-23 Notes

CAPER 2022-2023

Notes to PR-26

Regarding Line 28 equaling zero. The PR-03 indicates several remaining public service balances. All of the balances were paid out and drawn. The draws were done on 5/24/23 (after 4/1/23 but before the 60-day window) at the time the 'prior year' indicator flag in IDIS was not available.

Line 38. The PR-03 indicates a remaining balance of \$366,044.35 for 3 admin activities. \$84,000 plus \$2,227.19 were drawn after 4/1/2023 but before the 60-day window, while the 'prior year' indicator flag was not available. The amount I entered in Line 38 is \$279,817.16 which is the balance after the \$84,000 and \$2,227.19 were drawn.

PR 26 CDBG Financial Summary Report

	Office of Community Planning and Development	DATE:	02-23-24
	U.S. Department of Housing and Urban Development	TIME:	9:20
	Integrated Disbursement and Information System	PAGE:	1
	PR26 - CDBG Financial Summary Report		
	Program Year 2022		

UTICA, NY

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	3,422,147.57
02 ENTITLEMENT GRANT	2,427,618.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	231,579.67
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	13,500.00
05b FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
05c FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	6,094,845.24

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,935,376.76
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	53,415.50
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,988,792.26
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	267,792.78
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	270,234.46
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	2,526,819.50
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	3,568,025.74

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,904,576.76
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,904,576.76
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	95.77%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	FY: 2020 PY: 2021 PY: 2022
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	6,156,861.99
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	5,979,138.48
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	97.11%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	157,269.68
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	30,977.91
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	126,291.77
32 ENTITLEMENT GRANT	2,427,618.00
33 PRIOR YEAR PROGRAM INCOME	144,589.31
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,572,207.31
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	4.91%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	267,792.78
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	279,817.16
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	148,021.66
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	399,588.28
42 ENTITLEMENT GRANT	2,427,618.00
43 CURRENT YEAR PROGRAM INCOME	245,079.67
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,672,697.67
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	14.95%



LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

No data returned for this view. This might be because the applied filter excludes all data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

No data returned for this view. This might be because the applied filter excludes all data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	7	1956	6720999	West Side Senior Center	03A	LWC	\$17,250.00
					03A	Matrix Code	\$17,250.00
2019	16	1855	6707010	Lincoln Playground Improvements	03F	LMA	\$38,425.00
					03F	Matrix Code	\$38,425.00
2022	7	2010	6727572	2022 Street Reconstruction/Repaving: Aiken St (State to Cornelia)	03K	LMA	\$7,348.74
2022	7	2011	6727572	2022 Street Reconstruction/Repaving: Allen St (Albany to Culver)	03K	LMA	\$13,861.39
2022	7	2012	6727572	2022 Street Reconstruction/Repaving: Arthur St (Steuben to West)	03K	LMA	\$39,737.07
2022	7	2013	6727572	2022 Street Reconstruction/Repaving: Cornelia St (Mandeville to Court)	03K	LMA	\$57,440.00
2022	7	2014	6727572	2022 Street Reconstruction/Repaving: Genesee St (Washington to Franklin Sq)	03K	LMA	\$1,600.00
2022	7	2015	6727572	2022 Street Reconstruction/Repaving: Grove Place (Elm to Steuben)	03K	LMA	\$16,592.87
2022	7	2016	6727572	2022 Street Reconstruction/Repaving: Mandeville St (State to Cornelia)	03K	LMA	\$5,918.59
2022	7	2017	6727572	2022 Street Reconstruction/Repaving: Martin St (Oswego to Noyes)	03K	LMA	\$93,696.64
2022	7	2018	6727572	2022 Street Reconstruction/Repaving: McQuade Ave (Eagle to South)	03K	LMA	\$40,758.20
2022	7	2019	6727572	2022 Street Reconstruction/Repaving: Miller St (Arthur to Lesh)	03K	LMA	\$35,495.58
2022	7	2020	6727572	2022 Street Reconstruction/Repaving: Monroe St (Culver to Albany)	03K	LMA	\$12,805.28
2022	7	2021	6727572	2022 Street Reconstruction/Repaving: South St (Bacon to Jefferson)	03K	LMA	\$46,125.98
2022	7	2022	6727572	2022 Street Reconstruction/Repaving: State St (Plant to Williams)	03K	LMA	\$18,101.39
2022	7	2023	6727572	2022 Street Reconstruction/Repaving: W. Saratoga St (Court to Columbia)	03K	LMA	\$34,203.21
2022	7	2024	6727572	2022 Street Reconstruction/Repaving: Kossuth Ave (Bleecker to Broad)	03K	LMA	\$96,325.06
					03K	Matrix Code	\$620,000.00
2019	17	1768	6637098	Lincoln Ave Sidewalk Improvements	03L	LMA	\$2,500.00
2021	7	1922	6655612	Residential Sidewalk Replacement (Reimbursement) Program 2021-2022	03L	LMA	\$20,050.00
2021	7	2008	6720999	Proctor Parks ADA modifications-Sidewalks & Playgrounds	03L	LMA	\$304,696.32
2021	7	2008	6727633	Proctor Parks ADA modifications-Sidewalks & Playgrounds	03L	LMA	\$396,421.92
2021	7	2008	6741843	Proctor Parks ADA modifications-Sidewalks & Playgrounds	03L	LMA	\$96,008.65
2022	7	1975	6672620	Residential Sidewalk Installation/Replacement 2022-2023	03L	LMA	\$6,980.00
2022	7	1975	6717937	Residential Sidewalk Installation/Replacement 2022-2023	03L	LMA	\$50,279.00
2022	7	1975	6720999	Residential Sidewalk Installation/Replacement 2022-2023	03L	LMA	\$21,270.50
2022	7	1975	6727189	Residential Sidewalk Installation/Replacement 2022-2023	03L	LMA	\$15,160.00
					03L	Matrix Code	\$913,356.39
2022	5	2029	6739414	Utica Fire Department Turn-out gear	03O	LMA	\$22,217.13
2022	5	2029	6740114	Utica Fire Department Turn-out gear	03O	LMA	\$28,114.00
					03O	Matrix Code	\$50,331.13
2021	9	1971	6674283	Demolition: 1122 Miller St	04	LMA	\$18,472.40
2022	9	2028	6738891	Demolition: program delivery 22-23	04	LMA	\$2,764.63
					04	Matrix Code	\$21,237.03
2020	2	1969	6721559	Brownfield: professional administrative services 21-22	04A	LMA	\$2,565.00
2022	9	2001	6738891	Brownfield: program delivery 22-23	04A	LMA	\$3,380.15
					04A	Matrix Code	\$5,945.15
2022	11	2004	6717937	Parkway Center-Senior Transportation	05A	LWC	\$3,489.82
2022	11	2007	6717937	Parkway Senior Center	05A	LWC	\$10,464.27
					05A	Matrix Code	\$13,954.09
2021	8	1931	6720999	Utica Center for Development-youth mentoring program	05D	LWC	\$5,609.23
2021	8	1964	6676610	Safe Schools Mohawk Valley-Parkway Rec Ctr Youth Program	05D	LWC	\$29,500.00
2021	8	1970	6655612	Utica Safe Schools-The Underground Cafe Teen Drop In Center	05D	LWC	\$37,000.00
2022	11	2006	6717937	Utica Center for Development-youth mentoring program	05D	LWC	\$15,071.03
					05D	Matrix Code	\$87,180.26
2019	15	2000	6690996	Community Policing	05I	LMA	\$15,000.00
2021	5	2009	6720999	Community Policing	05I	LMA	\$15,000.00



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	8	1933	6672620	United Way of the Greater Utica Area-Utica ESPRI	05I	Matrix Code	\$30,000.00
					05L	LMC	\$21,730.88
					05L	Matrix Code	\$21,730.88
2022	11	1999	6717937	Elderlife, Parkway Center-SNAP EBT Farmer's Market	05M	LMC	\$1,904.45
					05M	Matrix Code	\$1,904.45
2021	8	1932	6676610	Center for Family Life and Recovery-Compeer	05O	LMA	\$2,500.00
					05O	Matrix Code	\$2,500.00
2020	4	2005	6721559	Facade: 261 Genesee Street Central NY Comm Arts	14E	LMA	\$3,062.50
2020	4	2027	6738891	Facade Genesee Street; program delivery 2022-2023	14E	LMA	\$1,710.32
					14E	Matrix Code	\$4,792.82
2006	12	1096	6661891	KWIKEE IN & OUT SERVICES	18A	LMI	\$33,241.55
2020	6	2003	6712129	ERP-CV Younique COVID loan	18A	LMI	\$10,000.00
2022	3	1972	6739414	ERP Program Delivery 2022-2023	18A	LMA	\$32,728.01
					18A	Matrix Code	\$75,969.56
Total							\$1,904,576.76

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity to prevent, prepare for, and respond to Coronavirus	Activity Name	Grant Number	Fund Type	Matrix Code	National Objective	Drawn Amount
2022	11	2004	6717937	No	Parkway Center-Senior Transportation	B22MC360110	EN	05A	LMC	\$3,489.82
2022	11	2007	6717937	No	Parkway Senior Center	B22MC360110	EN	05A	LMC	\$10,464.27
								05A	Matrix Code	\$13,954.09
2021	8	1931	6720999	No	Utica Center for Development-youth mentoring program	B21MC360110	EN	05D	LMC	\$5,609.23
2021	8	1964	6676610	No	Safe Schools Mohawk Valley-Parkway Rec Ctr Youth Program	B21MC360110	EN	05D	LMC	\$29,500.00
2021	8	1970	6659612	No	Utica Safe Schools-The Underground Cafe Teen Drop In Center	B21MC360110	EN	05D	LMC	\$37,000.00
2022	11	2006	6717937	No	Utica Center for Development-youth mentoring program	B22MC360110	EN	05D	LMC	\$15,071.03
								05D	Matrix Code	\$87,180.26
2019	15	2000	6690996	No	Community Policing	B19MC360110	EN	05I	LMA	\$15,000.00
2021	5	2009	6720999	No	Community Policing	B21MC360110	EN	05I	LMA	\$15,000.00
								05I	Matrix Code	\$30,000.00
2021	8	1933	6672620	No	United Way of the Greater Utica Area-Utica ESPRI	B21MC360110	EN	05L	LMC	\$21,730.88
								05L	Matrix Code	\$21,730.88
2022	11	1999	6717937	No	Elderlife, Parkway Center-SNAP EBT Farmer's Market	B22MC360110	EN	05M	LMC	\$1,904.45
								05M	Matrix Code	\$1,904.45
2021	8	1932	6676610	No	Center for Family Life and Recovery-Compeer	B21MC360110	EN	05O	LMA	\$2,500.00
								05O	Matrix Code	\$2,500.00
Total				No	Activity to prevent, prepare for, and respond to Coronavirus					\$157,269.68

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	2	1903	6648299	CDBG ADMINISTRATION COSTS FOR 2021-2022	21A		\$203.23
2022	2	1974	6690996	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$214.12
2022	2	1974	6702304	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$12,077.90
2022	2	1974	6712129	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$207.85
2022	2	1974	6717937	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$3,000.00
2022	2	1974	6720999	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$44,103.78
2022	2	1974	6721559	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$76.37
2022	2	1974	6727189	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$179,258.44
2022	2	1974	6738891	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$207.53
2022	2	1974	6739414	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$28,061.69
2022	2	1974	6740314	CDBG ADMINISTRATION COSTS FOR 2022-2023	21A		\$291.87
					21A	Matrix Code	\$267,792.78
Total							\$267,792.78

PR 26 CDBG-CV Financial Summary Report



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PART I: SUMMARY OF CDBG-CV RESOURCES

01 CDBG-CV GRANT	2,189,742.00
02 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
03 FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
04 TOTAL CDBG-CV FUNDS AWARDED	2,189,742.00

PART II: SUMMARY OF CDBG-CV EXPENDITURES

05 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,065,652.50
06 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	261,112.00
07 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
08 TOTAL EXPENDITURES (SUM, LINES 05 - 07)	1,326,764.50
09 UNEXPENDED BALANCE (LINE 04 - LINE8)	862,977.50

PART III: LOWMOD BENEFIT FOR THE CDBG-CV GRANT

10 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
11 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
12 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	832,871.71
13 TOTAL LOW/MOD CREDIT (SUM, LINES 10 - 12)	832,871.71
14 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 05)	1,065,652.50
15 PERCENT LOW/MOD CREDIT (LINE 13/LINE 14)	78.16%

PART IV: PUBLIC SERVICE (PS) CALCULATIONS

16 DISBURSED IN IDIS FOR PUBLIC SERVICES	1,065,652.50
17 CDBG-CV GRANT	2,189,742.00
18 PERCENT OF FUNDS DISBURSED FOR PS ACTIVITIES (LINE 16/LINE 17)	48.67%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

19 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	261,112.00
20 CDBG-CV GRANT	2,189,742.00
21 PERCENT OF FUNDS DISBURSED FOR PA ACTIVITIES (LINE 19/LINE 20)	11.92%



LINE 10 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 10

No data returned for this view. This might be because the applied filter excludes all data.

LINE 11 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 11

No data returned for this view. This might be because the applied filter excludes all data.

LINE 12 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 12

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount	
2020	10	1860	6425188	CDBG CV1-Utica Green Teams	05Z	LMA	\$69,137.14	
			6545689	CDBG CV1-Utica Green Teams	05Z	LMA	\$5,857.87	
			6573269	CDBG CV1-Utica Green Teams	05Z	LMA	\$69,054.24	
			6622714	CDBG CV1-Utica Green Teams	05Z	LMA	\$2,025.00	
		1861	6429440	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$15,931.82	
			6462997	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$18,432.96	
			6477688	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$6,581.82	
			6545689	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$3,075.00	
		1862	6429440	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$19,139.24	
			6462997	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$8,817.25	
			6477688	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,629.29	
			6500581	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$1,973.52	
			6545689	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$3,144.80	
			6573269	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$621.72	
			6622714	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$8,438.53	
			6638686	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,051.74	
			6690996	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,221.77	
			6712129	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$10,489.01	
			6801082	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$13,893.74	
			14	1920	6573269	CDBG CV1-UNHS-Community Building & Engagement	05Z	LMA
	6622714				CDBG CV1-UNHS-Community Building & Engagement	05Z	LMA	\$33,104.11
	1890	6674283		CDBG CV3-Community Kitchens	05W	LMC	\$42,085.32	
		6678189		CDBG CV3-Community Kitchens	05W	LMC	\$49,430.46	
		6702304		CDBG CV3-Community Kitchens	05W	LMC	\$101,275.35	
		6721559		CDBG CV3-Community Kitchens	05W	LMC	\$75,013.56	
		6738891		CDBG CV3-Community Kitchens	05W	LMC	\$10,191.10	
	1892	6499794		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$1,587.97	
		6528155		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$26,888.75	
		6573810		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$45,449.01	
		6605217		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$12,704.50	
		6639020		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$7,391.07	
		6648299		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$16,314.51	
		6674283		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$9,055.36	
		6721559		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$20,508.83	
		6740114		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$13,752.54	
		6749390		CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$29,437.40	
	6801082	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$30,006.92			



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	14	1892	6827047	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$40,562.60
Total							\$832,871.71

LINE 16 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 16

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	10	1860	6425188	CDBG CV1-Utica Green Teams	05Z	LMA	\$69,137.14
			6545689	CDBG CV1-Utica Green Teams	05Z	LMA	\$5,857.87
			6573269	CDBG CV1-Utica Green Teams	05Z	LMA	\$69,054.24
			6622714	CDBG CV1-Utica Green Teams	05Z	LMA	\$2,025.00
		1861	6429440	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$15,931.82
			6462997	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$18,432.96
			6477688	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$6,581.82
			6545689	CDBG CV1-Rent Relief (50 to 80% AMI)	05S	LMH	\$3,075.00
		1862	6429440	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$19,139.24
			6462997	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$8,817.25
			6477688	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,829.29
			6500581	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$1,973.52
			6545689	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$3,144.80
			6573269	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$621.72
			6622714	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$8,438.53
			6638686	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,051.74
			6690996	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$2,221.77
			6712129	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$10,489.01
			6801082	CDBG CV1-Mortgage Relief (up to 80% AMI)	05Q	LMC	\$13,893.74
		1863	6429440	CDBG CV1-Mortgage Relief (up to 120% AMI)	05Q	URG	\$18,907.72
			6462997	CDBG CV1-Mortgage Relief (up to 120% AMI)	05Q	URG	\$10,219.59
			6477688	CDBG CV1-Mortgage Relief (up to 120% AMI)	05Q	URG	\$6,357.84
			6493713	CDBG CV1-Mortgage Relief (up to 120% AMI)	05Q	URG	\$2,295.64
		1886	6462997	CDBG CV1-United Way, Food Banks	05W	URG	\$49,272.03
			6545689	CDBG CV1-United Way, Food Banks	05W	URG	\$145,727.97
		1920	6573269	CDBG CV1-UNHS-Community Building & Engagement	05Z	LMA	\$4,395.89
			6622714	CDBG CV1-UNHS-Community Building & Engagement	05Z	LMA	\$33,104.11
	14	1890	6674283	CDBG CV3-Community Kitchens	05W	LMC	\$42,085.32
			6678189	CDBG CV3-Community Kitchens	05W	LMC	\$49,430.46
			6702304	CDBG CV3-Community Kitchens	05W	LMC	\$101,275.35
			6721559	CDBG CV3-Community Kitchens	05W	LMC	\$75,013.56
			6738891	CDBG CV3-Community Kitchens	05W	LMC	\$10,191.10
		1892	6499794	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$1,587.97
			6528155	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$26,888.75
			6573810	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$45,449.01
			6605217	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$12,704.50
			6639020	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$7,391.07
			6648299	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$16,314.51
			6674283	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$9,055.36
			6721559	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$20,508.83
			6740114	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$13,752.54
			6749390	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$29,437.40
			6801082	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$30,006.92
			6827047	CDBG CV3-Employment via Social Enterprise	05Z	LMC	\$40,562.60
Total							\$1,065,652.50

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	10	1864	6429440	CDBG CV1-Administrative Costs	21A		\$179.38
			6462997	CDBG CV1-Administrative Costs	21A		\$15,735.31

Public comment period notice

Account Number:	681723
Customer Name:	City Of Utica Urban & Economic Development
Customer Address:	City Of Utica Urban & Economic Development 1 KENNEDY PLZ UTICA NY 13502-4236
Contact Name:	Brian Thomas
Contact Phone:	3157920181
Contact Email:	
PO Number:	

Date:	Ord
Order Number:	
Prepayment A	
t:	

Column Count:	
Line Count:	
Height in Inch	

Print

Product	#Insertions	Start - End	Category
UOD Observer-Dispatch	1	06/18/2023 - 06/18/2023	Public Notices
UOD uticaod.com	1	06/18/2023 - 06/18/2023	Public Notices

Total Order Confirmation	\$51.07
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**CITY OF UTICA DRAFT
CONSOLIDATED ANNUAL
PERFORMANCE
EVALUATION REPORT
FOR 2022-2023**

The City of Utica will submit its Consolidated Annual Performance Evaluation Review (CAPER) to the US Department of Housing & Urban Development by June 29, 2023, for the Community Development Block Grant, Emergency Solutions Grant, and HOME Investment Partnership programs; the public is invited to comment. Send written comments to Derek Crossman, Principal Planner, City Hall, 1 Kennedy Plaza, Utica, New York 13502. A draft copy of the 2022-2023 CAPER is available for public inspection at the Department of Urban and Economic Development at City Hall or online at www.cityofutica.com/departments/urban-and-economic-development/community-development-programs and may be reviewed at City Hall between 8:30 AM and 4:30 PM, Monday through Friday.

AdPreview